

AMERICAN ELECTRIC POWER CO INC
Form U-9C-3
November 21, 2005

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

File No. 074-00015

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2005

Filed Pursuant to Rule 58 of the Public Utility Holding Company Act of 1935

by

AMERICAN ELECTRIC POWER COMPANY, INC.

1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended September 30, 2005

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ITEM 1 — ORGANIZATION CHART AS OF SEPTEMBER 30, 2005

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

| | Reference Code |
|---|---------------------------|
| 00. American Electric Power Company, Inc. (Parent of Rule 58 Companies shown below) | |
| 01. American Electric Power Service Corporation (Not a Rule 58 Company) | |
| 01. AEP C&I Company, LLC | 1 |
| 02. AEP Texas Commercial & Industrial Retail GP, LLC | 2 |
| 03. AEP Texas Commercial & Industrial Retail LP | 3 |
| 02. AEP Texas Commercial & Industrial Retail LP | 3 |
| 02. AEP Gas Power GP, LLC | 4 |
| 03. AEP Gas Power Systems, LLC | 5 |
| 02. REP Holdco, LLC | 6 |
| 03. Mutual Energy SWEPCO, LP | 7 |
| 03. REP General Partner LLC | 8 |
| 04. Mutual Energy SWEPCO, LP | 7 |
| 01. AEP Coal, Inc. | 9 |
| 02. Snowcap Coal Company, Inc. | 10 |
| 02. AEP Kentucky Coal, LLC | 11 |
| 02. AEP Ohio Coal, LLC | 12 |
| 02. AEP West Virginia Coal, Inc. | 13 |
| 01. AEP Investments, Inc. (Not a Rule 58 Company) | |
| 02. AEP EmTech, LLC | 14 |
| 03. Universal Supercapacitors, LLC | 15 |
| 03. Integrated Fuel Cell Technologies, Inc. | 16 |
| 03. Distribution Vision 2010, LLC | 17 |
| 02. Dynelec, Inc. | 18 |
| 02. Intercontinental Exchange, Inc. | 19 |
| 02. Powerspan Corporation | 20 |
| 02. Enerwise Global Technologies, Inc. | 21 |
| 02. Powerware Solutions, Inc. | 22 |
| 02. PHPK Technologies, Inc. | 23 |
| 01. AEP Power Marketing, Inc. | 24 |
| 02. AEP Coal Marketing, LLC | 25 |
| 02. AEP Emissions Marketing, LLC | 26 |
| 01. AEP Pro Serv, Inc. (Not a Rule 58 Company) | |
| 02. Diversified Energy Contractors Company, LLC | 27 |
| 03. DECCO II LLC | 28 |
| 04. Diversified Energy Contractors, LP | 29 |
| 03. Diversified Energy Contractors, LP | 29 |
| 02. United Sciences Testing, Inc. | 30 |
| 02. AEP Delaware Investment Company III | 31 |
| 01. AEP T&D Services, LLC | 32 |

