

SEI INVESTMENTS CO

Form 3

February 11, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â KLAUDER PAUL

(Last) (First) (Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
SEI INVESTMENTS CO [SEIC]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)SEI INVESTMENTS
COMPANY,Â ONE FREEDOM
VALLEY DRIVE

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

EXECUTIVE VICE PRESIDENT

6. Individual or Joint/Group

Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

OAKS,Â PAÂ 19456

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

COMMON STOCK

14,129 ⁽²⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/06/2018	COMMON STOCK	10,000	\$ 14.62	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/15/2019	COMMON STOCK	40,000	\$ 17.65	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/14/2020	COMMON STOCK	27,500	\$ 23.86	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/13/2021	COMMON STOCK	20,000	\$ 15.77	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/11/2022	COMMON STOCK	21,000	\$ 22.45	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/10/2023	COMMON STOCK	19,000	\$ 33.76	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/09/2024	COMMON STOCK	19,000	\$ 40.64	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	12/08/2025	COMMON STOCK	15,000	\$ 53.34	D	Â
STOCK OPTION (RIGHT TO BUY)	Â <u>(1)</u>	02/01/2026	COMMON STOCK	9,000	\$ 39.15	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KLAUDER PAUL SEI INVESTMENTS COMPANY ONE FREEDOM VALLEY DRIVE OAKS, PA 19456	Â	Â	Â EXECUTIVE VICE PRESIDENT	Â

Signatures

RUTH MONTGOMERY (ATTORNEY IN
FACT) 02/11/2016

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vests upon the earlier of the Issuer's attainment of specific levels of earnings per share or seven years from the date of the grant. The option will expire on the ten year anniversary of the date of the grant.

(2) Includes 8,340 shares held in SEI Employee Stock Purchase Plan and 5,879 shares held in SEI Capital Accumulation Plan (401K).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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