#### Edgar Filing: WHIPPLE ARTHUR O - Form 4

#### WHIPPLE ARTHUR O

Form 4

August 07, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31,

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**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * WHIPPLE ARTHUR O			Symbol	· ·				5. Relationship of Reporting Person(s) to Issuer			
			GSITE	GSI TECHNOLOGY INC [GSIT]			(Check all applicable)				
	(Last)	(First) (N		f Earliest Tr	ansaction				400		
	GSI TECHN ELKO DRIV	OLOGY, INC., E	(Month/E) 1213 (8/07/2)	•				X Director Officer (give below)		Owner er (specify	
		(Street)	4. If Ame	ndment, Da	te Original			6. Individual or Jo	oint/Group Filin	g(Check	
	SUNNYVAI	LE, CA 94089	Filed(Moi	nth/Day/Year)	)			Applicable Line) _X_ Form filed by 0 Form filed by N Person	One Reporting Pe More than One Re		
	(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securiti on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	08/07/2017		M	15,000	A	\$ 2.49	25,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 2.49	08/07/2017		M	10,000	) 11/05/2010	11/05/2017	Common Stock	10,000	
Stock Option (right to buy)	\$ 2.49	08/07/2017		M	5,000	08/15/2008	11/05/2017	Common Stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r g	Director	10% Owner	Officer	Other			
WHIPPLE ARTHUR O GSI TECHNOLOGY, INC.	X						
1213 ELKO DRIVE	Λ						
SUNNYVALE, CA 94089							

# **Signatures**

/s/ Douglas Schirle, Attorney-in-Fact

08/07/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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