

ATOSSA GENETICS INC
Form 3
May 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Carbonaro Peter J.
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
05/06/2014

3. Issuer Name and Ticker or Trading Symbol
ATOSSA GENETICS INC [ATOS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O ATOSSA GENETICS INC,Â 1616 EASTLAKE AVE.
EAST, SUITE 510

(Street)

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Sr. Vice President, Operations

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SEATTLE,Â WAÂ 98102

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Date Exercisable Date Expiration Date

Title Amount or Number of

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (1)	06/03/2023	Common Stock	87,000	\$ 4.58	D	Â
Stock Option (right to buy)	Â (1)	06/03/2023	Common Stock	163,000	\$ 4.58	D	Â
Stock Option (right to buy)	Â (2)	01/08/2024	Common Stock	62,500	\$ 2.2	D	Â
Stock Option (right to buy)	Â (2)	05/06/2024	Common Stock	45,000	\$ 1.22	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Carbonaro Peter J. C/O ATOSSA GENETICS INC. 1616 EASTLAKE AVE. EAST, SUITE 510 SEATTLE, WA 98102	Â	Â	Â Sr. Vice President, Operations	Â

Signatures

/s/ Peter J. Carbonaro 05/08/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option shall vest on a quarterly basis over four years. The option shall vest with respect to one-quarter of the underlying shares on the
- (1) first anniversary of the grant date and then vest with respect to 1/16th of the underlying shares quarterly thereafter so that the option is fully vested and exercisable on the fourth anniversary of the grant date.
 - (2) The option shall vest on a quarterly basis over the next four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.