

HALLIBURTON CO  
Form 4  
December 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Pope Lawrence J

(Last) (First) (Middle)

HALLIBURTON COMPANY, 3000  
N. SAM HOUSTON PARKWAY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/07/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP Administration & CHRO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    | 12/07/2016                           |  | A                              |   | 18,400<br>(1)<br>\$ 53.54<br>(2)  | D  |                                   |
| Common Stock                    | 12/08/2016                           |  | F                              |   | 23,233<br>(3)<br>\$ 54.02   | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Option to Buy Common Stock                 | \$ 53.54   | 12/07/2016                           |  | A                              | 30,500  | 12/07/2016 <sup>(4)</sup> 12/07/2026                     | Common Stock 30,500   |
| Option to Buy Common Stock                 | \$ 38.95   |                                      |  |                                |   | 12/02/2015 12/02/2025                                    | Common Stock 44,500   |
| Option to Buy Common Stock                 | \$ 40.75   |                                      |  |                                |   | 12/03/2014 12/03/2024                                    | Common Stock 47,400   |
| Option to Buy Common Stock                 | \$ 50.62   |                                      |  |                                |   | 12/04/2013 12/04/2023                                    | Common Stock 29,400   |
| Option to Buy Common Stock                 | \$ 33.5  |                                      |  |                                |   | 12/05/2012 12/05/2022                                    | Common Stock 38,500   |
| Option to Buy Common Stock                 | \$ 35.57   |                                      |  |                                |   | 12/06/2011 12/06/2021                                    | Common Stock 28,300   |
| Option to Buy Common Stock                 | \$ 39.19   |                                      |  |                                |   | 12/01/2010 12/01/2020                                    | Common Stock 23,000   |
| Option to Buy Common Stock                 | \$ 29.35   |                                      |  |                                |   | 12/01/2009 12/01/2019                                    | Common Stock 26,500   |
|  | \$ 36.9  |                                      |  |                                |   | 12/05/2007 12/05/2017                                    | 9,100   |

Option to  
Buy  
Common  
Stock

Common  
Stock

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                           |       |
|---|---------------|-----------|---------------------------|-------|
|   | Director      | 10% Owner | Officer                   | Other |
| Pope Lawrence J<br>HALLIBURTON COMPANY<br>3000 N. SAM HOUSTON PARKWAY E.<br>HOUSTON, TX 77032 |               |           | EVP Administration & CHRO |       |

## Signatures

Robert L. Hayter, by Power of Attorney  
12/09/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
  - (2) On December 7, 2016, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$53.54.  
Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued
  - (3) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
  - (4) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.