

ARENA PHARMACEUTICALS INC
Form 3
December 18, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Mezzino Maurice James</p> <p>(Last) (First) (Middle)</p> <p>C/O ARENA PHARMACEUTICALS, INC.,Â 6154 NANCY RIDGE DRIVE</p> <p>(Street)</p> <p>SAN DIEGO,Â CAÂ 92121</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>12/16/2015</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ARENA PHARMACEUTICALS INC [ARNA]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Corporate Development</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
---	---	--	--	---	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	8,763	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---------------------------	----------------------	--

Edgar Filing: ARENA PHARMACEUTICALS INC - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	09/15/2021	Common Stock	61,220	\$ 1.48	D	Â
Employee Stock Option (right to buy)	Â (2)	10/03/2021	Common Stock	9,780	\$ 1.48	D	Â
Employee Stock Option (right to buy)	Â (3)	03/19/2022	Common Stock	75,000	\$ 1.81	D	Â
Employee Stock Option (right to buy)	Â (4)	03/05/2020	Common Stock	45,000	\$ 8.54	D	Â
Employee Stock Option (right to buy)	Â (5)	03/03/2021	Common Stock	60,000	\$ 6.8	D	Â
Employee Stock Option (right to buy)	Â (6)	03/11/2022	Common Stock	75,000	\$ 4.47	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mezzino Maurice James C/O ARENA PHARMACEUTICALS, INC. 6154 NANCY RIDGE DRIVE SAN DIEGO, CA 92121	Â	Â	Â SVP, Corporate Development	Â

Signatures

Adam S. Chinnock, as
Attorney-in-Fact

12/18/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The options originally for a total of 65,220 shares vested and became exercisable in four equal annual installments beginning on September 15, 2012.

(2) The options vested and became exercisable in four equal annual installments beginning on September 15, 2012.

(3) The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 19, 2013, the second installment became exercisable on March 19, 2014, the third installment became exercisable on March 19, 2015, and the fourth installment vests on March 19, 2016.

(4) The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 5, 2014, the second installment became exercisable on March 5, 2015, the third installment vests on March 5, 2016, and the fourth installment vests on March 5, 2017.

(5)

Edgar Filing: ARENA PHARMACEUTICALS INC - Form 3

The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 3, 2015, the second installment vests on March 3, 2016, the third installment vests on March 3, 2017, and the fourth installment vests on March 3, 2018.

(6) The options vest in four equal annual installments beginning on March 11, 2016, and are exercisable once vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.