

IMMUCELL CORP /DE/
Form 4
June 16, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROTHSCHILD JONATHAN E

2. Issuer Name and Ticker or Trading Symbol
IMMUCELL CORP /DE/ [ICCC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)

C/O IMMUCELL CORPORATION, 56 EVERGREEN DRIVE

06/13/2014

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

PORTLAND, ME 04103

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Common Stock, par value \$0.01 per share	06/16/2014		P	800	A	\$ 4.41	505,435	D
Common Stock, par value \$0.01 per share	06/13/2014		P	2,300	A	\$ 4.5	507,735	D
Common Stock, par value \$0.01	06/13/2014		P	600	A	\$ 4.62	508,335	D

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per share							
Common Stock, par value \$0.01 per share	06/13/2014	P	600	A	\$ 4.65	508,935	D
Common Stock, par value \$0.01 per share	06/13/2014	P	200	A	\$ 4.74	509,135	D
Common Stock, par value \$0.01 per share ⁽¹⁾	06/13/2014	P	200	A	\$ 4.5	509,335	D
Common Stock, par value \$0.01 per share ⁽¹⁾ <u>(2)</u>	06/13/2014	P	300	A	\$ 4.62	509,635	D
Common Stock, par value \$0.01 per share	06/16/2014	P	200	A	\$ 4.77	509,835	D
Common Stock, par value \$0.01 per share	06/16/2014	P	200	A	\$ 4.74	510,035	D
Common Stock, par value \$0.01 per share	06/16/2014	P	300	A	\$ 4.68	510,335	D
Common Stock, par value \$0.01 per share	06/16/2014	P	1	A	\$ 4.71	510,336	D
Common Stock, par value \$0.01 per share	06/16/2014	P	200	A	\$ 4.8	510,536	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROTHSCHILD JONATHAN E C/O IMMUCELL CORPORATION 56 EVERGREEN DRIVE PORTLAND, ME 04103				

Signatures

/s/Michael F Brigham,
Attorney-in-fact

06/16/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired by Arterio Inc., a corporation owned solely by Mr. Rothschild
- (2) This figure includes 215,355 shares of common stock held by Arterio Inc., a corporation owned solely by Mr. Rothschild and 39,255 shares held by the estate of Mr. Rothschild's parents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.