

AMERICAN ELECTRIC POWER COMPANY INC
Form U-9C-3
August 29, 2001

File No. 074-00015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended June 30, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

by
AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended June 30, 2001

CONTENTS

| | Page |
|--|------|
| ITEM 1 - Organization Chart | 1 |
| ITEM 2 - Issuances and Renewals of Securities and Capital Contributions | 7 |
| ITEM 3 - Associated Transactions | 8 |
| ITEM 4 - Summary of Aggregate Investment | 9 |
| ITEM 5 - Other Investments | 9 |

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

| | |
|--|----|
| ITEM 6 - Financial Statements and Exhibits | 10 |
| Statements of Income | |
| Balance Sheet | |
| Exhibits | |

ITEM 1 - ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company.

| | Reference Code |
|---|----------------|
| 00 American Electric Power Company, Inc. | |
| 01 AEP C&I Company, LLC | Ref 21 |
| 02 AEP Gas Power GP, LLC | Ref 22 |
| 03 AEP Gas Power System, LLC | Ref 23 |
| 02 AEP Ohio Commercial & Industrial Retail Company, LLC | Ref 24 |
| 02 AEP Texas Commercial & Industrial Retail GP, LLC | Ref 27 |
| 03 AEP Texas Commercial & Industrial Retail LP | |
| 01 AEP Energy Services, Inc. | Ref 1 |
| 02 Energy Trading Platform Holding Company, Inc. | Ref 17 |
| 01 AEP Investments, Inc. | |
| 02 Altra Energy Technologies, Inc. | Ref 20 |
| 01 AEP Pro Serv, Inc. | |
| 02 Powerspan Corp. | Ref 18 |
| 02 PHPK Technologies, Inc. | Ref 19 |
| 01 AEP Retail Energy LLC | Ref 25 |
| 01 AEP Texas Commercial & Industrial Retail Limited Partnership | |
| 01 AEP Texas Retail GP, LLC | Ref 28 |
| 01 AEP T&D Services, LLC | Ref 26 |
| 01 Mutual Energy L.L.C. | Ref 29 |
| 02 Mutual Energy Service Company, L.L.C. | Ref 30 |
| 01 Central and South West Corporation | |
| 02 Central Power and Light Company | |
| 02 Public Service Company of Oklahoma | |
| 03 AEMT, Inc. | Ref 14 |
| 03 Ash Creek Mining Company | |
| 03 Powerware Solutions, Inc. | Ref 11 |
| 03 Utility Data Resources, Inc. | Ref 12 |
| 02 CSW Energy, Inc. | |
| 03 CSW Development-I, Inc. | |
| 04 Polk Power GP II, Inc. | |
| 05 Polk Power GP, Inc. | |
| 06 Polk Power Partners, LP | Ref 2 |
| 07 Mulberry Holdings, Inc. | |
| 04 CSW Mulberry II, Inc. | |
| 05 CSW Mulberry, Inc. | |
| 06 Polk Power Partners, LP | Ref 2 |
| 07 Mulberry Holdings, Inc. | |
| 04 Noah I Power GP, Inc. | |
| 05 Noah I Power Partners, LP | |
| 06 Brush Cogeneration Partners | Ref 4 |
| 04 Noah I Power Partners, LP | |
| 05 Brush Cogeneration Partners | Ref 4 |
| 04 Orange Cogeneration GP II, Inc. | |
| 05 Orange Cogeneration G.P., Inc. | |
| 06 Orange Cogeneration Limited Partnership | Ref 3 |
| 07 Orange Cogen Funding Corp. | |
| 07 Orange Holdings, Inc. | |
| 04 CSW Orange II, Inc. | |

