### Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

Washington, D.C. 20549

#### GENERAL AMERICAN INVESTORS CO INC

Form 4

January 16, 2015

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL OMB** 

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Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Genid Linda J Symbol

**GENERAL AMERICAN INVESTORS CO INC [GAM]** 

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

100 PARK AVE, 35TH FLOOR 01/15/2014

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

**Assistant Corporate Secretary** 

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10017

| (City)                               | (State)                                 | (Zip) Tabl  | le I - Non-l                           | Derivative                             | Secu   | rities Acq         | quired, Disposed   | of, or Beneficia   | ally Owned  |
|--------------------------------------|---|---|--|--|--------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Di<br>(Instr. 3, | (A) or | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| 5.95%<br>Preferred<br>Stock          | 01/15/2015                              |   | <u>J(1)</u>                            | 120                                    | A      | \$<br>26.19<br>(3) | 120  | I  | By<br>Custodian<br>for<br>Daughter                                |
| Common<br>Stock                      |   |   |  |  |        |                    | 41,787   | I  | By Thrift<br>Plan Trust   |
| 5.95%<br>Preferred<br>Stock          |   |   |  |  |        |                    | 30   | D  |   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.      | 5            | 5.         | 6. Date Exerc    | cisable and  | 7. Titl | le and   | 8. Price of |
|-------------|-------------|---------------------|--------------------|---------|--------------|------------|------------------|--------------|---------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transa  | ection       | Number     | Expiration D     | ate          | Amou    | ınt of   | Derivative  |
| Security    | or Exercise |                     | any                | Code    | (            | of         | (Month/Day/      | Year)        | Under   | lying    | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. | 8) I         | Derivative | e                |              | Secur   | ities    | (Instr. 5)  |
|             | Derivative  |                     |                    |         | 5            | Securities |                  |              | (Instr. | 3 and 4) |             |
|             | Security    |                     |                    |         | I            | Acquired   |                  |              |         |          |             |
|             |             |                     |                    |         | (            | (A) or     |                  |              |         |          |             |
|             |             |                     |                    |         | I            | Disposed   |                  |              |         |          |             |
|             |             |                     |                    |         | C            | of (D)     |                  |              |         |          |             |
|             |             |                     |                    |         | (            | (Instr. 3, |                  |              |         |          |             |
|             |             |                     |                    |         | 4            | 4, and 5)  |                  |              |         |          |             |
|             |             |                     |                    |         |              |            |                  |              |         | A        |             |
|             |             |                     |                    |         |              |            |                  |              |         | Amount   |             |
|             |             |                     |                    |         |              |            | Date             | Expiration   | TP:41   | or       |             |
|             |             |                     |                    |         |              |            | Exercisable Date | Title Number |         |          |             |
|             |             |                     |                    | C 1     | <b>3</b> 7 / | (A) (D)    |                  |              |         | of       |             |
|             |             |                     |                    | Code    | V (          | (A) (D)    |                  |              |         | Shares   |             |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationship |
|---------------------------------|--------------|
| Reporting Owner Maine / Address |              |

Director 10% Owner Officer Other

Genid Linda J 100 PARK AVE 35TH FLOOR NEW YORK, NY 10017

**Assistant Corporate Secretary** 

## **Signatures**

/s/ Linda J Genid 01/16/2015

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received from a transfer of a custodial account held by maternal grandmother.
- (2) By Custodial Account for daugther, Dina Genid, under the New Jersey Uniform Transfers to Minor Act.
- (3) Market price of security at 1/15/2015.
- (4) By Issuer's Empolyees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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**SEC 1474** 

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