ZANK DENNIS W Form 4

December 08, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

10% Owner

Other (specify

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ZANK DENNIS W** 

(First)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

RAYMOND JAMES FINANCIAL

INC [RJF]

3. Date of Earliest Transaction (Month/Day/Year)

12/07/2005

(Street) Filed(Month/Day/Year)

4. If Amendment, Date Original

3.

Issuer

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Director

X\_ Officer (give title

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

(Check all applicable)

President - RJA

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

ST. PETERSBURG, FL 33716

880 CARILLON PARKWAY

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

12/07/2005

(State)

(Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

4. Securities Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

D

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4) Amount (D) Price

Code V 2,466 A A \$0 100,943 (1)

Common I 27,606

Stock

Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**ESOP** 

SEC 1474

(9-02)

## Edgar Filing: ZANK DENNIS W - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivati Securitic Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year we is i	6. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 13.75					11/18/2002	01/18/2005	Common Stock	9,000	
Employee Stock Option (right to buy)	\$ 21.33					11/28/2004(2)	01/28/2007	Common Stock	15,000	
Employee Stock Option (right to buy)	\$ 21.03					12/10/2005(3)	02/10/2008	Common Stock	35,463	
Employee Stock Option (right to buy)	\$ 21.03					12/10/2007	02/10/2008	Common Stock	2,037	
Employee Stock Option (right to buy)	\$ 25.2					12/04/2006	02/04/2009	Common Stock	4,800	
Employee Stock Option (right to buy)	\$ 25.2					01/04/2008(4)	02/04/2009	Common Stock	7,200	
Employee Stock	\$ 37.46					12/01/2008(5)	02/01/2011	Common Stock	7,506	

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Option

(right to

buy)

Employee

Stock

(right to buy)

Option \$ 37.46

12/01/2008(6) 02/01/2011

Common Stock

2,494

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

ZANK DENNIS W

880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 President - RJA

## **Signatures**

Dennis W. Zank 12/07/2005

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of stock as portion of annual bonus
- (2) Options Currently Exercisable 7,633, Options Becoming exercisable 4,688 on 11/28/2006 and 2,679 on 1/02/2007
- (3) Options Becoming exercisable 22,500 on 12/10/2005, 7,500 on 12/10/2006 and 5,463 on 12/4/2007
- (4) Options Becoming exercisable 3,600 on 1/04/2008 and 3,600 on 1/04/2009
- (5) Options Becoming exercisable 5,753 on 12/01/2008, and 1,753 on 12/01/2009
- (6) Options Becoming exercisable 247 on 12/1/2008, 247 on 12/1/2009 and 2,000 on 12/01/2010

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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