## Edgar Filing: SADASIVAM SHAKER - Form 4

Check this box if no longer subject to Section 16. Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Statement of SECURITIES       Expires: Estimated a burden hou response         Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10 of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940         (Print or Type Responses)       2. Issuer Name and Ticker or Trading SADASIVAM SHAKER       5. Relationship of Reporting Person II-VI INC [IIVI]         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       _X_Director      10%				
FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB AI OMB         Check this box if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:         Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940         (Ib).       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Investment Company Act of 1940         (Ib).       Section 17(a) of the Investment Company Act of 1940       Section 17(a) of the Investment Company Act of 1940         (Last)       (First)       (Middle)       Spireon         (Last)       (First)       (Middle)         (Last)       (First)       (Middle)         (Last)       (First)       (Middle)         (Month/Day/Year)       _X_Director 				
Check this box if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       MB Number: Expires: Estimated a burden hou response         Form 4 or Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).         (Print or Type Responses)       2. Issuer Name and Ticker or Trading SADASIVAM SHAKER       5. Relationship of Reporting Person II-VI INC [IIVI]         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)				
OMB         Washington, D.C. 20549         OMB         Washington, D.C. 20549         Check this box         if no longer         subject to         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF         Expires:         Statement of CHANGES IN BENEFICIAL OWNERSHIP OF         Form 4 or         Form 5         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,         obligations         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section         10%         See Instruction         10% of the Investment Company Act of 1940         I(b).         (Print or Type Responses)         1. Name and Address of Reporting Person <sup>*</sup> S. Issuer Name and Ticker or Trading         S. Relationship of Reporting Person <sup>*</sup> SADASIVAM SHAKER         Symbol         II-VI INC [IIVI]         (Check all applicable         II/%         Officer (give tittle <td colsp<="" td=""><td>PPROVAL</td></td>	<td>PPROVAL</td>	PPROVAL		
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires: Estimated a burden hou response         Form 4 or Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       1935 or Section         (Print or Type Responses)       30(h) of the Investment Company Act of 1940       5. Relationship of Reporting Person II-VI INC [IIVI]         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       S. Director (Month/Day/Year)       I. Spirector (Check all applicable Officer (give title         P O BOX 8       08/18/2017	3235-0287			
a hordinger       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16.       Estimated a burden hou response         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).         (Print or Type Responses)       2. Issuer Name and Ticker or Trading SADASIVAM SHAKER       5. Relationship of Reporting Person Symbol         II-VI INC [IIVI]       (Check all applicable UI-VI INC [IIVI]       (Check all applicable 08/18/2017	January 31,			
Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         (Print or Type Responses)       30(h) of the Investment Company Act of 1940         1. Name and Address of Reporting Person * SADASIVAM SHAKER       2. Issuer Name and Ticker or Trading Symbol II-VI INC [IIVI]       5. Relationship of Reporting Persor Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)      X_ Director      10%         P O BOX 8       08/18/2017      Officer (give title      00thc	Estimated average burden hours per			
<ul> <li>obligations may continue. See Instruction 1(b).</li> <li>(Print or Type Responses)</li> <li>1. Name and Address of Reporting Person<sup>*</sup>. SADASIVAM SHAKER</li> <li>(Last) (First) (Middle)</li> <li>(Month/Day/Year)</li> <li>P O BOX 8</li> <li>(Month/Day/Year)</li> <li< td=""><td>0.5</td></li<></ul>	0.5			
may continue.       Section 17(a) of the Fublic Outry Holding Company Act of 1935 of Section 1935 of Section 1965 of Section 1966 of S				
1(b).         (Print or Type Responses)         1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading SADASIVAM SHAKER       5. Relationship of Reporting Person Issuer         (Last)       (First)       (Middle)         3. Date of Earliest Transaction				
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Persor Issuer         SADASIVAM SHAKER       Symbol       II-VI INC [IIVI]       (Check all applicable         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable         P O BOX 8       08/18/2017				
SADASIVAM SHAKER     Symbol     Issuer       (Last)     (First)     (Middle)     3. Date of Earliest Transaction       (Month/Day/Year)    X_Director    10%       P O BOX 8     08/18/2017    Officer (give title    0th				
(Check all applicable (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer			
P O BOX 8       (Month/Day/Year)       _X_Director       _10%        0fficer (give title      0fficer (give title      0fficer (give title	2)			
P O BOX 8Officer (give titleOther				
	o Owner er (specify			
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filin	ıg(Check			
Filed(Month/Day/Year)     Applicable Line)       _X_ Form filed by One Reporting Per				
SAINT PETERS, MO 63376				
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial</b>	ly Owned			
1. Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities TransactionAcquired (A) or Code5. Amount of Securities6. Ownership Form: Direct0. Instr. 3)0. Ownership (Month/Day/Year)0. Ownership (Instr. 8)0. Ownership (Instr. 3, 4 and 5)0. Ownership Securities0. Owned Following Reported0. Ownership (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
(A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price				
Common Stock $08/18/2017$ A $2,280$ (1)         A         \$ 0 $5,976$ D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. H Der Sec (In:
				Code V	(A) (I	) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (Right to Buy)	\$ 35.25	08/18/2017		А	5,700	(2)	08/18/2027	Common Stock	5,700	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
SADASIVAM SHAKER P O BOX 8 SAINT PETERS, MO 63376	Х						
Signatures							
/s/ Jeffrey W. Acre, Attorney-in-Fact		08/21/201	7				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent a restricted stock award granted to the reporting person pursuant to the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan. The award will vest in three equal annual installments beginning on August 18, 2018.
- (2) These options will vest in four equal annual installments beginning on August 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.