| | |
|---|----|
| 01. AEP Texas POLR, LLC | 33 |
| 02. AEP Texas POLR GP, LLC | 34 |
| 03. POLR Power, LP | 35 |
| 02. POLR Power, LP | 35 |
| 01. AEP Resources, Inc. (Not a Rule 58 Company) | |
| 02. AEP Energy Services, Inc. | 36 |
| 01. AEP Utilities, Inc. (Not a Rule 58 Company) | |
| 02. Southwestern Electric Power Company (Not a Rule 58 Company) | |
| 03. The Arklahoma Corporation | 37 |
| 03. Southwest Arkansas Utilities Corporation | 38 |
| 03. Dolet Hills Lignite Company, LLC | 39 |
| 02. CSW Energy, Inc. (Not a Rule 58 Company) | |
| 03. CSW Development-I, Inc. | 40 |
| 04. CSW Mulberry II, Inc. | 41 |
| 05. CSW Mulberry, Inc. | 42 |
| 04. Noah I Power GP, Inc. | 43 |
| 05. Noah I Power Partners, LP | 44 |
| 04. Noah I Power Partners, LP | 44 |
| 04. CSW Orange II, Inc. | 45 |
| 05. CSW Orange, Inc. | 46 |
| 03. CSW Ft. Lupton, Inc. | 47 |
| 03. CSW Power Marketing, Inc. | 48 |
| 03. CSW Services International, Inc. | 49 |
| 03. CSW Sweeny GP I, Inc. | 50 |
| 04. CSW Sweeny GP II, Inc. | 51 |
| 05. Sweeny Cogeneration Limited Partnership | 52 |
| 03. CSW Sweeny LP I, Inc. | 53 |
| 04. CSW Sweeny LP II, Inc. | 54 |
| 05. Sweeny Cogeneration Limited Partnership | 52 |
| 02. CSW Energy Services, Inc. | 55 |
| 03. Nuvest, LLC | 56 |
| 04. National Temporary Services, Inc. | 57 |
| 05. Octagon, Inc. | 58 |
| 04. ESG, LLC | 59 |
| 04. ESG Manufacturing, LLC | 60 |
| 01. Mutual Energy, LLC | 61 |
| 02. AEP Ohio Retail Energy, LLC | 62 |

ITEM 1 - ORGANIZATION CHART (Continued)

| Reference Code | Name of Reporting Company | Energy or Gas-Related Company | Date of Organization | State of Organization | Percentage of Voting Securities Held Directly or Indirectly by American Electric Power Company, Inc. | Nature of Business |
|-----------------------|--|--------------------------------------|-----------------------------|------------------------------|---|--|
| 1 | AEP C&I Company, LLC | Energy | 10/10/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 2 | AEP Texas Commercial & Industrial Retail GP, LLC | Energy | 12/11/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 3 | AEP Texas Commercial & Industrial Retail LP | Energy | 12/12/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 4 | AEP Gas Power GP, LLC | Energy | 12/19/00 | Delaware | 100.00% | Distributed generation products |
| 5 | AEP Gas Power Systems, LLC | Energy | 07/09/96 | Delaware | 92.00% | Distributed generation products |
| 6 | REP Holdco, LLC | Energy | 04/04/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 7 | Mutual Energy SWEPCO, LP | Energy | 04/04/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 8 | REP General Partner LLC | Energy | 04/04/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 9 | AEP Coal, Inc. | Energy | 10/29/01 | Nevada | 100.00% | Coal mining |
| 10 | Snowcap Coal Company, Inc. | Energy | 10/29/01 | Delaware | 100.00% | Coal mining |
| 11 | AEP Kentucky Coal, LLC | Energy | 10/29/01 | Delaware | 100.00% | Coal mining |
| 12 | AEP Ohio Coal, LLC | Energy | 10/26/01 | Delaware | 100.00% | Coal mining |
| 13 | AEP West Virginia Coal, | Energy | 10/29/01 | Nevada | 100.00% | Coal Mining |

