

Fleming William Kevin  
Form 4  
March 01, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Fleming William Kevin

(Last) (First) (Middle)

HUMANA INC., 500 WEST MAIN STREET

(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HUMANA INC [HUM]

3. Date of Earliest Transaction (Month/Day/Year)  
02/25/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Seg President, Healthcare Svcs

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Humana Common					6,663	D	
Humana Common					116	I	See Footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options <u>(2)</u>	\$ 167.805					<u>(2)</u> 02/18/2023	Humana Common	4,005
Options <u>(3)</u>	\$ 217.415					<u>(3)</u> 03/08/2024	Humana Common	20,497
Options <u>(4)</u>	\$ 268.47					<u>(4)</u> 02/19/2025	Humana Common	6,623
Options <u>(5)</u>	\$ 271.14					<u>(5)</u> 03/01/2025	Humana Common	1,391
Options <u>(6)</u>	\$ 307.965	02/25/2019		A	7,184	<u>(6)</u> 02/25/2026	Humana Common	7,184
Restricted Stock Units <u>(7)</u>	<u>(7)</u>					<u>(8)</u> <u>(8)</u>	Humana Common	2,721
Restricted Stock Units <u>(7)</u>	<u>(7)</u>					<u>(9)</u> <u>(9)</u>	Humana Common	1,025
Restricted Stock Units <u>(7)</u>	<u>(7)</u>					<u>(10)</u> <u>(10)</u>	Humana Common	216
Restricted Stock Units <u>(7)</u>	<u>(7)</u>	02/25/2019		A	1,624	<u>(11)</u> <u>(11)</u>	Humana Common	1,624
Phantom Stock Units	<u>(12)</u>					<u>(12)</u> <u>(12)</u>	Humana Common	25

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director      10% Owner      Officer      Other

