

BROWN FORMAN CORP  
Form 4  
March 31, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Barzun Brooke Brown

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/08/2015

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(D)	Price			
Class A Common	12/10/2015		G		792	D	\$ 0	236,963	D	
Class A Common	12/08/2015		G		125	A	\$ 0	237,088	D	
Class A Common	12/08/2015		G		125	A	\$ 0	3,646	I	By Spouse
Class A Common	12/09/2015		G		13,626	A	\$ 0	30,472	I	OB3 GST Exempt Trust <sup>(1)</sup>
Class A Common	12/09/2015		G		5,358	A	\$ 0	5,358	I	OB3 GST Non-Exempt Trust

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Class A Common	12/08/2015	G	125	A	\$ 0	4,740	I	Trust fbo Child-1
Class A Common	12/08/2015	G	125	A	\$ 0	8,554	I	Trust fbo Child-2
Class A Common	12/08/2015	G	125	A	\$ 0	8,554	I	Trust fbo Child-3
Class A Common	09/08/2015	G	67,714	D	\$ 0	0	I	Trust fbo Owsley Brown III
Class B Common						27,381	D	
Class A Common						126,948	I	BBB 2002 GRAT
Class B Common						22,897	I	BBB 2002 GRAT
Class A Common						9,813	I	BBB 2010 GRAT
Class A Common						41,748	I	BBB 2011 GRAT
Class B Common						4,225	I	BBB 2011 GRAT
Class A Common						205,527	I	BBB Revocable Trust
Class B Common						17,250	I	BBB Revocable Trust
Class B Common						946	I	By Spouse
Class B Common						45,671	I	CLB 2012 Trust
Class A Common						2,290	I	CLB 2012 Trust
Class A Common						196,272	I	CLB Grandchildren Eq. Tr.
Class B Common						122,685	I	CLB Grandchildren Eq. Tr.
Class A Common						18	I	Eleanor Lee Trusts
Class B Common						12,895	I	Eleanor Lee Trusts

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Class B Common	51,440	I	GST Exempt QTIP Trust
Class B Common	201,736	I	GST Non-Exempt QTIP Trust
Class A Common	740,610	I	Guilford-Brown LP
Class A Common	150,000	I	Hackberry 2010 LLC
Class B Common	546	I	Hebe Exempt Trust
Class A Common	316,837	I	Hebe Three Limited Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Barzun Brooke Brown 850 DIXIE HIGHWAY LOUISVILLE, KY 40210		X		

## Signatures

Kelly A. Bowen, Atty in Fact for Brooke Brown  
Barzun

03/31/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Formerly known as Trust fbo OB3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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