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

- 05 CSW Orange, Inc.
- 06 Orange Cogeneration Limited Partnership.....Ref 3
 - 07 Orange Cogen Funding Corp.
 - 07 Orange Holdings, Inc.
- 03 CSW Development-II, Inc.
- 03 CSW Ft. Lupton, Inc.
 - 04 Thermo Cogeneration Partnership, L.P.....Ref 6
- 03 Newgulf Power Venture, Inc.
- 03 CSW Sweeny GP I, Inc.
 - 04 CSW Sweeny GP II, Inc.
- 03 CSW Sweeny LP I, Inc.
 - 04 CSW Sweeny LP II, Inc.
 - 05 Sweeny Cogeneration Limited Partnership.....Ref 7
- 03 CSW Development-3, Inc.
- 03 CSW Northwest GP, Inc.
- 03 CSW Northwest LP, Inc.
- 03 CSW Power Marketing, Inc.....Ref 9
- 03 CSW Nevada, Inc.
- 03 CSW Services International, Inc.....Ref 10
- 03 Diversified Energy Contractors Company, LLC.....Ref 8
 - 04 DECCO II LLC
 - 05 Diversified Energy Contractors, LP
 - 04 Diversified Energy Contractors, LP
 - 04 Industry and Energy Associates, L.L.C.
- 03 CSW Frontera GP I, Inc.
 - 04 CSW Frontera GP II, Inc.
 - 05 Frontera Generation Limited Partnership
 - 06 Frontera International Sales Limited
- 03 CSW Frontera LP I, Inc.
 - 04 CSW Frontera LP II, Inc.
 - 05 Frontera Generation Limited Partnership
 - 06 Frontera International Sales Limited
- 03 CSW Eastex GP I, Inc.
 - 04 CSW Eastex GP II, Inc.
 - 05 Eastex Cogeneration Limited Partnership.....Ref 5
- 03 CSW Eastex LP I, Inc.
 - 04 CSW Eastex LP II, Inc.
 - 05 Eastex Cogeneration Limited Partnership.....Ref 5
- 03 Southwestern Electric Wholesale Company
- 02 EnerShop Inc.....Ref 16
- 03 Envirotherm, Inc.
- 02 CSW Energy Services, Inc.....Ref 15
- 03 Nuvest, L.L.C.....Ref 13
 - 04 National Temporary Services, Inc.
 - 05 Octagon, Inc.
- 04 Numanco, L.L.C.
 - 05 Power Systems Energy Services, Inc.
 - 05 NuSun, Inc.
 - 06 Sun Technical Services, Inc.
 - 06 Calibration Testing Corporation
- 05 ESG Technical Services, L.L.C.
- 05 ESG Manufacturing, L.L.C.
- 05 National Environmental Services Technology, L.L.C.
- 05 ESG Indonesia, L.L.C.
- 05 Advance Shielding Technologies, L.L.C.
- 05 ESG, L.L.C.

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

| Name of Reporting Company ----- | Energy or gas-related Company ----- | Date of Organization ----- | State of Organization ----- | Percentage Of Voting Securities Held ----- |
|--|--|----------------------------------|-----------------------------------|---|
| Ref. 1 AEP Energy Services, Inc. | Energy | September 24, 1996 | Ohio | 100% |
| Ref. 2 Polk Power Partners, L.P. | Energy | February 1992 | Delaware | 46.25% |
| Ref. 3 Orange Cogeneration Limited Partnership | Energy | February 1993 | Delaware | 50% |
| Ref. 4 Brush Cogeneration Partners | Energy | November 1991 | Delaware | 47.25% |
| Ref. 5 Eastex Cogeneration Limited Partnership | Energy | September 1998 | Delaware | 99% |
| Ref. 6 Thermo Cogeneration Partnership, L.P. | Energy | April 1993 | Delaware | 50% |
| Ref. 7 Sweeny Cogeneration Limited Partnership | Energy | September 1995 | Delaware | 50% |
| Ref. 8 Diversified Energy Contractors LLC | Energy | July 1997 | Delaware | 100% |
| Ref. 9 CSW Power Marketing, Inc. | Energy | March 1996 | Delaware | 100% |
| Ref. 10 CSW Services International, Inc. | Energy | March 1997 | Delaware | 100% |
| Ref. 11 Powerware Solutions, Inc. | Energy | February 1994 | Texas | 4.0% |
| Ref. 12. Utility Data Resources, Inc. | Energy | December 1997 | Delaware | 4.5% |
| Ref. 13 Nuvest, LLC | Energy | March 1996 | Oklahoma | 93% |
| Ref. 14 AEMT, Inc. | Energy | December 1997 | Florida | 0% |
| Ref. 15 Energy Services, Inc. | Energy | September 1997 | Delaware | 100% |
| Ref. 16 EnerShop | Energy | September 1995 | Delaware | 100% |
| Ref. 17 Energy Trading Platform Holding Company, Inc. | Energy | April 2000 | Delaware | 16.7% |
| Ref. 18 Power-span Corp | Energy | | Delaware | 9.8% |

