

Conroy Alexandre  
Form 3  
February 08, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Conroy Alexandre

(Last) (First) (Middle)

C/O BECTON, DICKINSON  
AND COMPANY, Â 1 BECTON  
DRIVE

(Street)

FRANKLIN  
LAKES, Â NJ Â 07417

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

02/01/2019

3. Issuer Name **and** Ticker or Trading Symbol  
BECTON DICKINSON & CO [BDX]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
EVP of Integrated Supply Chain

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

26,364 <sup>(1)</sup>

D

Â

Common Stock

2,409

I

GSIP Trust <sup>(2)</sup>

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security

4. Conversion  
or Exercise

5. Ownership  
Form of

6. Nature of  
Indirect Beneficial  
Ownership

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|                           |                           |                 | (Instr. 4)   |                            | Price of            | Derivative                           | (Instr. 5) |
|---------------------------|---------------------------|-----------------|--------------|----------------------------|---------------------|--------------------------------------|------------|
|                           | Date Exercisable          | Expiration Date | Title        | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) |            |
| Stock Appreciation Rights | 11/25/2015 <sup>(3)</sup> | 11/25/2024      | Common Stock | 12,787                     | \$ 134.73           | D                                    | Â          |
| Stock Appreciation Rights | 11/26/2016 <sup>(4)</sup> | 11/26/2025      | Common Stock | 24,083                     | \$ 150.12           | D                                    | Â          |
| Stock Appreciation Rights | 11/26/2017 <sup>(5)</sup> | 11/26/2026      | Common Stock | 18,706                     | \$ 170.69           | D                                    | Â          |
| Stock Appreciation Rights | 11/26/2018 <sup>(6)</sup> | 11/26/2027      | Common Stock | 15,079                     | \$ 226.28           | D                                    | Â          |
| Stock Appreciation Rights | 11/26/2019 <sup>(7)</sup> | 11/26/2028      | Common Stock | 12,990                     | \$ 242.1            | D                                    | Â          |

## Reporting Owners

| Reporting Owner Name / Address                                                                      | Relationships |           |         |                                | Other |
|-----------------------------------------------------------------------------------------------------|---------------|-----------|---------|--------------------------------|-------|
|                                                                                                     | Director      | 10% Owner | Officer |                                |       |
| Conroy Alexandre<br>C/O BECTON, DICKINSON AND COMPANY<br>1 BECTON DRIVE<br>FRANKLIN LAKES, NJ 07417 | Â             | Â         | Â       | EVP of Integrated Supply Chain | Â     |

## Signatures

Richard Stout, by power of attorney for Alexandre Conroy

02/08/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,112 restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.
- (2) Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of January 29, 2019.
- (3) The stock appreciation rights vest in four annual installments beginning November 25, 2015.
- (4) The stock appreciation rights vest in four annual installments beginning November 26, 2016.
- (5) The stock appreciation rights vest in four annual installments beginning November 26, 2017.
- (6) The stock appreciation rights vest in four annual installments beginning November 26, 2018.
- (7) The stock appreciation rights vest in four annual installments beginning November 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.