

HAVERTY MICHAEL R
Form 4
July 02, 2008

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HAVERTY MICHAEL R

(Last) (First) (Middle)

KANSAS CITY SOUTHERN, PO BOX 219335

(Street)

KANSAS CITY, MO 64121-9335

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

KANSAS CITY SOUTHERN [KSU]

3. Date of Earliest Transaction (Month/Day/Year)

07/01/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | Code V | Amount (A) or (D) Price | | |
| Common Stock | 07/01/2008 | | S ⁽¹⁾ | 628 | D \$ 42.17 | 1,194,977 ⁽²⁾ | D |
| Common Stock | 07/01/2008 | | S ⁽¹⁾ | 200 | D \$ 42.2 | 1,194,777 ⁽²⁾ | D |
| Common Stock | 07/01/2008 | | S ⁽¹⁾ | 300 | D \$ 42.23 | 1,194,477 ⁽²⁾ | D |
| Common Stock | 07/01/2008 | | S ⁽¹⁾ | 200 | D \$ 42.24 | 1,194,277 ⁽²⁾ | D |
| Common Stock | 07/01/2008 | | S ⁽¹⁾ | 100 | D \$ 42.26 | 1,194,177 ⁽²⁾ | D |

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| | | | | | | | |
|--------------|------------|--------------|-----|---|----------|----------------------|---|
| Common Stock | 07/01/2008 | <u>S</u> (1) | 100 | D | \$ 42.27 | 1,194,077 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 100 | D | \$ 41.34 | 1,193,977 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 200 | D | \$ 41.35 | 1,193,777 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 200 | D | \$ 41.36 | 1,193,577 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 100 | D | \$ 41.37 | 1,193,477 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 307 | D | \$ 41.38 | 1,193,170 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 200 | D | \$ 41.39 | 1,192,970 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 300 | D | \$ 41.4 | 1,192,670 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 400 | D | \$ 41.41 | 1,192,270 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 200 | D | \$ 41.42 | 1,192,070 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 100 | D | \$ 41.43 | 1,191,970 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 200 | D | \$ 41.44 | 1,191,770 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 100 | D | \$ 41.45 | 1,191,670 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 300 | D | \$ 41.46 | 1,191,370 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 400 | D | \$ 41.47 | 1,190,970 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 100 | D | \$ 41.48 | 1,190,870 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 800 | D | \$ 41.49 | 1,190,070 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 200 | D | \$ 41.5 | 1,189,870 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 300 | D | \$ 41.51 | 1,189,570 <u>(2)</u> | D |
| Common Stock | 07/01/2008 | <u>S</u> (1) | 604 | D | \$ 41.52 | 1,188,966 <u>(2)</u> | D |
| | 07/01/2008 | <u>S</u> (1) | 200 | D | | 1,188,766 <u>(2)</u> | D |

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| | | | | | | | |
|--------------|------------|------------------|-----|---|----------|--------------------------|---|
| Common Stock | | | | | | \$ 41.53 | |
| Common Stock | 07/01/2008 | S ⁽¹⁾ | 100 | D | \$ 41.55 | 1,188,666 ⁽²⁾ | D |
| Common Stock | 07/01/2008 | S ⁽¹⁾ | 200 | D | \$ 41.58 | 1,188,466 ⁽²⁾ | D |
| Common Stock | 07/01/2008 | S ⁽¹⁾ | 114 | D | \$ 41.6 | 1,188,352 ⁽²⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| HAVERTY MICHAEL R KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121-9335 | X | | Chairman & CEO | |

Signatures

Brian P. Banks,
Attorney-in-fact

07/02/2008

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 9, 2007.

Includes 46,878 performance shares that were earned on February 28, 2008 when the KCS Compensation and Organization Committee determined that the 2007 performance goals were met. These performance shares will not vest until January 17, 2010 contingent upon continued employment by the reporting person through that date. With respect to these shares, the reporting person does not have the right to vote, receive, or be entitled to receive, cash or non-cash dividends or any other beneficial rights as a shareholder of the Company.
- (2)

Remarks:

2 of 6 Forms 4 filed.

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