BEECHEM JOSEPH M

Form 4

February 06, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB 3235-0287

Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BEECHEM JOSEPH M			2. Issue Symbol	r Name an	d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			•	_	hnologies Inc				
(Last)	(First)	(Middle)			Transaction	Director _X_ Officer (give	10%		
530 FAIRVIEW AVENUE N			`	Day/Year)		below)	below)	i (specify	
			02/04/2	019		SVP, Research & Development			
(Street)			4. If Ame	endment, D	Oate Original	6. Individual or Joint/Group Filing(Check			
		Filed(Mo	nth/Day/Yea	ar)	Applicable Line)				
SEATTLE,	WA 98109					_X_ Form filed by N Form filed by N Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of	2. Transaction	Date 2A. Dee	med	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature	
Security	(Month/Day/Ye	ear) Execution	on Date, if	Transact	ion(A) or Disposed of (D)	Securities	Form: Direct	Indirect	

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	, ,	,
Common Stock	02/04/2019		M	4,168	A	<u>(1)</u>	21,867	D	
Common Stock	02/04/2019		F	1,511 (2)	D	\$ 22.62	20,356	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: BEECHEM JOSEPH M - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities		8. Pr Deriv Secu (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(3)	02/04/2019	M	4,168	<u>(4)</u>	<u>(4)</u>	Common Stock	4,168	\$

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BEECHEM JOSEPH M 530 FAIRVIEW AVENUE N SEATTLE, WA 98109

SVP, Research & Development

Signatures

/s/ Shannon Atchison, Attorney-in-fact 02/06/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units ("RSUs") convert into Common Stock on a one-for-one basis.
- (2) The reported shares were withheld to cover the reporting person's tax liability in connection with the vesting of RSUs. The shares were not issued to or sold by the reporting person.
- (3) Each RSU represents a contingent right to receive one (1) share of Issuer's common stock.
- On February 3, 2016, the reporting person was granted 12,500 RSUs, vesting in three equal installments beginning on the first market trading day following the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2