

BROWN FORMAN CORP
Form 3/A
March 31, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p><u>Â</u> Brown Stuart R</p> <p>(Last) (First) (Middle)</p> <p>850 DIXIE HIGHWAY</p> <p>(Street)</p> <p>LOUISVILLE, <u>Â</u> KY <u>Â</u> 40210</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/20/2015</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>BROWN FORMAN CORP [BFA, BFB]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>05/20/2015</p> <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Class A Common | 20,439 ⁽¹⁾ | I | 2015 Trust ⁽²⁾ |
| Class B Common | 5,109 ⁽¹⁾ | I | 2015 Trust ⁽²⁾ |
| Class A Common | 3,901,391 ⁽¹⁾ | I | Olympus One, LLC |
| Class B Common | 4,373,082 ⁽¹⁾ | I | Olympus One, LLC |
| Class A Common | 78,271 ⁽¹⁾ | I | Trust u/w W.L. Lyons Brown, Sr. FBO W.L. Lyons Brown, Jr. |
| Class B Common | 16,712 ⁽¹⁾ | I | Trust u/w W.L. Lyons Brown, Sr. FBO W.L. Lyons Brown, Jr. |
| Class A Common | 127,299 ⁽¹⁾ | I | W. L. Lyons Brown Jr. 2012 Irrevocable Trust |
| Class B Common | 21,343 ⁽¹⁾ | I | W. L. Lyons Brown Jr. 2012 Irrevocable Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Brown Stuart R 850 DIXIE HIGHWAY LOUISVILLE, KY 40210 | X | | | |

Signatures

Kelly A. Bowen, Attorney in Fact for Stuart R. Brown 03/31/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amended to correct total.

(2) Formerly known as First Ky Trust #2.

Remarks:

Exhibit 24 - Stuart R. Brown Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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