

IRIDEX CORP  
Form 8-K  
November 01, 2018

UNITED STATES  
SECURITIES AND EXCHANGE  
COMMISSION

Washington, D.C. 20549  
FORM 8 K

CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d)  
OF THE  
SECURITIES EXCHANGE ACT OF  
1934

November 1, 2018  
(Date of Report (date of earliest event  
reported))

IRIDEX CORPORATION  
(Exact name of registrant as specified in  
its charter)

~~Delaware~~ 77-0210467  
(Commission File Number) (I.R.S.  
or Employer  
other Identification  
jurisdiction Number)  
of  
incorporation  
or  
organization)

1212 Terra Bella Avenue  
Mountain View, California 94043  
(Address of principal executive offices,  
including zip code)  
(650) 940-4700  
(Registrant's telephone  
number, including area  
code)

(Former name or former address, if  
changed since last report)

Check the appropriate box below if the  
Form 8-K filing is intended to  
simultaneously satisfy the filing  
obligation of the registrant under any of  
the following provisions (see General  
Instruction A.2. below):

Written communications pursuant to Rule  
425 under the Securities Act (17 CFR

230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).  
Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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Item 2.02. Results of Operations and Financial Condition.

On November 1, 2018, IRIDEX Corporation issued a press release discussing revenues for its third fiscal quarter of 2018, which ended September 29, 2018. The press release is furnished herewith as Exhibit 99.1 and is incorporated herein by reference.

This information shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
99.1	<u>Press Release dated November 1, 2018.</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

IRIDEX CORPORATION

By: /s/ William M. Moore  
William M. Moore

President and Chief Executive Officer

Date: November 1, 2018

