#### Edgar Filing: VOLLANDS PHILLIP T - Form 3/A

#### **VOLLANDS PHILLIP T**

Form 3/A

February 21, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NEWPARK RESOURCES INC [NR] VOLLANDS PHILLIP T (Month/Day/Year) 07/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 9320 LAKESIDE 07/10/2017 (Check all applicable) **BOULEVARD, Â SUITE 100** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Vice President and President Person THE Form filed by More than One WOODLANDS. TXÂ 77381 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 54,613 (1) Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(2)	(3)	Common Stock	108,138	\$ 0	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
VOLLANDS PHILLIP T						
9320 LAKESIDE BOULEVARD SUITE 100	Â	Â	Vice President and President	Â		
THE WOODLANDS, TX 77381						

### **Signatures**

By: Jennifer F. Wilson For: Phillip T. Vollands 02/21/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 5,944 share difference reflects additional shares held by the reported officer as result of an incorrect tax withholding calculation.
  - 41,666 shares were inadvertently omitted from the Form 3 filed 07/10/2017, and were also omitted from one Form 4 filed by the reporting person after his original Form 3 was filed. The 41,666 shares are an aggregate of the unvested portion of two outstanding awards. (1) A
- grant of 25,641 restricted stock units vest in increments of 50% of the shares on the second anniversary of the date of grant and 50% of the shares on the fourth anniversary of the date of grant 12,821 shares will vest 05/18/2019 and 12,820 shares will vest 05/18/2021. (2) A grant of 16,025 restricted stock units vest in increments of one-third of the shares each year 5,342 shares will vest 06/01/2018, 5,342 will vest 06/01/2019 and 5,341 shares will vest 06/01/2020.
- (3) 25,641 restricted stock units vest in increments of half of the shares on May 18 every two years and 16,025 restricted stock units vest in increments of one-third on June 1 of each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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