

Miller John Patrick  
Form 3  
May 03, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â Miller John Patrick                     |         | (Month/Day/Year)                     | UNITED BANCSHARES INC/OH [UBOH]                    |  |
| (Last)                                    | (First) | (Middle)                             | 04/24/2019   |  |
| 105 PROGRESSIVE DR.                       |         |                                      | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)                             |  |
| COLUMBUS                                  |         |                                      | <input type="checkbox"/> Director                  | <input type="checkbox"/> 10% Owner                                     |
| GROVE,Â OHÂ 45830                         |         |                                      | <input checked="" type="checkbox"/> Officer        | <input type="checkbox"/> Other   |
| (City)                                    | (State) | (Zip)                                | (give title below)                                 | (specify below)  |
|   |         |                                      | Chief Lending Officer                              |  |
|   |         |                                      |  | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |         |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 328   | D  | Â   |
| Common Stock                    | 1,487   | I  | Shares held jointly with spouse.<br>(1)               |
| Common Stock                    | 18,052  | I  | ESOP (2)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial |
|---------------------------------|--|--|---------------|--------------|----------------------------------|
|---------------------------------|--|--|---------------|--------------|----------------------------------|

## Edgar Filing: Miller John Patrick - Form 3

| (Instr. 4)                  |                           |                    | Derivative Security<br>(Instr. 4) |                                  | or Exercise<br>Price of<br>Derivative<br>Security | Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | Ownership<br>(Instr. 5) |
|-----------------------------|---------------------------|--------------------|-----------------------------------|----------------------------------|---|--|-------------------------|
|                             | Date Exercisable          | Expiration<br>Date | Title                             | Amount or<br>Number of<br>Shares |   |  |                         |
| Stock Option (right to buy) | 11/15/2017 <sup>(3)</sup> | 11/15/2026         | Common Stock                      | 3,900                            | \$ 19.32  | D  | Â                       |
| Stock Option (right to buy) | 07/26/2018 <sup>(4)</sup> | 07/26/2027         | Common Stock                      | 3,327                            | \$ 21.7   | D  | Â                       |
| Stock Option (right to buy) | 08/24/2019 <sup>(5)</sup> | 08/24/2028         | Common Stock                      | 3,183                            | \$ 23.3   | D  | Â                       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| Miller John Patrick<br>105 PROGRESSIVE DR.<br>COLUMBUS GROVE, OH 45830 | Â             | Â         | Â Chief Lending Officer | Â     |

## Signatures

/s/ John Patrick  
Miller

05/03/2019

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly with spouse as John P Miller & Lynn E Miller JT TEN
- (2) Shares held in trust through the Corporation's Employee Stock Ownership Plan ("ESOP") for the reporting person.
- (3) The options vest over three years, at a rate of 33.33% per year (33.34% in the final year), beginning on November 15, 2017.
- (4) The options vest over three years, at a rate of 33.33% per year (33.34% in the final year), beginning on July 26, 2018.
- (5) The options vest over three years, at a rate of 33.33% per year (33.34% in the final year), beginning on August 24, 2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.