#### Edgar Filing: NEUBERGER BERMAN REAL ESTATE SECURITIES INCOME FUND INC - Form 3

#### NEUBERGER BERMAN REAL ESTATE SECURITIES INCOME FUND INC

Form 3

September 27, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NEUBERGER BERMAN REAL ESTATE SECURITIES A Sun Life Assurance CO of (Month/Day/Year) INCOME FUND INC [NRO] Canada 09/26/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1 SUN LIFE EXECUTIVE (Check all applicable) PARK. SC 1303 (Street) 6. Individual or Joint/Group Director \_\_X\_\_ 10% Owner Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting WELLESLEY X Form filed by More than One HILLS, MAÂ 02481 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) 4.00% Mandatory Redeemable Preferred Â 160 D Shares due 9/14/2017 4.00% Mandatory Reedemable Preferred Sun Life Financial Inc. owns all 160 Ι Shares due 9/14/2017 of the outstanding (1)Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting o mier runne, runn soo	Director	10% Owner	Officer	Other	
Sun Life Assurance CO of Canada 1 SUN LIFE EXECUTIVE PARK SC 1303 WELLESLEY HILLS, MA 02481	Â	ÂX	Â	Â	
SUN LIFE FINANCIAL INC 150 KING STREET WEST SUITE 1400 TORONTO Â A6Â M5H 119	Â	ÂX	Â	Â	

## **Signatures**

/s/ John T. Donnelly, Senior Managing Director, Investment Strategic Research and Initiatives on behalf of Sun Life Assurance Company of Canada				
**Signature of Reporting Person	Date			
/s/ Ann C. King, AVP and Senior Counsel on behalf of Sun Life Assurance Company of Canada	09/27/2012			
**Signature of Reporting Person	Date			
/s/ Stephen Peacher, Authorized Signer on behalf of Sun Life Financial Inc.				
**Signature of Reporting Person	Date			
/s/ Angelo Manioudakis, Authorized Signer on behalf of Sun Life Financial Inc.				
**Signature of Reporting Person	Date			

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) common stock of Sun Life Assurance Company of Canada, which owns the securities indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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