Edgar Filing: Theil L Rita - Form 4

Theil L Rita											
Form 4											
March 02, 2018											
FORM 4						NOT			OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OND	3235-0287				
Check this box		vv a	snington	, D.C. 20	1549			Number:	January 31,		
if no longer STATE	* STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						Expires:	2005			
subject to STATE Section 16.		r Chai	SECUE		ICIA	LU	WILLINGHI OF	Estimated	Estimated average burden hours per response 0.5		
Form 4 or			SECCI								
	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							16300136	. 0.5		
obligations Section 1							of 1935 or Section	on			
may continue. See Instruction	30(h)	of the I	nvestment	Compar	iy Act	t of 1	940				
1(b).											
(Print or Type Responses)											
1. Name and Address of Reportir	g Person *	2 Icen	r Nama an	Ticker or	Tradin	NG	5. Relationship o	of Reporting Per	son(s) to		
Theil L Rita	Symbol	2. Issuer Name and Ticker or Trading				Issuer					
		•	Global Water Resources, Inc.								
	[GWRS]					(Check all applicable)					
(Last) (First)	(Middle)	- 3. Date of	of Earliest T	ransaction			X Director	109	% Owner		
			Month/Day/Year)				Officer (give title Other (specify				
C/O GLOBAL WATER		02/28/2	2018				below)	below)			
RESOURCES, INC., 2141	0 N 19TH										
AVENUE #220											
			. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
			onth/Day/Yea	r)			Applicable Line)				
DUCENUX AT 05007							_X_ Form filed by Form filed by	One Reporting P More than One R			
PHOENIX, AZ 85027							Person		1 0		
(City) (State)	(Zip)	Tab	le I - Non-l	Derivative	Securi	ities A	cquired, Disposed o	of, or Beneficia	lly Owned		
1.Title of 2. Transaction Dat	te 2A. Deem	led	3.	4. Securit	ies		5. Amount of	6. Ownership	7. Nature of		
Security (Month/Day/Year)) Execution	Execution Date, if		TransactionAcquired (A) or				Form: Direct	Indirect		
(Instr. 3)	any (Manth /D	(V)	Code					D) or Indirect			
	(Month/D	ay/rear)	(Instr. 8)	(Instr. 5, 4	+ and 5)		(I) (Instr. 4)	Ownership (Instr. 4)		
					(Λ)		Reported	(()		
					(A) or		Transaction(s)				
			Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Reminder: Report on a separate li	ne for each c	lass of sec	urities bene	ficially ow	ned dire	ectly o	or indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Derivativ	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Phantom Unit	<u>(1)</u>	02/28/2018		А	129	(2)	(2)	Common Stock	129	\$ 0

Reporting Owners

Reporting Owner Name / Address		Relationships					
r of the term		Director	10% Owner	Officer	Other		
Theil L Rita C/O GLOBAL WATER RESOURCES, I 21410 N 19TH AVENUE #220 PHOENIX, AZ 85027	NC.	X					
Signatures							
/s/ Joanne Ellsworth, attorney-in-fact	03/02	2/2018					
**Signature of Reporting Person	Da	ate					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each deferred phantom unit ("DPU") is the economic equivalent to one share of common stock of Global Water Resources, Inc. ("the Company").
- (2) The DPUs are fully vested upon grant. In addition, the DPUs are only permitted to be redeemed upon the reporting person ceasing to be a director of the Company and do not have a set expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.