

AAON INC  
Form 4  
June 07, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Asbjornson Scott M

(Last) (First) (Middle)  
708 W. 79TH STREET S.  
(Street)

TULSA, OK 74132

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AAON INC [AAON]

3. Date of Earliest Transaction (Month/Day/Year)  
06/06/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Vice President, Finance & CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, par value \$0.004	06/06/2017		S		2,057	D	\$ 36.725
Common Stock, par value \$0.004	06/06/2017		M		4,500	A	\$ 8.65
Common Stock, par value \$0.004	06/06/2017		S		4,500	D	\$ 36.7512 (1)

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Common Stock, par value \$0.004	06/06/2017	M	1,922	A	\$ 21.93	862,087	D	
Common Stock, par value \$0.004	06/06/2017	M	1,336	A	\$ 22.15	863,423	D	
Common Stock, par value \$0.004	06/06/2017	S	3,258	D	\$ 36.6352	860,165	D	
Common Stock, par value \$0.004						178	I	401(k) Plan
Common Stock, par value \$0.004						540,000	I	Custodian for the benefit of his children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.65	06/06/2017		M	4,500	05/15/2013 05/15/2022	Common Stock	4,500
	\$ 21.93	06/06/2017		M	1,922	01/02/2016 01/02/2025		1,922

Stock Option (Right to Buy)	Exercise Price	Grant Date	Exercise Type	Quantity	Expiration Date	Common Stock	Quantity
Stock Option (Right to Buy)	\$ 22.15	06/06/2017	M	1,336	01/04/2017 01/04/2026	Common Stock	1,336
Stock Option (Right to Buy)	\$ 34.1				01/04/2018 01/04/2027	Common Stock	32,550

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Asbjornson Scott M 708 W. 79TH STREET S. TULSA, OK 74132			Vice President, Finance & CFO	

## Signatures

Scott M.  
Asbjornson                      06/07/2017

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$36.705 to \$36.7534. The price reported above reflects the (1) weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.