

CORNERSTONE STRATEGIC VALUE FUND INC  
Form N-PX  
August 19, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

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(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005  
(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22<sup>nd</sup> Floor, New York, NY 10005

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2015 - June 30, 2016

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## ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Management Recommendation
3M Company	MMM	88579Y101	5/10/2016	1	Elect Sondra L. Barbour	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	2	Elect Thomas K. Brown	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	3	Elect Vance D. Coffman	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	4	Elect David B. Dillon	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	5	Elect Michael L. Eskew	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	6	Elect Herbert L. Henkel	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	7	Elect Muhtar Kent	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	8	Elect Edward M. Liddy	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	9	Elect Gregory R. Page	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	10	Elect Inge G. Thulin	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	11	Elect Robert J. Ulrich	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	12	Elect Patricia A. Woertz	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	13	Ratification of Auditor	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	15	Approval of the 2016 Long-Term Incentive Plan	Mgmt	For
3M Company	MMM	88579Y101	5/10/2016	16	Shareholder Proposal Regarding Special Meetings	ShrHoldr	Against
3M Company	MMM	88579Y101	5/10/2016	17	Shareholder Proposal Regarding Excluding Share Repurchases in Executive Compensation	ShrHoldr	Against
Abbott Laboratories	ABT	002824100	4/29/2016	1.1	Elect Robert J. Alpern	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.2	Elect Roxanne S. Austin	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.3	Elect Sally E. Blount	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.4	Elect W. James Farrell	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.5	Elect Edward M. Liddy	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.6	Elect Nancy McKinstry	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.7	Elect Phebe N. Novakovic	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.8	Elect William A. Osborn	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.9	Elect Samuel C. Scott III	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.10	Elect Glenn F. Tilton	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.11	Elect Miles D. White	Mgmt	For

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Abbott Laboratories	ABT	002824100	4/29/2016	2	Ratification of Auditor	Mgmt	For
Abbott Laboratories	ABT	002824100	4/29/2016	3	Advisory Vote on Executive Compensation	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	1.1	Elect William H.L. Burnside	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	1.2	Elect Brett J. Hart	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	1.3	Elect Edward J. Rapp	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	2	Ratification of Auditor	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	3	Advisory Vote on Executive Compensation	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	4	Repeal of Classified Board	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	5	Approval of Performance Goals Under the Performance Incentive Plan	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	6	Shareholder Proposal Regarding Take-Back Programs for Unused Medicines	ShrHoldr	Against
AbbVie Inc.	ABBV	00287Y109	5/6/2016	7	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Aberdeen Australia Equity Fund Inc.	IAF	003011103	3/24/2016	1	Elect Neville J. Miles	Mgmt	For
Aberdeen Australia Equity Fund Inc.	IAF	003011103	3/24/2016	2	Elect Moritz Sell	Mgmt	For
Aberdeen Chile Fund Inc	CH	00301W105	3/24/2016	1	Elect Lawrence J. Fox	Mgmt	For
Aberdeen Greater China Fund Inc	GCH	003031101	6/22/2016	1	Election of Directors	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00305P106	3/24/2016	1	Elect James J. Cattano	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301L109	3/24/2016	1	Elect Lawrence J. Fox	Mgmt	For
Aberdeen Singapore Fund Inc	SGF	003244100	6/22/2016	1	Elect David G. Harmer	Mgmt	For
Aberdeen Singapore Fund Inc	SGF	003244100	6/22/2016	2	Elect Rahn K. Porter	Mgmt	For

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ACE Limited	ACE	H0023R105	10/22/2015	1	Increase in Authorized Share Capital	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	2	Company Name Change	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	3	Merger/Acquisition	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	4	Elect Sheila P. Burke	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	5	Elect James I. Cash, Jr.	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	6	Elect Lawrence W. Kellner	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	7	Elect James M. Zimmerman	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	8	Board Compensation	Mgmt	For
ACE Limited	ACE	H0023R105	10/22/2015	9	Additional or Miscellaneous Proposals	ShrHoldr	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.1	Elect Enrique R. Arzac	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.2	Elect Phyllis O. Bonanno	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.3	Elect Kenneth J. Dale	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.4	Elect Frederic A. Escherich	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.5	Elect Roger W. Gale	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.6	Elect Kathleen T. McGahran	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.7	Elect Craig R. Smith	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.8	Elect Mark E. Stoeckle	Mgmt	For
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	2	Ratification of Auditor	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	9/30/2015	1	Elect Tracy V. Maitland	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	9/30/2015	2	Elect Ronald A. Nyberg	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	1	Elect Fernando Aguirre	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	2	Elect Mark T. Bertolini	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	3	Elect Frank M. Clark, Jr.	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	4	Elect Betsy Z. Cohen	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	5	Elect Molly J. Coye	Mgmt	For