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| | | | | | | |
|----|--|--------|----------|----------|---------|---|
| 14 | Inc. AEP EmTech, LLC | Energy | 01/03/01 | Delaware | 100.00% | Development & commercialization of electrotechnologies Energy Investments |
| 15 | Universal Supercapacitors, LLC | Energy | 04/16/02 | Delaware | 50.00% | Energy Investments |
| 16 | Integrated Fuel Cell Technologies, Inc. | Energy | 04/04/01 | Delaware | 0.10% | Energy Investments |
| 17 | Distribution Vision 2010, LLC | Energy | 10/02/01 | Delaware | 20.00% | Energy Investments |
| 18 | Dynelec, Inc. | Energy | 06/03/94 | Ohio | 1.17% | Energy Investments |
| 19 | Intercontinental Exchange, Inc. | Energy | 06/16/00 | Delaware | 5.30% | Marketing of natural gas, electricity, or energy-related products |
| 20 | Powerspan Corporation | Energy | 05/15/97 | Delaware | 9.88% | Energy Investments |
| 21 | Enerwise Global Technologies, Inc. | Energy | 03/02/01 | Delaware | 5.00% | Energy Investments |
| 22 | Powerware Solutions, Inc. | Energy | 02/15/94 | Texas | 4.00% | Energy Investments |
| 23 | PHPK Technologies, Inc. | Energy | 07/01/91 | Ohio | 40.40% | Energy Investments |
| 24 | AEP Power Marketing, Inc. | Energy | 07/22/96 | Ohio | 100.00% | Marketing of natural gas, electricity or energy related products |
| 25 | AEP Coal Marketing, LLC | Energy | 01/22/03 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 26 | AEP Emissions Marketing, LLC | Energy | 01/22/03 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 27 | Diversified Energy Contractors Company, LLC | Energy | 07/03/97 | Delaware | 100.00% | Non-regulated energy-related services and projects |
| 28 | DECCO II LLC | Energy | 08/08/97 | Delaware | 100.00% | Non-regulated energy-related services and projects |

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| | | | | | | |
|----|--|--------|----------|----------|----------|---|
| 29 | Diversified Energy Contractors, LP | Energy | 08/18/97 | Delaware | 100.00% | Non-regulated energy-related services and projects |
| 30 | United Sciences Testing, Inc. | Energy | 05/07/97 | Delaware | 100.00% | Coal cleaning technology |
| 31 | AEP Delaware Investment Company III | Energy | 09/24/96 | Ohio | 100.00 % | Broker and market energy commodities |
| 32 | AEP T&D Services, LLC | Energy | 12/12/00 | Delaware | 100.00% | Energy services including operations, supply chain, transmission and distribution |
| 33 | AEP Texas POLR, LLC | Energy | 12/11/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 34 | AEP Texas POLR GP, LLC | Energy | 11/14/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 35 | POLR Power, LP | Energy | 11/14/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 36 | AEP Energy Services, Inc. | Energy | 09/24/96 | Ohio | 100.00% | Broker and market energy commodities |
| 37 | The Arklahoma Corporation | Energy | 05/16/47 | Arkansas | 47.60% | Electric transmission |
| 38 | Southwest Arkansas Utilities Corporation | Energy | 03/21/28 | Arkansas | 100.00% | Real estate |
| 39 | Dolet Hills Lignite Company, LLC | Energy | 04/09/01 | Delaware | 100.00% | Coal mining |
| 40 | CSW Development-I, Inc. | Energy | 12/06/90 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 41 | CSW Mulberry II, Inc. | Energy | 03/21/95 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 42 | CSW Mulberry, Inc. | Energy | 02/03/94 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 43 | Noah I Power GP, Inc. | Energy | 05/14/91 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 44 | | Energy | 05/16/92 | Delaware | 95.50% | |

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| | | | | | | |
|----|---|--------|----------|--------------|---------|--|
| | Noah I Power Partners, LP | | | | | Independent power plant/qualifying facility |
| 45 | CSW Orange II, Inc. | Energy | 03/16/95 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 46 | CSW Orange, Inc. | Energy | 04/21/93 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 47 | CSW Ft. Lupton, Inc. | Energy | 04/01/93 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 48 | CSW Power Marketing, Inc. | Energy | 03/08/96 | Delaware | 100.00% | Energy management |
| 49 | CSW Services International, Inc. | Energy | 03/19/97 | Delaware | 100.00% | Energy management |
| 50 | CSW Sweeny GP I, Inc. | Energy | 09/06/95 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 51 | CSW Sweeny GP II, Inc. | Energy | 09/06/95 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 52 | Sweeny Cogeneration Limited Partnership | Energy | 10/10/95 | Delaware | 50.00% | Independent power plant/qualifying facility |
| 53 | CSW Sweeny LP I, Inc. | Energy | 09/06/95 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 54 | CSW Sweeny LP II, Inc. | Energy | 09/06/95 | Delaware | 100.00% | Independent power plant/qualifying facility |
| 55 | CSW Energy Services, Inc. | Energy | 09/24/97 | Delaware | 100.00% | Non-regulated energy-related services and projects |
| 56 | Nuvest, LLC | Energy | 02/20/96 | Delaware | 92.90% | Staff augmentation to power plants |
| 57 | National Temporary Services, Inc. | Energy | 12/31/90 | Pennsylvania | 100.00% | Staff augmentation to power plants |
| 58 | Octagon, Inc. | Energy | 11/05/93 | Delaware | 100.00% | Staff augmentation to power plants |
| 59 | ESG, LLC | Energy | 11/27/96 | Oklahoma | 50.00% | Staff augmentation to power plants |
| 60 | ESG Manufacturing, LLC | Energy | 12/09/04 | Oklahoma | 100.00% | Staff augmentation to power plants |
| 61 | Mutual Energy, LLC | Energy | 12/17/99 | Delaware | 100.00% | Marketing of natural gas, |

