Engle Robb William Form 4 July 03, 2018

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Engle Robb William

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

SONO TEK CORP [SOTK]

(Last) (First)

3. Date of Earliest Transaction

(Check all applicable)

**164 LUCAS AVENUE** 

(Month/Day/Year)

Director X\_ Officer (give title

10% Owner \_ Other (specify

06/29/2018

below)

Filed(Month/Day/Year)

Vice President

(Street) 4. If Amendment, Date Original

(Middle)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

KINGSTON, NY 12401

(City)	(State) (Z	Zip) Table	I - Non-Do	erivative S	ecurit	ies Acqı	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Fransaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Instr. 8)  (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Sono-Tek Corp Common Stock	06/29/2018		Code V M	Amount 10,000 (1)	or (D)	Price \$ 0.61	(Instr. 3 and 4) 15,896	D	
Sono-Tek Corp Common Stock	06/29/2018		F	3,211 (2)	D	\$ 1.9	12,685	D	
Sono-Tek Corp Common Stock	06/29/2018		M	11,000 (3)	A	\$ 0.48	23,685	D	

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Sono-Tek Corp Common Stock	06/29/2018	F	2,779 (4)	D	\$ 1.9	20,906	D
Sono-Tek Corp Common Stock	06/29/2018	M	12,000 (5)	A	\$ 1.05	32,906	D
Sono-Tek Common Stock	06/29/2018	F	6,632 (6)	D	\$ 1.9	26,274	D
Sono-Tek Corp Common Stock	06/29/2018	M	14,400 (7)	A	\$ 1.19	40,674	D
Sono-Tek Corp Common Stock	06/29/2018	F	9,019 ( <u>8)</u>	D	\$ 1.9	31,655	D
Sono-Tek Corp Common Stock	06/29/2018	M	30,000 (9)	A	\$ 0.91	61,655	D
Sono-Tek Corp Common Stock	06/29/2018	F	14,368 (10)	D	\$ 1.9	47,287	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisabl Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4	ecuriti
						Date Exercisable	Expiration Date	Title	Amo or Num

Code V (A)

(D)

of S

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Sono-Tek Corp Options	\$ 0.61	06/29/2018	M	10,000 (1)	11/08/2015	11/08/2022	Sono-Tek Corp Common Stock	10,
Sono-Tek Corp Options	\$ 0.48	06/29/2018	M	11,000 (3)	01/24/2016	01/24/2023	Sono-Tek Corp Common Stock	11,
Sono-Tek Options	\$ 1.05	06/29/2018	M	12,000 (5)	02/20/2015	02/20/2024	Sono-Tek Corp Common Stock	12,
Sono-Tek Options	\$ 1.19	06/28/2018	M	14,400 (7)	02/19/2016	02/19/2025	Sono-Tek Corp Common Stock	14,
Sono-Tek Options	\$ 0.91	06/29/2018	M	30,000 (9)	07/21/2016(11)	07/20/2026	Sono-Tek Common Stock	30,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Engle Robb William 164 LUCAS AVENUE KINGSTON, NY 12401

Vice President

## **Signatures**

Robb William

Engle 07/03/2018

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person exercised 10000 options.
- (2) Reporting person surrendered 3211 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (3) Reporting person exercised 11000 options.
- (4) Reporting person surrendered 2779 shares of Sono-Tek Corp Common stock as payment for the exercise of vested options.
- (5) Reporting person exercised 12000 options.
- (6) Reporting person surrendered 6632 shares of Sono-Tek Corp Common stock as payment for the exercise of vested options.
- (7) Reporting person exercised 14400 options.

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- (8) Reporting person surrendered 9019 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (9) Reporting person exercised 30000 options.
- (10) Reporting person surrendered 14368 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (11) Subject to vesting schedule.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.