#### DALTON GREGORY W

Form 4 April 25, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DALTON GREGORY W Issuer Symbol **BAR HARBOR BANKSHARES** (Check all applicable) [BHB] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O BAR HARBOR 04/23/2018 **Executive Vice President** BANKSHARES, P.O. BOX 400, 82 MAIN STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

BAR HARBOR, ME 04609

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	onAcquired Disposed	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	04/23/2018		M	396	A	\$ 0 (1)	6,278 (2)	D	
Common Stock	04/23/2018		M	399	A	\$ 0 (1)	6,677	D	
Common Stock	04/23/2018		M	330	A	\$ 0 (1)	7,007	D	
Common Stock	04/23/2018		M	1,666	A	\$ 0 (1)	8,673	D	
	04/23/2018		F	950 (3)	D	\$0	7,723	D	

### Edgar Filing: DALTON GREGORY W - Form 4

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Acqu (A) o Dispo	erivative rities ired rosed of 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Restricted Stock Units (RSUs) 2015 - 2017	\$ 0 (4)	04/23/2018		M		396	04/23/2018	04/23/2018	Common Stock	396
Restricted Stock Units (RSUs) 2016 - 2018	\$ 0 (4)	04/23/2018		M		399	04/23/2018	04/23/2019	Common Stock	399
Restricted Stock Units (RSUs) 2017 - 2019	\$ 0 <u>(4)</u>	04/23/2018		M		330	04/23/2018	04/23/2020	Common Stock	330
Performance Stock Units (PSUs)	\$ 0 (4)	04/23/2018		M		1,666	04/23/2018	04/23/2018	Common Stock	1,666

# **Reporting Owners**

Reporting Owner Name / Address			relationships		
	Director	10% Owner	Officer	Other	
DALTON GREGORY W			Executive Vice President		
C/O BAR HARBOR BANKSHARES					

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Relationships

P.O. BOX 400, 82 MAIN STREET BAR HARBOR, ME 04609

## **Signatures**

/s/Kimberly Pruett Ilg, as attorney-in-fact

04/25/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon vesting, each restricted stock unit was converted into one share of BHB Common Stock.
- (2) The total has been updated to include 1,367 shares previously reported as indirectly held.
- (3) These shares were withheld to satisfy the executive's tax liability associated with the vesting of restricted stock units. This was not an open market sale of securities.
- (4) Each restricted stock unit represents a contingent right to receive one share of BHB Common Stock.
- (5) Represents the number of restricted stock units remaining in the 2016-2018 Long Term Incentive Plan for this executive.
- (6) Represents the number of restricted stock units remaining in the 2017-2019 Long Term Incentive Plan for this executive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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