Mackin James P Form 4 August 15, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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(Print or Type Responses)

obligations

1(b).

(Last)

may continue.

See Instruction

1. Name and Address of Reporting Person \* Mackin James P

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CRYOLIFE INC [CRY] (First) (Middle)

3. Date of Earliest Transaction

Director 10% Owner

CRYOLIFE, INC., 1655 ROBERTS

08/13/2018

(Month/Day/Year)

X\_ Officer (give title \_ Other (specify below)

(Check all applicable)

BLVD, NW

President & CEO

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

KENNESAW, GA 30144

(City)	(State)	(Zip) Tal	d, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	08/13/2018	08/13/2018	M	103,072	A	\$ 10.24	380,970	D	
Common Stock	08/13/2018	08/13/2018	S	103,072	D	\$ 32.1712 (1)	277,898	D	
Common Stock	08/14/2018	08/14/2018	M	37,077	A	\$ 10.24	314,975	D	
Common Stock	08/14/2018	08/14/2018	S	37,077	D	\$ 32.216 (2)	277,898	D	
Common Stock	08/15/2018	08/15/2018	M	9,851	A	\$ 10.24	287,749	D	

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Common 08/15/2018 08/15/2018 S 9,851 D 31.7946 277,898 D Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of tiorDerivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Stock Options (Right to Buy)	\$ 10.24	08/13/2018	08/13/2018	M	1	103,072	09/02/2015 <u>(4)</u>	09/02/2021	Common Stock	103,0
Stock Options (Right to Buy)	\$ 10.24	08/14/2018	08/14/2018	M		37,077	09/02/2015(4)	09/02/2021	Common Stock	37,0
Stock Options (Right to Buy)	\$ 10.24	08/15/2018	08/15/2018	M		9,851	09/02/2015 <u>(4)</u>	09/02/2021	Common Stock	9,85

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

Mackin James P

CRYOLIFE, INC. President & CEO 1655 ROBERTS BLVD, NW KENNESAW, GA 30144

2 Reporting Owners

# **Signatures**

/s/ James P. 08/15/2018 Mackin

\*\*Signature of
Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects weighted average price. Range of prices were between \$32.00 to \$32.625. The reporting person will provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (2) Reflects weighted average price. Range of prices were between \$32.00 to \$32.95. The reporting person will provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) Reflects weighted average price. Range of prices were between \$31.75 to \$31.90. The reporting person will provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Stock option vests 33 1/3% per year beginning on the first anniversary of the grant date. The first exercisable date was 9/2/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3