NEWPARK RESOURCES INC

Form 4 June 02, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to STATEMI

Section 16.
Form 4 or
Form 5
obligations

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

NEWPARK RESOURCES INC

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person ** WHITE DOUGLAS L

9320 LAKESIDE

THE WOODLANDS, TX 77381

BOULEVARD, SUITE 100

(Street)

(First)

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

[NR]

06/01/2017

4 If Amendment Date (

4. If Amendment, Date Original Filed(Month/Day/Year)

2. Issuer Name **and** Ticker or Trading
Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner _____ X__ Officer (give title _____ Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

below)

Controller, CAO, PAO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | | Table 1 - Non-Derivative Securities Acquired, Disposed bi, or Denerically Owned | | | | | | | |
|--------------------------------------|---|---|---------------------|------------------|---|-----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | Transaction(A) or I | | ecurities Acquired or Disposed of (D) tr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 06/01/2017 | | Code V | Amount 1,045 (1) | or (D) D | Price \$ 7.5356 | (Instr. 3 and 4) 15,409 | D | |
| Common Stock | 06/01/2017 | | M | 4,428 | A | \$ 0 | 19,837 | D | |
| Common Stock | 06/01/2017 | | F | 1,171 (1) | D | \$ 7.5356 | 18,666 | D | |
| Common Stock | 06/01/2017 | | M | 8,123 | A | \$ 0 | 26,789 | D | |
| Common Stock | 06/01/2017 | | F | 2,193 (1) | D | \$ 7.5356 | 24,596 | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | Secur Acqui (A) or Dispo | rities nired or osed of 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|---|---|--------------------------------------|---|-----------------------------------|-------------------------------|--|--------------------|---|--|---------------------------------|
| | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | \$ 0 (2) | 06/01/2017 | M | | 4,428 | (3) | (3) | Common Stock | 4,428 | \$ |
| Restricted Stock Units | \$ 0 (2) | 06/01/2017 | M | | 8,123 | (3) | (3) | Common Stock | 8,123 | \$ |

Relationshins

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| WHITE DOUGLAS L | | | | | | | | |

9320 LAKESIDE BOULEVARD Controller, **SUITE 100** CAO, PAO

THE WOODLANDS, TX 77381

Signatures

By: Jennifer F Wilson For: Douglas L 06/02/2017 White

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligations upon the vesting of restricted shares.
- (2) Restricted stock units convert into common stock on a one-for-one basis.

Reporting Owners 2

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(3) The restricted stock units vest in increments of one-third of the shares on June 1 of each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.