

Pacific Green Technologies Inc.

Form 3/A

November 25, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â SHEAD ALEXANDER

(Last) (First) (Middle)

10/50 BERRY STREET

(Street)

NORTH SYDNEY

NSW,Â C3Â 0000

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/20/2015

3. Issuer Name **and** Ticker or Trading Symbol

Pacific Green Technologies Inc. [PGTK]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)5. If Amendment, Date Original
Filed(Month/Day/Year)

09/18/2015

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

83,700

I

Family Trust

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: Pacific Green Technologies Inc. - Form 3/A

				Shares		(I) (Instr. 5)	
Stock Option	07/20/2015	07/20/2018	Common Stock	50,000	\$ 0.01	D	Â
Stock Options	05/20/2015	05/20/2017	Common Stock	125,000	\$ 1 ⁽¹⁾	I	Family Trust

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHEAD ALEXANDER 10/50 BERRY STREET NORTH SYDNEY NSW,Â C3Â 0000	Â X	Â	Â	Â

Signatures

/s/Alexander
Shead 11/25/2015

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The stock options are only to be exercised at a price that is a 25% discount to the average market price of the common shares of the
(1) Company during 10 consecutive business days and the average offer price is not meant to be less than \$1.00 or greater than \$2.50.
Additionally, a minimum of 93,750 options must be exercised if at all.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.