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

| | | | | |
|--|--------|----------------|----------|-------|
| Ref. 19 PHPK Technologies Inc. | Energy | July 1991 | Ohio | 40.4% |
| Ref. 20 Altra Energy Technology | Energy | December 1997 | Delaware | 5% |
| Ref. 21 AEP C&I Company, LLC | Energy | October 2000 | Delaware | 100% |
| Ref. 22 AEP Gas Power GP, LLC | Energy | December 2000 | Delaware | 100% |
| Ref. 23 AEP Gas Power System GP, LLC | Energy | December 2000 | Delaware | 75% |
| Ref. 24 AEP Ohio C&I Retail Company LLC | Energy | October 2000 | Delaware | 100% |
| Ref. 25 AEP Retail Energy, LLC | Energy | June 2000 | Delaware | 100% |
| Ref. 26 AEP T&D Services, LLC | Energy | December 2000 | Delaware | 100% |
| Ref. 27 AEP Texas C&I Retail GP, LLC | Energy | December 2000 | Delaware | 100% |
| Ref. 28 AEP Texas Retail GP, LLC | Energy | December 2000 | Delaware | 100% |
| Ref. 29 Mutual Energy LLC | Energy | December 1999 | Delaware | 100% |
| Ref. 30 Mutual Energy Service Company LLC | Energy | September 2000 | Delaware | 100% |

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

| Company Issuing Security ----- | Type of Security Issued ----- | Principal Amount of Security ----- | Issue or Renewal ----- | Cost of Capital ----- | Person to Whom Security Was Issued ----- | Collateral Given With Security ----- |
|---|--|---|------------------------------|-----------------------------|---|---|
| (in thousands) | | | | | | |

NONE

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

FINANCING AMONG ASSOCIATE COMPANIES:

| Company Issuing Security ----- | Type of Security Issued ----- | Principal Amount of Security ----- | Issue or Renewal ----- | Cost of Capital ----- | Person to Whom Security Was Issued ----- |
|--|--|---|------------------------------|-----------------------------|---|
| (in thousands) | | | | | |
| Sweeny LP, Inc. | Loan Note | \$ 8,352 | - | - | CSW Energy, Inc. |
| Eastex Cogeneration LP | Loan Note | 7,421 | - | - | CSW Energy, Inc. |
| Diversified Energy Contractors Company, LLC | Loan Note | 1,444 | - | - | CSW Energy, Inc. |
| CSW Power Marketing, Inc. | Loan Note | 213 | - | - | CSW Energy, Inc. |

CAPITAL CONTRIBUTIONS:

| Company Contributing Capital ----- | Company Receiving Capital ----- | Amount of Capital Contributions ----- |
|---|--|---|
| (in thousands) | | |
| CSW Energy, Inc. | Eastex Cogeneration LP | 20,142 |
| AEP Gas Power GP, LLC | AEP Gas Power System GP, LLC Adjustment of capitalization related to acquisition. | (116) |

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

| Reporting Company Rendering Services ----- | Associate Company Receiving Services ----- | Types of Services Rendered ----- | Direct Costs Charged ----- | Indirect Costs Charged ----- | Cost of Capital ----- | Total Amount Billed ----- |
|--|--|---|-------------------------------------|---------------------------------------|-----------------------------|------------------------------------|
| (in thousands) | | | | | | |
| Nuvest, LLC | South Texas Project | Engineering | \$1,134 | - | - | \$1,134 |
| Nuvest, LLV | D.C. Cook | Engineering | 6,752 | - | - | 6,752 |

Part II -- Transactions performed by associate companies on behalf of reporting companies

| Associate Company Rendering Services ----- | Reporting Company Receiving Services ----- | Types of Services Rendered ----- | Direct Costs Charged ----- | Indire Costs Charge ----- |
|--|--|---|-------------------------------------|------------------------------------|
|--|--|---|-------------------------------------|------------------------------------|

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

(in thou

| | | | | |
|-----------------------------------|------------------|----------------------------|----------|-------|
| American Electric | | | | |
| Power Service Corporation | AEPES | Administrative & Marketing | \$15,111 | \$1,4 |
| AEP Resources Gas Holding Company | AEPES | Administrative | 7,236 | - |
| CSW Energy, Inc. | Polk Power | | | |
| | Partners, L.P. | Plant Services | 667 | |
| CSW Energy, Inc. | Orange | | | |
| | CogenerationL.P. | Plant Services | 467 | 1 |
| CSW Dev I, Inc. | Polk Power | | | |
| | Parnters, L.P. | Plant Services | 136 | - |
| CSW Energy, Inc. | Eastex | | | |
| | CogenerationL.P. | Plant Services | 635 | |
| CSW Services International Inc. | Sweeny | | | |
| | CogenerationL.P. | Plant Services | 616 | 2 |
| | | | ----- | ----- |
| Total | | | \$24,868 | \$2,0 |
| | | | ===== | ===== |

