Thermon Group Holdings, Inc.

Form 4

August 20, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading VAN DER SALM JOHANNES Issuer Symbol **RENE** Thermon Group Holdings, Inc. (Check all applicable) [THR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) 100 THERMON DRIVE 08/19/2013 SVP - Global Operations (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

#### SAN MARCOS, TX 78666

(State)

(Zin)

(C:tr.)

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock (1)	08/19/2013		M	12,500	A	\$ 5.2	174,513	D			
Common Stock (1)	08/19/2013		S	12,500	D	\$ 19.43 (2)	162,013	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	iorDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
Stock Option (Right to Buy)	\$ 5.2	08/19/2013		M		12,500	05/04/2011(3)	10/20/2020	Common Stock	12
Stock Option (Right to Buy)	\$ 12						05/04/2012(4)	05/04/2021	Common Stock	5
Performance Units	\$ 0						<u>(5)</u>	<u>(5)</u>	Common Stock	5
Restricted Stock Units	\$ 0						<u>(6)</u>	<u>(6)</u>	Common Stock	5
Performance Units	\$ 0						<u>(7)</u>	<u>(7)</u>	Common Stock	8
Restricted Stock Units	\$ 0						(8)	(8)	Common Stock	8

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN DER SALM JOHANNES RENE 100 THERMON DRIVE SAN MARCOS, TX 78666

**SVP** - Global Operations

## **Signatures**

/s/ Johannes vanderSalm by Sarah Alexander as attorney in fact

08/20/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transactions pursuant to a Rule 10b5-1 plan.

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- (2) This represents the weighted average sale price. Reporting person will, upon request, supply the Issuer, the SEC Staff or a securityholder of the Issuer with full information regarding the number of shares sold at each separate price.
- (3) Options vested and became fully exercisable in connection with the Issuer's initial public offering.
- (4) Options vest in five equal annual installments beginning on May 4, 2012.
- On August 2, 2012, the reporting person was granted a performance unit award, which vests in annual installments on March 31, 2014 and March 31, 2015. The number of shares reflected in this filing represents the Target Award. The actual number of shares that may vest depends on the Issuer's total shareholder return performance relative to its peer group and ranges from 0% below Threshold performance, 50% at Threshold performance, 100% at Target performance and 200% at Maximum performance.
- On August 2, 2012, the reporting person was granted a restricted stock unit award that vests in equal annual installments on August 2, 2014 and 2015.
- On August 1, 2013, the reporting person was granted a performance unit award, which vests in annual installments on March 31, 2014, 2015 and 2016. The number of shares reflected in this filing represents the Target Award. The actual number of shares that may vest depends on the Issuer's total shareholder return performance relative to its peer group and ranges from 0% below Threshold performance, 50% at Threshold performance, 100% at Target performance and 200% at Maximum performance.
- (8) On August 1, 2013, the reporting person was granted a restricted stock unit award that vests in equal annual installments on August 1, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.