

IRIDEX CORP  
Form 8-K  
June 13, 2018

UNITED STATES  
SECURITIES AND EXCHANGE  
COMMISSION

Washington, D.C. 20549  
FORM 8 K

CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d)  
OF THE  
SECURITIES EXCHANGE ACT OF  
1934

June 13, 2018

(Date of Report (date of earliest event  
reported))

IRIDEX CORPORATION

(Exact name of registrant as specified in  
its charter)

~~Delaware~~ 77-0210467

(Commission File Number) (I.R.S.

or Employer

other Identification

jurisdiction Number)

of

incorporation

or

organization)

1212 Terra Bella Avenue

Mountain View, California 94043

(Address of principal executive offices,  
including zip code)

(650) 940-4700

(Registrant's telephone

number, including area

code)

(Former name or former address, if  
changed since last report)

Check the appropriate box below if the  
Form 8-K filing is intended to  
simultaneously satisfy the filing  
obligation of the registrant under any of  
the following provisions (see General  
Instruction A.2. below):

Written communications pursuant to Rule  
425 under the Securities Act (17 CFR

230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).  
Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

---

Item 5.07.Submission of Matters to a Vote of Security Holders.

At the Annual Meeting of Stockholders of IRIDEX Corporation (“IRIDEX” or the “Company”) held on June 13, 2018 (the “Annual Meeting”), the stockholders of IRIDEX elected the following individuals to the Board of Directors. Each Director will serve for the ensuing year or until their respective successors are duly elected and qualified.

| Nominee                         | Votes For | Votes Against | Abstentions | Broker Non Votes* |
|---------------------------------|-----------|---------------|-------------|-------------------|
| William M. Moore                | 8,377,739 | 116,161       | 100         | 2,337,728         |
| David I. Bruce                  | 8,383,688 | 110,212       | 100         | 2,337,728         |
| Sanford Fitch                   | 8,377,439 | 116,461       | 100         | 2,337,728         |
| Ruediger Naumann-Etienne, Ph.D. | 8,414,388 | 79,512        | 100         | 2,337,728         |
| Ann D. Rhoads.                  | 8,206,015 | 287,885       | 100         | 2,337,728         |
| Maria Sainz.                    | 8,414,588 | 79,312        | 100         | 2,337,728         |

\* Broker non-votes do not affect the outcome of the election.

In addition, the following proposals were voted on and approved at the Annual Meeting.

| Proposal   | Votes For  | Votes Against | Abstentions | Broker Non Votes |
|--|------------|---------------|-------------|------------------|
| Proposal to ratify the appointment of BPM LLP as the independent registered public accountants of the Company for the fiscal year ending December 29, 2018 | 10,823,459 | 8,137         | 132         | 0                |
| Proposal to approve, on a non-binding advisory basis, the compensation of the Company’s named executive officers as described in the proxy statement       | 5,692,571  | 2,050,701     | 750,728     | 2,337,728        |
| Proposal to approve the amended and restated 2008 Equity Incentive Plan  | 7,174,848  | 573,424       | 745,728     | 2,337,728        |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

IRIDEX CORPORATION

By: /s/ WILLIAM M. MOORE  
WILLIAM M. MOORE  
President and Chief Executive Officer

Date: June 13, 2018

-3-