WINFIELD JOHN V

Form 4 March 21, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* WINFIELD JOHN V

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

INTERGROUP CORP [INTG]

(Last)

(City)

**COMMON** 

**STOCK** 

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable) \_X\_\_ Director

X 10% Owner

11620 WILSHIRE

(Month/Day/Year)

X\_ Officer (give title below)

\_\_Other (specify

12/26/2018

PRESIDENT & CEO

**BOULEVARD, SUITE 350** (Street)

(State)

12/26/2018

4. If Amendment, Date Original

M

Applicable Line)

\_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Filed(Month/Day/Year)

LOS ANGELES, CA 90025

							· · · ,		•
1.Title of	2. Transaction Date		3.	4. Securiti			5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Dis	posea	of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	and 5	5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(A)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
GOV (7 (OV)			Code v		(D)				
COMMON STOCK	12/26/2018		F	17,439 (1)	D	\$ 31.54	1,371,468	D	
COMMON STOCK	12/26/2018			17,439	D	\$	1,371,468	D	

26,805 A

<u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

1,398,273

D

#### Edgar Filing: WINFIELD JOHN V - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Employee Incentive Stock Option (Right to Buy)	\$ 20.52	12/26/2018		M	26,805	<u>(3)</u>	12/26/2018	COMMON STOCK	26,80:

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
WINFIELD JOHN V 11620 WILSHIRE BOULEVARD SUITE 350 LOS ANGELES, CA 90025	X	X	PRESIDENT & CEO				

### **Signatures**

Reporting Person

/s/John V.
Winfield

\*\*Signature of Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares used for payment of exercise price of Incentive Stock Option.
- (2) Shares acquired upon exercise of Incentive Stock Option.
- (3) Incentive Stock Options vested over a period of five years from December 26, 2014 to December 26, 2018, at which time all options were fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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