

COLUMBUS MCKINNON CORP
Form 4
May 21, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Giesige Charles R

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
VP Corporate Development

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/19/2014

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock					19,207	D	
Common Stock	05/19/2014		F	304 ⁽¹⁾ D	\$ 27.12	18,903 ⁽²⁾	D
Common Stock	05/19/2014		A	4,801 ⁽³⁾ A	\$ 0	24,008 ⁽⁴⁾	D
Common Stcok					867.1757 ⁽⁵⁾		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	526
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,566
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,868
Non-Qualified Stock Option (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,837
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	4,346 <u>(10)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Giesige Charles R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP Corporate Development	

Signatures

Charles R.
Giesige

05/21/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares withheld to satisfy tax withholding obligation upon vesting 824 restricted stock units on 5/19/2014.

Includes 12,056 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,520 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 3,542 shares become fully vested and non-forfeitable

(2) 33.33% per year for three years beginning 5/21/2014; 2,060 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

(3) Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010. 3,565 units become fully vested and non-forfeitable on 5/23/2014 and the remaining 1,236 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.

(4) Includes 10,360 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,520 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014, 2,361 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 1,545 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer. Also includes 4,801 shares which become fully vested and non-forfeitable on 5/20/2016.

(5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").

(6) All exercisable, subject to IRS limitations.

(7) All exercisable, subject to IRS limitations.

(8) Exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.

(9) Exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.

(10) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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