Harrison Christopher Anthony Form 4

November 23, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Harrison Christopher Anthony Issuer Symbol BEACON ROOFING SUPPLY INC (Check all applicable) [BECN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 505 HUNTMAR PARK DR, SUITE 11/20/2018 EVP - Chief HR Officer 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HERNDON, VA 20170 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) (Instr. 3 and 4) (D) Price Code V Amount Common Stock, 11/20/2018 1,663 \$0 15,052 D M Α \$0.01 par value Common Stock, 11/20/2018 F 522 D 14,530 D \$0.01 par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

#### Edgar Filing: Harrison Christopher Anthony - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 3 (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (RSUs)	(1)	11/20/2018		M		1,663	(2)	<u>(2)</u>	Common Stock, \$0.01 par value	1,663	
Restricted Stock Units (RSUs)	(1)	11/20/2018		A	467		<u>(3)</u>	<u>(3)</u>	Common Stock, \$0.01 par value	467	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Rebuilding Owner Maine / Address	

Director 10% Owner Officer Other

Harrison Christopher Anthony 505 HUNTMAR PARK DR SUITE 300 HERNDON, VA 20170

EVP - Chief HR Officer

## **Signatures**

/s/ Christopher A.
Harrison

\*\*Signature of Reporting

Date

Derson

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one (1) share of BECN common stock.

Reporting Owners 2

### Edgar Filing: Harrison Christopher Anthony - Form 4

- (2) On November 20, 2015, the reporting individual was granted restricted stock units which vested and settled on the third anniversary of the grant date.
- (3) Represents restricted stock units vested on satisfaction of performance criteria. These units are still subject to time based vesting and will settle on November 20, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.