

LIPKE BRIAN J
Form 4
October 05, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LIPKE BRIAN J

(Last) (First) (Middle)

SENECA ST & JAMISON RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction
(Month/Day/Year)
10/04/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Class A Common | 10/04/2018 | | M | V | 1,500 A \$ 35.12 | 7,459 | D |
| Class A Common | 10/04/2018 | | F | | 606 ⁽¹⁾ D \$ 87.04 | 6,853 | D |
| Class B Common ⁽²⁾ | | | | | | 2,088 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|
| SAR ⁽³⁾ | \$ 35.12 | 10/04/2018 | | M | 1,500 | 10/31/2009 10/31/2018 | Class A Common | 1,500 |
| SAR ⁽⁴⁾ | \$ 26.66 | | | | | 12/01/2010 12/01/2019 | Class A Common | 1,125 |
| SAR ⁽³⁾ | \$ 41.82 | | | | | 11/30/2012 11/30/2021 | Class A Common | 1,500 |
| SAR ⁽³⁾ | \$ 36.86 | | | | | 11/30/2011 11/30/2020 | Class A Common | 1,500 |
| SAR ⁽³⁾ | \$ 36.41 | | | | | 11/27/2013 11/27/2022 | Class A Common | 1,500 |
| SAR ⁽³⁾ | \$ 61.69 | | | | | 11/11/2014 11/11/2023 | Class A Common | 2,000 |
| SAR ⁽³⁾ | \$ 74.38 | | | | | 11/11/2015 11/11/2024 | Class A Common | 2,000 |
| SAR ⁽⁵⁾ | \$ 65.9 | | | | | 11/17/2016 11/17/2025 | Class B Common | 2,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LIPKE BRIAN J SENECA ST & JAMISON RD EAST AURORA, NY 14052 | X | | | |

Signatures

Timothy P. Balkin, as Power of Attorney for Brian J.
Lipke

10/05/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This represents the difference between the number of SARs exercised (1,500) and the number of shares issued as a result of the exercise

- (1) (894). The number of shares to be issued under a SAR exercise is determined by multiplying the number of SARs being exercised by the difference between the FMV on the date of exercise (\$87.04) and the exercise price (\$35.12).
- (2) Stock bonus granted under the Moog Inc. 2014 Long Term Incentive Plan.
- (3) Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- (4) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- (5) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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