

BENNION RICHARD W H  
Form 4  
March 05, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BENNION RICHARD W H

2. Issuer Name and Ticker or Trading Symbol  
HomeStreet, Inc. [HMST]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
601 UNION STREET, SUITE 2000

3. Date of Earliest Transaction (Month/Day/Year)  
03/01/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP - Res. Lending Director

(Street)  
SEATTLE, WA 98101

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(D)	Price			
Common Stock	03/01/2013		S		108	D	\$ 24.58	39,226.09 <sup>(1)</sup>	I	HomeStreet, Inc. 401(k) Savings Plan <sup>(2)</sup>
Common Stock	03/01/2013		S		600	D	\$ 24.59	38,626.09	I	HomeStreet, Inc. 401(k) Savings Plan <sup>(2)</sup>
Common Stock	03/01/2013		S		308	D	\$ 24.6	38,318.09	I	HomeStreet, Inc. 401(k) Savings Plan <sup>(2)</sup>

Edgar Filing: BENNION RICHARD W H - Form 4

Common Stock	03/01/2013		S	8	D	\$ 24.62	38,310.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock	03/01/2013		S	2,987	D	\$ 24.63	35,323.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock	03/01/2013		S	200	D	\$ 24.64	35,123.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock	03/01/2013		S	3,200	D	\$ 24.65	31,923.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock	03/01/2013		S	108	D	\$ 24.7	31,815.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock	03/01/2013		S	173	D	\$ 24.72	31,642.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock	03/01/2013		S	308	D	\$ 24.75	31,334.09	I	HomeStreet, Inc. 401(k) Savings Plan <u>(2)</u>
Common Stock							10,856	I	Bennion Revocable Living Trust <u>(3)</u>
Common Stock							10,070.4	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Nu Deriv Secur
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	--------------	--	-----------------------------------	---------------------------------	-------------------

Edgar Filing: BENNION RICHARD W H - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Securities (Instr. 3 and 4)	(Instr. 5)	Bene Own Follo Repo Trans (Instr	
			Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BENNION RICHARD W H 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101			EVP - Res. Lending Director	

## Signatures

/s/ Godfrey B. Evans, attorney in fact for Richard W.H.  
Bennion

03/05/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Since the date of the reporting person's last ownership report, the reporting person acquired 1,854.228 shares of HomeStreet, Inc. common stock in June 2012 under the HomeStreet, Inc. Employee Stock Ownership Plan pursuant to an annual diversification of plan shares made under the Internal Revenue Code.
- (1) These shares were previously held in the HomeStreet, Inc. Employee Stock Ownership Plan, which merged into the HomeStreet, Inc. 401(k) Savings Plan, or 401(k) Plan, on July 26, 2012. Participants in HomeStreet, Inc.'s 401(k) Savings Plan have the authority to direct voting of shares they hold through the 401(k) Plan.
  - (2) Mr. Bennion is the co-trustee with Diane Bennion for the Bennion Revocable Living Trust dated 12/19/02.
  - (3)

### Remarks:

Share numbers reflect a 2-for-1 forward split of the issuer's stock on November 5, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.