

WHITE RICHARD
Form 4
August 11, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WHITE RICHARD

(Last) (First) (Middle)

C/O ESCALADE
INCORPORATED, 817 MAXWELL
AVE

(Street)

EVANSVILLE, IN 47711

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ESCALADE INC [esca]

3. Date of Earliest Transaction
(Month/Day/Year)
08/08/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)	
Common Stock	08/09/2017		G	V 2,260 <u>(1)</u>	D \$ 12.05	147,602	D	
Common Stock					2,260	I	By Spouse	
Common Stock	08/09/2017		G	V 2,100 <u>(2)</u>	D \$ 12.05	145,502	D	
Common Stock	08/10/2017		G	V 2,260 <u>(3)</u>	D \$ 12.05	0	I	By spouse
Common Stock	08/11/2017		J	V 2,180 <u>(4)</u>	A \$ 0	2,180	I	As trustee for Elizabeth

Common Stock	08/11/2017	J	V	<u>2,180</u> (5)	A	\$ 0	2,180	I	White 2015 Trust As trustee for Alexandra White Grantor Trust 2015
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHITE RICHARD C/O ESCALADE INCORPORATED 817 MAXWELL AVE EVANSVILLE, IN 47711		X		

Signatures

/s/ Richard White 08/11/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- As part of Mr. White's personal planning, he and his wife gifted a total of 2,180 shares of common stock to each of their adult daughters who in turn each deposited the shares into trusts of which Mr. White is the trustee. As the first step in such planning, Mr. White
- (1) transferred 2,260 Escalade shares to his wife. Upon completion of all the transactions reported herein Mr. White's total beneficial ownership of 149,862 shares is unchanged but 4,360 shares are now indirectly owned and 145,502 shares are directly owned.
 - (2) Mr. White gifted 1,050 Escalade shares to each of his adult daughters.
 - (3) Mr. White's spouse gifted 1,130 Escalade shares to each of their adult daughters.
 - (4) Mr. White's adult daughter, Elizabeth White, transferred the gifted 2,180 Escalade shares to the Elizabeth White 2015 Trust. Mr. White is the trustee of the trust.
 - (5) Mr. White's adult daughter, Alexandra White, transferred the gifted 2,180 Escalade shares to the Alexandra White Grantor Trust 2015. Mr. White is the trustee of the trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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