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Aetna Inc.	AET	00817Y108	5/20/2016	6	Elect Roger N. Farah	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	7	Elect Jeffrey E. Garten	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	8	Elect Ellen M. Hancock	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	9	Elect Richard J. Harrington	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	10	Elect Edward J. Ludwig	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	11	Elect Joseph P. Newhouse	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	12	Elect Olympia J. Snowe	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	13	Ratification of Auditor	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	14	Approval of the 2016 Employee Stock Purchase Plan	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	15	Advisory Vote on Executive Compensation	Mgmt	For
Aetna Inc.	AET	00817Y108	5/20/2016	16	Shareholder Proposal Regarding Report on Indirect Political Spending	ShrHoldr	Against
Aetna Inc.	AET	00817Y108	10/19/2015	1	Merger	Mgmt	For
Aetna Inc.	AET	00817Y108	10/19/2015	2	Right to Adjourn Meeting	Mgmt	For
Aetna Inc.	AET	00817Y108	10/19/2015	1	Merger	Mgmt	For
Aetna Inc.	AET	00817Y108	10/19/2015	2	Right to Adjourn Meeting	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	1	Elect Susan K. Carter	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	2	Elect Charles I. Cogut	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	3	Elect Seifi Ghasemi	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	4	Elect David H. Y. Ho	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	5	Elect Margaret G. McGlynn	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	6	Advisory Vote on Executive Compensation	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	7	Ratification of Auditor	Mgmt	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	8	Approval of Annual Incentive Plan Terms	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.1	Elect Nesli Basgoz	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.2	Elect Paul M. Bisaro	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.3	Elect James H. Bloem	Mgmt	For

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Allergan plc	AGN	G0177J108	5/5/2016	1.4	Elect Christopher W. Bodine	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.5	Elect Christopher J. Coughlin	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.6	Elect Michael R. Gallagher	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.7	Elect Catherine M. Klema	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.8	Elect Peter J. McDonnell	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.9	Elect Patrick J. O'Sullivan	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.10	Elect Brenton L. Saunders	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.11	Elect Ronald R. Taylor	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	1.12	Elect Fred G. Weiss	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	2	Advisory Vote on Executive Compensation	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	3	Ratification of Auditor and Authority to Set Fees	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	4	Amendment to the Memorandum of Association to Make Certain Administrative Amendments	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	5	Amendment to the Articles of Association to make Certain Administrative Amendments	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	6	Provide for a Plurality Voting Standard in the Event of a Contested Election	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	7	Sole Authority of Board to Determine Board Size	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	8	Reduction of Company Capital	Mgmt	For
Allergan plc	AGN	G0177J108	5/5/2016	9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Allergan plc	AGN	G0177J108	5/5/2016	10	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
AllianzGI Convertible &	NCZ	01883A107	6/30/2016	1.1	Elect Deborah A. DeCotis	Mgmt	For

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## Income Fund II

AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.2	Elect Bradford K. Gallagher	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.3	Elect James A. Jacobson	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.4	Elect Barbara R. Claussen	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.5	Elect A. Douglas Eu	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018825109	6/30/2016	1.1	Elect William B. Ogden IV	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018825109	6/30/2016	1.2	Elect Barbara R. Claussen	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018825109	6/30/2016	1.3	Elect A. Douglas Eu	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.1	Elect Hans W. Kertess	Mgmt	N/A
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.2	Elect William B. Ogden IV	Mgmt	N/A
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.3	Elect Barbara R. Claussen	Mgmt	N/A
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.4	Elect A. Douglas Eu	Mgmt	N/A
Allstate Corporation	ALL	020002101	5/24/2016	1	Elect Kermit R. Crawford	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	2	Elect Michael L. Eskew	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	3	Elect Herbert L. Henkel	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	4	Elect Siddharth N. Mehta	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	5	Elect Jacques P. Perold	Mgmt	For

