

BERNAU JAMES W
Form 4
July 10, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BERNAU JAMES W

2. Issuer Name and Ticker or Trading Symbol
WILLAMETTE VALLEY VINEYARDS INC [WVVI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
8800 ENCHANTED WAY SE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/06/2018

____ Director
____ Officer (give title below) _____ 10% Owner
____ Other (specify below)
Chief Executive Officer

TURNER, OR 97392

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	07/06/2018	07/06/2018	S	100 D	\$ 8.36 440,605	D	
Common Stock	07/06/2018	07/06/2018	S	100 D	\$ 8.35 440,505	D	
Common Stock	07/06/2018	07/06/2018	S	100 D	\$ 8.35 440,405	D	
Common Stock	07/06/2018	07/06/2018	S	100 D	\$ 8.35 440,305	D	
Common Stock	07/06/2018	07/06/2018	S	100 D	\$ 8.35 440,205	D	

Edgar Filing: BERNAU JAMES W - Form 4

Common Stock	07/06/2018	07/06/2018	S	200	D	\$ 8.35	440,005	D
Common Stock	07/06/2018	07/06/2018	S	50	D	\$ 8.35	439,955	D
Common Stock	07/06/2018	07/06/2018	S	50	D	\$ 8.35	439,905	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.35	439,805	D
Common Stock	07/06/2018	07/06/2018	S	50	D	\$ 8.37	439,755	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.35	439,655	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.35	439,555	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.35	439,455	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.35	439,355	D
Common Stock	07/06/2018	07/06/2018	S	1,000	D	\$ 8.341	438,355	D
Common Stock	07/06/2018	07/06/2018	S	500	D	\$ 8.341	437,855	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.34	437,755	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.34	437,655	D
Common Stock	07/06/2018	07/06/2018	S	18	D	\$ 8.34	437,637	D
Common Stock	07/06/2018	07/06/2018	S	5	D	\$ 8.34	437,632	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.34	437,532	D
Common Stock	07/06/2018	07/06/2018	S	4	D	\$ 8.33	437,528	D
Common Stock	07/06/2018	07/06/2018	S	1,000	D	\$ 8.321	436,528	D
Common Stock	07/06/2018	07/06/2018	S	15	D	\$ 8.32	436,513	D
Common Stock	07/06/2018	07/06/2018	S	100	D	\$ 8.32	436,413	D
	07/06/2018	07/06/2018	S	100	D	\$ 8.32	436,313	D

Common
Stock

Common Stock 07/09/2018 07/09/2018 S 129 D \$ 8.3 436,184 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERNAU JAMES W 8800 ENCHANTED WAY SE TURNER, OR 97392			Chief Executive Officer	

Signatures

/s/ James W. Bernau 07/10/2018

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: BERNAU JAMES W - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.