#### **BEECHEM JOSEPH M**

Form 4

February 07, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

Form filed by More than One Reporting

January 31, 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Person

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BEECHEM JOSEPH M** Issuer Symbol NanoString Technologies Inc (Check all applicable) [NSTG] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_Other (specify (Month/Day/Year) below) 530 FAIRVIEW AVENUE N 02/05/2018 SVP, Research & Development (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

SEATTLE, WA 98109

(City)	(State) (Z	Zip) Table	I - Non-Do	erivative S	Securi	ties Acc	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	recution Date, if Transaction Code		ties l (A) o l of (D 4 and	))	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/05/2018		M	4,166	A	<u>(1)</u>	14,220	D	
Common Stock	02/05/2018		F	1,611 (2)	D	\$ 6.7	12,609	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Numbe orDerivative Securities Acquired Disposed (Instr. 3, 4	(A) or of (D)	6. Date Exer Expiration D (Month/Day)	ate	7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	(3)	02/05/2018		M		4,166	<u>(4)</u>	<u>(4)</u>	Common Stock	4,16
Stock Options (right to buy)	\$ 6.8	02/06/2018		A	20,000		<u>(5)</u>	02/05/2028	Common Stock	20,00
Restricted Stock Units	(3)	02/06/2018		A	55,294		<u>(6)</u>	<u>(6)</u>	Common Stock	55,29

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

BEECHEM JOSEPH M 530 FAIRVIEW AVENUE N SEATTLE, WA 98109

SVP, Research & Development

## **Signatures**

/s/ Shannon Atchison, Attorney-in-fact

02/07/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units ("RSUs") convert into Common Stock on a one-for-one basis.
- (2) The reported shares were withheld to cover the reporting person's tax liability in connection with the vesting of RSUs. The shares were not issued to or sold by the reporting person.
- (3) Each RSU represents a contingent right to receive one (1) share of Issuer's common stock.
- On February 3, 2016, the reporting person was granted 12,500 RSUs, vesting in three equal installments beginning on the first market trading day following the first anniversary of the grant date.
- (5) 1/48 of the shares subject to such option shall vest on the one-month anniversary of February 6, 2018 (the "Option Vesting Commencement Date") and 1/48th of the total number of shares each monthly anniversary of the Option Vesting Commencement Date

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becoming fully vested on the four-year anniversary of the Option Vesting Commencement Date.

1/3 of the RSUs vest on the first market trading day following the first anniversary of March 10, 2018 (the "RSU Vesting Commencement(6) Date"), and 1/3 of the RSUs vest annually each year on the first market trading day after the second and third anniversary of the RSU Vesting Commencement Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.