

WHIRLPOOL CORP /DE/  
Form 4  
February 22, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lovechio Joseph A

(Last) (First) (Middle)

WHIRLPOOL CORPORATION, 2000 N. M-63

(Street)

BENTON HARBOR, MI 49022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
WHIRLPOOL CORP /DE/ [WHR]

3. Date of Earliest Transaction (Month/Day/Year)  
02/17/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VICE PRESIDENT AND CONTROLLER

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |       |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-------|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |       |   |
| Common Stock                    | 02/17/2017                           |  | M <sup>(1)</sup>               |   | 13  | A  | 11                                | 2,074 | D |
| Common Stock                    | 02/17/2017                           |  | F                              |   | 3   | D  | \$ 177.19                         | 2,071 | D |
| Common Stock                    | 02/17/2017                           |  | M <sup>(1)</sup>               |   | 22  | A  | 11                                | 2,093 | D |
| Common Stock                    | 02/17/2017                           |  | F                              |   | 6   | D  | \$ 177.19                         | 2,087 | D |
| Common Stock                    | 02/17/2017                           |  | M <sup>(2)</sup>               |   | 40  | A  | 12                                | 2,127 | D |

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|              |            |                  |    |   |              |         |   |                         |
|--------------|------------|------------------|----|---|--------------|---------|---|-------------------------|
| Common Stock | 02/17/2017 | D                | 40 | D | (3)          | 2,087   | D |                         |
| Common Stock | 02/20/2017 | M <sup>(4)</sup> | 31 | A | (4)          | 2,118   | D |                         |
| Common Stock | 02/20/2017 | F                | 9  | D | \$<br>177.19 | 2,109   | D |                         |
| Common Stock | 02/20/2017 | M <sup>(4)</sup> | 52 | A | (4)          | 2,161   | D |                         |
| Common Stock | 02/20/2017 | F                | 15 | D | \$<br>177.19 | 2,146   | D |                         |
| Common Stock | 02/20/2017 | M <sup>(4)</sup> | 94 | A | (4)          | 2,240   | D |                         |
| Common Stock | 02/20/2017 | D                | 94 | D | (5)          | 2,146   | D |                         |
| Common Stock |            |                  |    |   |              | 384.902 | I | 401(k)<br>Stock<br>Fund |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |                 |        |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|-----------------|--------|----------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)   | Date Exercisable              | Expiration Date | Title  | Amount or Number of Shares |
| Restricted Stock Units                     | (1)  | 02/17/2017                           |  | M                              |   | 13   |   | (1)                           | (1)             | Common | 13                         |
| Restricted Stock Units                     | (1)  | 02/17/2017                           |  | M                              |   | 22   |   | (1)                           | (1)             | Common | 22                         |
|  | (2)  | 02/17/2017                           |  | M                              |   | 40   |   | (2)                           | (2)             | Common | 40                         |

|                                       |           |            |   |       |     |            |        |       |  |
|---------------------------------------|-----------|------------|---|-------|-----|------------|--------|-------|--|
| Restricted Stock Units                |           |            |   |       |     |            |        |       |  |
| Deferred Stock Units                  | (3)       | 02/17/2017 | A | 40    | (3) | (3)        | Common | 40    |  |
| Restricted Stock Units                | (4)       | 02/20/2017 | M | 31    | (4) | (4)        | Common | 31    |  |
| Restricted Stock Units                | (4)       | 02/20/2017 | M | 52    | (4) | (4)        | Common | 52    |  |
| Restricted Stock Units                | (4)       | 02/20/2017 | M | 94    | (4) | (4)        | Common | 94    |  |
| Deferred Stock Units                  | (5)       | 02/20/2017 | A | 94    | (5) | (5)        | Common | 94    |  |
| Restricted Stock Units                | (6)       | 02/20/2017 | A | 341   | (6) | (6)        | Common | 341   |  |
| Employee Stock Options (Right to Buy) | \$ 177.19 | 02/20/2017 | A | 1,375 | (7) | 02/20/2027 | Common | 1,375 |  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                  |       |
|---|---------------|-----------|----------------------------------|-------|
|   | Director      | 10% Owner | Officer                          | Other |
| Lovechio Joseph A<br>WHIRLPOOL CORPORATION<br>2000 N. M-63<br>BENTON HARBOR, MI 49022 |               |           | VICE PRESIDENT<br>AND CONTROLLER |       |

## Signatures

/s/ Bridget K. Quinn,  
Attorney-in-Fact

02/22/2017

\_\_Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.
- (2) Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.

(3) Upon the vesting of restricted stock units granted to the reporting person on 02/17/2017, the reporting person deferred the receipt of 40 shares of deferred stock pursuant to Whirlpool's Executive Deferred Savings Plan II. As a result, the reporting person is reporting the disposition of 40 shares of common stock in exchange for an equal number of shares of deferred stock. The deferred stock is payable following the reporting person's termination of employment with Whirlpool Corporation.

- (4) Vesting of performance-based restricted stock unit for the 2014-2016 performance period made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.

(5) Upon the vesting of performance-based restricted stock units for the 2014-2016 performance period, the reporting person deferred the receipt of 94 shares of deferred stock pursuant to Whirlpool's Executive Deferred Savings Plan II. As a result, the reporting person is reporting the disposition of 94 shares of common stock in exchange for an equal number of shares of deferred stock. The deferred stock is payable following the reporting person's termination of employment with Whirlpool Corporation.

- (6) Restricted stock units will vest and convert one-for-one to shares as follows: one-third on 02/20/2018; one-third on 02/20/2019; and one-third on 02/20/2020.

- (7) Options will vest and become exercisable as follows: one-third on 02/20/2018; one-third on 02/20/2019; and one-third on 02/20/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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