| | | | | | | |
|----|-----------------------------|--------|----------|----------|---------|--|
| 62 | AEP Ohio Retail Energy, LLC | Energy | 09/05/00 | Delaware | 100.00% | electricity or energy related products Marketing of natural gas, electricity or energy related products |
|----|-----------------------------|--------|----------|----------|---------|--|

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

| Company Issuing Security | Type of Security Issued | Principal Amount of Security | Issue or Renewal | Cost of Capital | Person to Whom Security Was Issued | Collateral Given with Security | Consideration Received for Each Security |
|---------------------------------|--------------------------------|-------------------------------------|-------------------------|------------------------|---|---------------------------------------|---|
|---------------------------------|--------------------------------|-------------------------------------|-------------------------|------------------------|---|---------------------------------------|---|

None

CAPITAL CONTRIBUTIONS:

| Company Contributing Capital | Company Receiving Capital | Amount of Capital Contributions (in thousands) |
|-------------------------------------|----------------------------------|---|
|-------------------------------------|----------------------------------|---|

None

ITEM 3 — ASSOCIATED TRANSACTIONS

Part I — Transactions performed by reporting companies on behalf of associate companies

| Reporting Company Rendering Services | Associate Company Receiving Services | Types of Services Rendered | Direct Costs Charged | Indirect Costs Charged | Cost of Capital | Total Amount Billed |
|---|---|-----------------------------------|-----------------------------|-------------------------------|------------------------|----------------------------|
|---|---|-----------------------------------|-----------------------------|-------------------------------|------------------------|----------------------------|

(in thousands)

None

Part II — Transactions performed by associate companies on behalf of reporting companies

| Associate Company Rendering Services | Reporting Company Receiving Services | Types of Services Rendered | Direct Costs Charged | Indirect Costs Charged | Cost of Capital | Total Amount Billed |
|---|---|-----------------------------------|-----------------------------|-------------------------------|------------------------|----------------------------|
| American Electric Power Service Corporation | AEP Energy Services, Inc. | Administrative & Marketing | \$ 392 | \$ 626 | \$ - | \$ 1,018 |
| CSW Services International, Inc. | Sweeny Cogeneration Limited Partnership | Plant Services | 514 | 1,673 | - | 2,187 |
| | | | \$ 906 | \$ 2,299 | \$ - | \$ 3,205 |

* Also a Reporting Company

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investment in Energy-related Companies**(in thousands)**

| | | | |
|--|----|----------------|--------|
| Total Consolidated Capitalization - as of September 30, 2005 | \$ | 20,803,000 (a) | Line 1 |
| Total Capitalization Multiplied by 15% (Line 1 multiplied by .15) | | 3,120,450 | Line 2 |
| Greater of \$50 million or line 2 | \$ | 3,120,450 | Line 3 |
| Total Current Aggregate Investment: (categorized by major line of energy-related business) | | | |
| Energy-related Category 1: Energy Services | | - | |
| Energy-related Category 5: Energy Marketing | | 863,953 | |
| Energy-related Category 7: Maintenance Services | | 44,498 | |
| Energy-related Category 8: Qualifying Facility | | 662,145 | |
| Energy-related Category 10: Other Form of Energy | | 113,973 | |
| Energy-related Category 2,3,4,6,9 | | 27,834 | |
| Total Current Aggregate Investment | | 1,712,403 | Line 4 |
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4) | \$ | 1,408,047 | |
| (a) Includes short-term debt. | | | |

