Najafi Ramin Form 4 May 31, 2011

### FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

Washington, D.C. 20549

January 31, Expires: 2005

**SECURITIES** 

Estimated average burden hours per

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

05/27/2011

Stock

Common

Stock

1. Name and Address of Reporting Person * Najafi Ramin			ossuer Name <b>and</b> Ticker or Trading ool aBay Pharmaceuticals, Inc. Y]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	, , ,	(Mo 05/2 C., 5980	te of Earliest Transaction hth/Day/Year) 7/2011	X Director 10% OwnerX Officer (give titleX Other (specify below) Chief Executive Officer / Chairman			
	(Street)	4. If	Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
EMERYVI	ILLE, CA 94608	Filed	(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Γable I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code (Instr. 3, 4 and 5)  (Instr. 8)  (A)  or  Code V Amount (D) Price	Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)			
Common	05/27/2011		Ρ 500 Δ \$	15 252 D			

500

15,252

3,117,500

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

By the **NAJAFI** 

Family

Trust dated September 13, 2006

### Edgar Filing: Najafi Ramin - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of		3. Transaction Date		4.	5.	6. Date Exerc			le and	8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>		Secur	rlying	Derivative Security (Instr. 5)	Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Najafi Ramin

C/O NOVABAY PHARMACEUTICALS, INC.

5980 HORTON STREET, SUITE 550

EMERYVILLE, CA 94608

X Chief Executive Officer Chairman

### **Signatures**

/s/ Theresa Granados, ATTORNEY-IN-FACT FOR RAMIN 05/31/2011 **NAJAFI** 

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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