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Allstate Corporation	ALL	020002101	5/24/2016	6	Elect Andrea Redmond	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	7	Elect John W. Rowe	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	8	Elect Judith A. Sprieser	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	9	Elect Mary Alice Taylor	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	10	Elect Thomas J. Wilson	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	12	Ratification of Auditor	Mgmt	For
Allstate Corporation	ALL	020002101	5/24/2016	13	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Allstate Corporation	ALL	020002101	5/24/2016	14	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.1	Elect Larry Page	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.2	Elect Sergey Brin	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.3	Elect Eric E. Schmidt	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.4	Elect L. John Doerr	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.5	Elect Diane B. Greene	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.6	Elect John L. Hennessy	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.7	Elect Ann Mather	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.8	Elect Alan R. Mulally	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.9	Elect Paul S. Otellini	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.10	Elect K. Ram Shriram	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.11	Elect Shirley M. Tilghman	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	2	Ratification of Auditor	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	3	Amendment to the 2012 Stock Plan	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	4	Amendment to Google Inc.'s Certificate of Incorporation	Mgmt	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	5	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against



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Alphabet Inc.	GOOGL	02079K305	6/8/2016	6	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	7	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	8	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	9	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	10	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/17/2016	1.1	Elect James A. Jacobson	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/17/2016	1.2	Elect H. Guy Leibler	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/17/2016	2	Transaction of Other Business	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/17/2016	1.1	Elect James A. Jacobson	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/17/2016	1.2	Elect H. Guy Leibler	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/17/2016	2	Transaction of Other Business	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/17/2016	1.1	Elect James A. Jacobson	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/17/2016	1.2	Elect H. Guy Leibler	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/17/2016	2	Transaction of Other Business	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	1	Elect Jeffrey P. Bezos	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	2	Elect Tom A. Alberg	Mgmt	For

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Amazon.com, Inc.	AMZN	023135106	5/17/2016	3	Elect John Seely Brown	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	4	Elect William B. Gordon	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	5	Elect Jamie S. Gorelick	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	6	Elect Judith A McGrath	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	7	Elect Jonathan J. Rubinstein	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	8	Elect Thomas O. Ryder	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	9	Elect Patricia Q. Stonesifer	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	10	Elect Wendell P. Weeks	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	11	Ratification of Auditor	Mgmt	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	12	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against
Amazon.com, Inc.	AMZN	023135106	5/17/2016	13	Shareholder Proposal Regarding Human Rights Report	ShrHoldr	Against
Amazon.com, Inc.	AMZN	023135106	5/17/2016	14	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
American Express Company	AXP	025816109	5/2/2016	1	Elect Charlene Barshefsky	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	2	Elect Ursula M. Burns	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	3	Elect Kenneth I. Chenault	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	4	Elect Peter Chernin	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	5	Elect Ralph de la Vega	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	6	Elect Anne L. Lauvergeon	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	7	Elect Micheal O. Leavitt	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	8	Elect Theodore J. Leonsis	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	9	Elect Richard C. Levin	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	10	Elect Samuel J. Palmisano	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	11	Elect Daniel L. Vasella	Mgmt	For

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American Express Company	AXP	025816109	5/2/2016	12	Elect Robert D. Walter	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	13	Elect Ronald A. Williams	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	14	Ratification of Auditor	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	15	Advisory Vote on Executive Compensation	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	16	Approval of the 2016 Incentive Compensation Plan	Mgmt	For
American Express Company	AXP	025816109	5/2/2016	17	Shareholder Proposal Regarding Employment Diversity Report	ShrHoldr	Against
American Express Company	AXP	025816109	5/2/2016	18	Shareholder Proposal Regarding Privacy and Data Security	ShrHoldr	Against
American Express Company	AXP	025816109	5/2/2016	19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
American Express Company	AXP	025816109	5/2/2016	20	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
American Express Company	AXP	025816109	5/2/2016	21	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
American International Group, Inc.	AIG	026874784	5/11/2016	1	Elect W. Don Cornwell	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	2	Elect Peter R. Fisher	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	3	Elect John Fitzpatrick	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	4	Elect Peter D. Hancock	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	5	Elect William G. Jurgensen	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	6	Elect Christopher S. Lynch	Mgmt	For
	AIG	026874784	5/11/2016	7		Mgmt	For

