

First Foundation Inc.  
Form 8-K  
March 27, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 27, 2019

FIRST FOUNDATION INC.

(Exact name of registrant as specified in its charter)

|                              |              |                        |
|------------------------------|--------------|------------------------|
| Delaware                     | 001-36461    | 20-8639702             |
| (State or other jurisdiction | (Commission  | (IRS Employer          |
| of incorporation)            | File Number) | Identification Number) |

18101 Von Karman Avenue, Suite 700

Irvine, California 92612

(Address of Principal Executive Offices) (Zip Code)

(949) 202-4160

(Registrant's Telephone Number, Including Area Code)

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written  
communications  
pursuant to Rule  
425 under the  
Securities Act (17  
CFR 230.425)  
Soliciting material  
pursuant to Rule  
14a-12 under the  
Exchange Act (17  
CFR 240.14a-12)  
Pre-commencement  
communications  
pursuant to Rule  
14d-2(b) under the  
Exchange Act (17  
CFR 240.14d-2(b))  
Pre-commencement  
communications  
pursuant to Rule  
13e-4(c) under the  
Exchange Act (17  
CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

Edgar Filing: First Foundation Inc. - Form 8-K

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

---

Edgar Filing: First Foundation Inc. - Form 8-K

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On March 22, 2018, Martha Corbett submitted her resignation from the Board of Directors and from her role as Audit Committee Chair of First Foundation Inc. (the “Company”) and its wholly-owned subsidiaries, First Foundation Bank (the “Bank”) and First Foundation Advisors (“FFA”), effective immediately. The decision of Ms. Corbett to resign was not the result of any disagreement with the Company, the Bank or FFA on any matter relating to the respective operations, policies or practices of the Company, the Bank or FFA.

Item 9.01 Financial Statements and Exhibits

| Exhibit<br>No. | Description |
|----------------|-------------|
|----------------|-------------|

|      |  |
|------|--|
| 99.1 | <u>Press Release dated March 27, 2019.</u> |
|------|--|

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FIRST FOUNDATION INC.

Date: March 27, 2019 By: /s/ JOHN M. MICHEL  
John M. Michel

Executive Vice President & Chief Financial Officer