#### INDEPENDENT BANK CORP

Form 4

December 09, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

**OMB APPROVAL** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nadeau Gerard F

(First)

(Street)

(Last)

2. Issuer Name and Ticker or Trading

Symbol

[INDB]

5. Relationship of Reporting Person(s) to Issuer

INDEPENDENT BANK CORP (Check all applicable)

below)

C/O INDEPENDENT BANK CORP., 288 UNION STREET

(Middle)

3. Date of Earliest Transaction (Month/Day/Year) 12/05/2014

Director \_X\_\_ Officer (give title

10% Owner Other (specify

**Executive Vice President** 

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ROCKLAND, MA 02370

(City)	(State)	Zip) Tabl	e I - Non-D	erivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	12/05/2014		M	793	A	\$ 34.18	35,356.308 (1)	D	
Common Stock	12/05/2014		M	1,747	A	\$ 34.18	37,103.308	D	
Common Stock	12/05/2014		M	3,960	A	\$ 34.18	41,063.308	D	
Common Stock	12/05/2014		F	5,809	D	\$ 40.18	35,254.308	D	
Common Stock							196.3016 <u>(2)</u>	I	by daughter

### Edgar Filing: INDEPENDENT BANK CORP - Form 4

Common Stock

 $198.032 \frac{(3)}{}$  I

By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
ISO - Stock Option (Right to Buy)	\$ 34.18	12/05/2014		M	793	06/09/2005(4)	12/09/2014	Common Stock	793
NQ Stock Option (Right to Buy)	\$ 34.18	12/05/2014		M	1,747	06/09/2005(5)	12/09/2014	Common Stock	1,747
NQ Stock Option (Right to Buy)	\$ 34.18	12/05/2014		M	3,960	12/15/2005 <u>(6)</u>	12/09/2014	Common Stock	3,960

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Nadeau Gerard F **Executive Vice President** 

C/O INDEPENDENT BANK CORP. 288 UNION STREET

2 Reporting Owners

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ROCKLAND, MA 02370

## **Signatures**

Sarah E. Hutchings, Power of Attorney for Gerard Nadeau

12/09/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Holdings include 7.445 shares acquired as a result of participation in the 2010 Independent Bank Corp. Dividend Reinvestment and Stock
   (1) Purchase Plan since the last Form 4 filing (8/13). Such transactions are exempt from the reporting requirements of Section 16 of the Securities and Exchange Act of 1934, as amended.
- Shares held in Filer's name f/b/o Daughter. Holdings include 1.370453 shares received pursuant to the Independent Bank Corp. 2010

  Divident Reinvestment and Stock Purchase Plan since the last Form 4 filing (8/13). Such transactions are exempt from the reporting requirements of Section 16 of the Securities and Exchange Act of 1934, as amended. The filing of this statement should not be construed as an admission that the undersigned is, for purposes of Section 16 of the Exchange Act, the beneficial owner of such securities.
- Shares held in Filer's name f/b/o Son. Holdings include 1.382757 shares received pursuant to the Independent Bank Corp. 2010 Divident Reinvestment and Stock Purchase Plan since the last Form 4 filing (8/13). Such transactions are exempt from the reporting requirements of Section 16 of the Securities and Exchange Act of 1934, as amended. The filing of this statement should not be construed as an admission that the undersigned is, for purposes of Section 16 of the Exchange Act, the beneficial owner of such securities.
- (4) Granted under the Independent Bank Corp. 1997 Employee Stock Option Plan. Shares first became exercisable on June 9, 2005
- (5) Granted under the Independent Bank Corp. 1997 Employee Stock Option Plan. 1374 shares shall first become exercisable on June 9, 2005 and the remainder of the shares shall become exercisable on December 15, 2005.
- (6) Granted under the Independent Bank Corp. 1997 Employee Stock Option Plan. Shares first became exercisable on December 15, 2005. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3