

Edgar Filing: NORTHEAST UTILITIES SYSTEM - Form 8-K

NORTHEAST UTILITIES SYSTEM

Form 8-K

August 02, 2002

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549-1004

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) August 2, 2002

-----  
Commission File Number 1-5324  
-----

NORTHEAST UTILITIES  
-----

(Exact name of registrant as specified in its charter)

MASSACHUSETTS  
-----

04-2147929  
-----

(State or other jurisdiction of  
organization)

(I.R.S. Employer incorporation or  
Identification No.)

174 BRUSH HILL AVENUE, WEST SPRINGFIELD,  
MASSACHUSETTS

01090-0010

-----  
(Address of principal executive offices) (Zip Code)

(413) 785-5871

(Registrant's telephone number, including area code)

Not Applicable  
-----

(Former name or former address, if changed since last report)

Item 5. Other Events and Regulation FD Disclosure

On August 2, 2002, Northeast Utilities submitted to the Securities and Exchange Commission Statements under Oath of the Principal Executive Officer and Principal Financial Officer in accordance with the SEC's June 27, 2002 Order requiring the filing of sworn statements pursuant to Section 21(a)(1) of the Securities and Exchange Act of 1934. Such statements do not cover the Company's Form 10Q for the second quarter of 2002 as such Form has not yet been filed. The Company intends to submit a second set of certification statements next week at the time it files its second quarter Form 10Q.

Item 7. Financial Statements and Exhibits

(c) Exhibits

Edgar Filing: NORTHEAST UTILITIES SYSTEM - Form 8-K

99.1 Statement Under Oath of Michael G. Morris,  
Chairman, President and Chief Executive Officer of  
Northeast Utilities, Regarding Facts and  
Circumstances Relating to Exchange Act Filings.

99.2 Statement Under Oath of John H. Forsgren, Vice  
Chairman, Executive Vice President and Chief  
Financial Officer of Northeast Utilities,  
Regarding Facts and Circumstances Relating to  
Exchange Act Filings.

SIGNATURE

Pursuant to the requirements of the Securities and  
Exchange Act of 1934, the registrant has duly caused this  
report to be signed on its behalf by the undersigned  
hereunto duly authorized.

NORTHEAST UTILITIES  
(registrant)

By: /s/ Gregory B. Butler  
Name: Gregory B. Butler  
Title: Vice President, Secretary  
and General Counsel

Date: August 2, 2002