Edgar Filing: ALIGN TECHNOLOGY INC - Form 3

ALIGN TECHNOLOGY INC Form 3 December 18, 2007 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Wright Emory			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN]			
(Last)	(First)	(Middle)	12/18/2007	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)
C/O ALIGN T INC., 881 M				(Check	all applicable)		
SANTA CLA	(Street) RA, CA	AÂ 95050		.e			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securiti	es Be	neficially Owned
1.Title of Securit (Instr. 4)	у		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•
Common Stor	ck		2,645		D	Â	
Reminder: Repor owned directly or	-		ach class of securities benefic	ially S	SEC 1473 (7-02)	
	inforn requir	nation conta red to respo	pond to the collection of ained in this form are not and unless the form displ MB control number.	t			

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

OMB APPROVAL

OMB Number:	3235-0104
Expires:	January 31, 2005
Estimated a	
burden houi response	0.5
10000130	0.5

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Right to Buy (Common Stock) (1)	04/23/2004	04/23/2013	Common Stock	2,813	\$ 6.15	D	Â
Right to Buy (Common Stock) (1)	02/24/2007	02/24/2016	Common Stock	27,000	\$ 8.38	D	Â
Right to Buy (Common Stock) (1)	02/25/2005	02/25/2014	Commmon Stock	2	\$ 7.35	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	5,063	\$ 0.0001 (<u>3</u>)	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	6,161	\$ 0.0001 (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wright Emory C/O ALIGN TECHNOLOGY INC. 881 MARTIN AVE. SANTA CLARA, CA 95050	Â	Â	VP Operations	Â			
Signatures							
Emory Wright 12/18/200)7						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an option in which 25% of the shares subject to the option become vested and exercisable one year after the date of grant and 1/48th of the shares subject to the option become vested and exercisable each month thereafter.
- (2) 1/4th of the restricted stock units vested and became exercisable on February 24, 2007, and 1/16th of the restricted stock units will vest each quarter thereafter. Vested shares will be delivered to the reporting person following each vest date.
- (3) Represents the par value per share of ALGN common stock.
- (4) 1/4th of the restricted stock units will vest on February 20, 2008, and 1/16th of the restricted stock units will vest each quarter thereafter. Vested shares will be delivered to the reporting person following each vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.