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

(in thousands)

| | | |
|--|-----------------|--------|
| Total consolidated capitalization as of June 30, 2001 | \$24,319,666(a) | Line 1 |
| Total capitalization multiplied by 15% (line 1 multiplied by 0.15) | 3,647,950 | Line 2 |
| Greater of \$50 million or line 2 | \$3,647,950 | Line 3 |
| Total current aggregate investment: (categorized by major line of energy-related business) | | |
| Energy-related Category 1: Energy Services | 3,653 | |
| Energy-related Category 5: Energy Marketing | 465,371 | |
| Energy-related Category 7: Maintenance Services | 52,513 | |
| Energy-related Category 8: Qualifying Facility | 350,651 | |
| Energy-related Category 2,3,4,6,9,10 | 59,988 | |
| Total current aggregate investment | 932,176 | Line 4 |
| | ----- | |
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4) | \$2,715,774 | Line 5 |
| | ===== | |

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

ITEM 5 - OTHER INVESTMENTS

| | | |
|------------|-------|-------|
| Major Line | Other | Other |
|------------|-------|-------|

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

| of Energy-Related Business | Investment in Last U-9C-3 Report | Investment in This U-9C-3 Report | Reason for Difference in Other Investment |
|---|-------------------------------------|-------------------------------------|--|
| ----- | ----- | ----- | ----- |
| | (in thousands) | | |
| CSW Energy services Invested prior to April 1, 1997 | \$ 2,696 | \$ 2,696 | Amounts are excluded from Item |
| Maintenance services | 0 | 0 | |
| Qualifying facility Invested prior to April 1, 1997 | \$194,644 | \$194,644 | Amounts are excluded from Item |

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Financial Statements:

Balance sheets for period ended June 30, 2001 are filed confidentially under a separate cover for:

AEP Energy Services, Inc.
AEP Retail Energy, LLC
CSW Development-I, Inc. (consolidated)
CSW Ft. Lupton, Inc.
Sweeny Companies (consolidated)
Enershop, Inc.
CSW Energy Services, Inc.
CSW Power Marketing, Inc.
Diversified Energy Contractors Company (DECCO)
CSW Services International
Eastex Cogeneration LP Nuvest, LLC AEP C&I Company, LLC AEP Gas Power GP, LLC AEP Gas Power System GP, LLC AEP Ohio C&I Retail Company AEP Retail Energy, LLC AEP T&D Services, LLC AEP Texas C&I Retail GP, LLC AEP Texas Retail GP, LLC Mutual Energy LLC Mutual Energy Service Company

Income Statements as of the three months ended June 30, 2001 are filed confidentially under separate cover for:

AEP Energy Services, Inc.
AEP Retail Energy, LLC
CSW Development-I, Inc. (consolidated)
CSW Ft. Lupton, Inc.
Sweeny Companies (consolidated)
Enershop, Inc.
CSW Energy Services, Inc.
CSW Power Marketing, Inc.
Diversified Energy Contractors Company (DECCO)
CSW Services International
Eastex Cogeneration LP Nuvest, LLC AEP C&I Company, LLC AEP Gas Power GP, LLC AEP Gas Power System GP, LLC AEP Ohio C&I Retail Company AEP Retail Energy, LLC AEP T&D Services, LLC AEP Texas C&I Retail GP, LLC AEP Texas Retail GP, LLC Mutual Energy LLC Mutual Energy Service Company

Exhibits:

- Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

State Commissions.

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended March 31, 2000 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission
302 West Washington Street, E 306
Indianapolis, Indiana 46204

Kentucky Public Service Commission
730 Schenkel Lane
P.O. Box 615
Frankfort, Kentucky 40602

Michigan Public Service Commission
6545 Mercantile Way
P.O. Box 30221
Lansing, Michigan 48909

Public Service Commission of West Virginia
201 Brooks Street
P.O. Box 812
Charleston, West Virginia 25323

Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Virginia State Corporation Commission
1300 East Main Street
P.O. Box 2118
Richmond, Virginia 23216

Arkansas Public Service Commission
1000 Center
P.O. Box 400
Little Rock, AR 72203-0400

Louisiana Public Service Commission
One American Place
P.O. Box 91154
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission
2102 N. Lincoln Blvd.

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

P.O. Box 52000-2000
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 27th day of
August, 2001.

/s/ A. A. Pena

A. A. Pena
Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to
be signed on its behalf by the undersigned, thereunto duly authorized, pursuant
to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /S/ A. A. Pena

A. A. Pena
Treasurer

August 27, 2001