

MIDSOUTH BANCORP INC
Form 3
June 02, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Abington Leonard Clayton
(Last) (First) (Middle)

102 VERSAILLES BLVD
(Street)

LAFAYETTE, LA 70501
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
05/24/2017

3. Issuer Name and Ticker or Trading Symbol
MIDSOUTH BANCORP INC [MSL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
EVP/Corp Efficiency Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	8,628.45	D	Abington
Common Stock	1,274.04 ⁽¹⁾	I	ESOP
Common Stock	614.79	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Series C Convertible Preferred Stock	12/28/2012	Â (2)	Common Stock	1,858 (3)	\$ 18	D	Â
Series C Convertible Preferred Stock	03/18/2015	Â (2)	Common Stock	78 (4)	\$ 18	D	Â
Stock Options	Â (5)	07/17/2023	Common Stock	5,000	\$ 15.86	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Abington Leonard Clayton 102 VERSAILLES BLVD LAFAYETTE, LA 70501	Â	Â	Â EVP/Corp Efficiency Officer	Â

Signatures

Shaleen B Pellerin, Attorney
in Fact 06/02/2017

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired in Employee Stock Ownership Plan (ESOP) distributions.
- (2) The Series C Convertible Preferred Stock has no expiration date.
- (3) Received in exchange for shares of PSB Financial Corporation common stock in connection with the merger of PSB into MidSouth Bancorp, Inc. effective as of December 28, 2012 pursuant to the agreement and plan of merger, dated as of September 26, 2012.
- (4) Received as part of a required distribution from the terminated People's State Bank Profit Sharing 401(K) Plan on March 18, 2015. The plan was terminated as part of the merger of PSB into MidSouth Bancorp, Inc. effective as of December 28, 2012.
- (5) Up to 20% of the total during the second year; up to 40% during the third year; up to 60% during the fourth year; up to 80% during the fifth year and during the sixth and each subsequent year until ten years from date of grant up to 100% of the total number of shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.