Investments in gas-related companies:

NONE

ITEM 5 — OTHER INVESTMENTS

| Major Line of Energy-related Business | Other Investment in Last U-9C-3 Report | Other Investment in This U-9C-3 Report | Reason for Difference in Other Investment |
|---|---|---|--|
| | (in thousands) | | |
| CSW Energy Services Invested Prior to April 1, 1997 | \$ 2,696 | \$ 2,696 | Amounts are excluded from Item 4 |
| Independent Power Plant/Qualifying Facility Invested Prior to April 1, 1997 | 194,644 | 194,644 | Amounts are excluded from Item 4 |

ITEM 6 — FINANCIAL STATEMENTS AND EXHIBIT

List all financial statements and exhibit filed as a part of this report.

Financial Statements:

Balance Sheets and Income Statements for period ended September 30, 2005 are filed confidentially under a separate cover for:

AEP C&I Company, LLC
AEP Coal, Inc.
AEP EmTech, LLC
AEP Energy Services, Inc.
AEP Gas Power GP, LLC
AEP Gas Power Systems, LLC
AEP Power Marketing, Inc.
AEP Texas Commercial & Industrial Retail LP
AEP Texas Commercial & Industrial Retail GP, LLC
AEP Texas POLR GP, LLC
CSW Development-I, Inc. (consolidated)
CSW Energy, Inc. (consolidated)
CSW Energy Services, Inc.
CSW Ft. Lupton, Inc.
CSW Power Marketing, Inc.
CSW Services International, Inc.
Diversified Energy Contractors Company, LLC (DECCO)
Dolet Hills Lignite Company, LLC
Mutual Energy LLC (consolidated)
Mutual Energy SWEPCO, LP
Nuvest, LLC
POLR Power, LP
REP General Partner LLC
Sweeny Cogeneration Limited Partnership

Exhibit:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

ITEM 6 — FINANCIAL STATEMENTS AND EXHIBIT (Continued)

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Controller and Chief Accounting Officer of American Electric Power Company, Inc., a New York corporation (“AEP”), and that:

AEP’s Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended September 30, 2005 (the “Quarterly Report on Form U-9C-3”) was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the “Specific State Commissions”).

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission
302 West Washington Street, E 306
Indianapolis, Indiana 46204

Kentucky State Commission
211 Sower Boulevard
P.O. Box 615
Frankfort, Kentucky 40602

Michigan Public Service Commission
6545 Mercantile Way
P.O. Box 30221
Lansing, Michigan 48909

Public Service Commission of West Virginia
201 Brooks Street
P.O. Box 812
Charleston, West Virginia 25323

Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Virginia State Corporation Commission
1300 East Main Street
P.O. Box 2118
Richmond, Virginia 23216

Arkansas Public Service Commission
1000 Center
P.O. Box 400
Little Rock, AR 72203-0400

Louisiana Public Service Commission
One American Place
P.O. Box 91154
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission
2102 N. Lincoln Blvd.
P.O. Box 52000-2000
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 21st day of November, 2005.

By: /s/ Joseph M. Buonaiuto

Joseph M. Buonaiuto
Controller and Chief Accounting Officer
American Electric Power Company, Inc.

SIGNATURE

The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, there unto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By: /s/ Joseph M. Buonaiuto

Joseph M. Buonaiuto
Controller and Chief Accounting Officer
American Electric Power Company, Inc.

November 21, 2005