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American International Group, Inc.					Elect Samuel J. Merksamer		
American International Group, Inc.	AIG	026874784	5/11/2016	8	Elect George L. Miles, Jr.	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	9	Elect Henry S. Miller	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	10	Elect Robert S. Miller	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	11	Elect Linda A. Mills	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	12	Elect Suzanne Nora Johnson	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	13	Elect John A. Paulson	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	14	Elect Ronald A. Rittenmeyer	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	15	Elect Douglas M. Steenland	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	16	Elect Theresa M. Stone	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	17	Advisory Vote on Executive Compensation	Mgmt	For
American International Group, Inc.	AIG	026874784	5/11/2016	18	Ratification of Auditor	Mgmt	For
American Tower Corporation	AMT	03027X100	6/1/2016	1	Elect Raymond P. Dolan	Mgmt	For
American Tower Corporation	AMT	03027X100	6/1/2016	2	Elect Robert D. Hormats	Mgmt	For
American Tower Corporation	AMT	03027X100	6/1/2016	3	Elect Carolyn Katz	Mgmt	For
American Tower Corporation	AMT	03027X100	6/1/2016	4	Elect Gustavo Lara Cantu	Mgmt	For
	AMT	03027X100	6/1/2016	5	Elect Craig Macnab	Mgmt	For

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American Tower Corporation								
American Tower Corporation	AMT	03027X100	6/1/2016	6	Elect JoAnn A. Reed	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	7	Elect Pamela D.A. Reeve	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	8	Elect David E. Sharbutt	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	9	Elect James D. Taiclet, Jr.	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	10	Elect Samme L. Thompson	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	11	Ratification of Auditor	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	
American Tower Corporation	AMT	03027X100	6/1/2016	13	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	
AMGEN Inc.	AMGN	031162100	5/19/2016	1	Elect David Baltimore	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	2	Elect Frank J. Biondi, Jr.	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	3	Elect Robert A. Bradway	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	4	Elect Francois de Carbonnel	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	5	Elect Robert A. Eckert	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	6	Elect Greg C. Garland	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	7	Elect Fred Hassan	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	8	Elect Rebecca M. Henderson	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	9	Elect Frank C. Herringer	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	10	Elect Tyler Jacks	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	11	Elect Judith C. Pelham	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	12	Elect Ronald D. Sugar	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	13	Elect R. Sanders Williams	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	14	Ratification of Auditor	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	15	Advisory Vote on Executive Compensation	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/19/2016	16	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	
Anthem, Inc.	ANTM	036752103	5/19/2016	1	Elect Lewis Hay, III	Mgmt	For	

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Anthem, Inc.	ANTM	036752103	5/19/2016	2	Elect George A. Schaefer, Jr.	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/19/2016	3	Elect Joseph R. Swedish	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/19/2016	4	Elect Elizabeth E. Tallett	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/19/2016	5	Ratification of Auditor	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/19/2016	6	Advisory Vote on Executive Compensation	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/19/2016	7	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Anthem, Inc.	ANTM	036752103	12/3/2015	1	Merger/Acquisition	Mgmt	For
Anthem, Inc.	ANTM	036752103	12/3/2015	2	Right to Adjourn Meeting	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	1	Elect Lester B. Knight	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	2	Elect Gregory C. Case	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	3	Elect Fulvio Conti	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	4	Elect Cheryl Francis	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	5	Elect James W. Leng	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	6	Elect J. Michael Losh	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	7	Elect Robert S. Morrison	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	8	Elect Richard B. Myers	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	9	Elect Richard C. Notebaert	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	10	Elect Gloria Santona	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	11	Elect Carolyn Y. Woo	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	13	Remuneration Report (Advisory)	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	14	Accounts and Reports	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	15	Ratification of Auditor	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	16	Ratification of Statutory Auditor	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	17	Authority to Set Auditor's Fees	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	18	Approval of Share Repurchase Contracts and Repurchase Counterparties	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	19	Authority to Issue Shares w/ or w/o Preemptive	Mgmt	For

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					Rights		
Aon plc	AON	G0408V102	6/24/2016	20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For
Aon plc	AON	G0408V102	6/24/2016	21	Authorization of Political Donations and Expenditures	Mgmt	For
Apollo Senior Floating Rate Fund Inc.	AFT	037638103	5/19/2016	1.1	Elect Glenn N. Marchak	Mgmt	For
Apollo Senior Floating Rate Fund Inc.	AFT	037638103	5/19/2016	1.2	Elect Todd J. Slotkin	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	1	Elect James A. Bell	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	2	Elect Timothy D. Cook	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	3	Elect Albert A. Gore	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	4	Elect Robert A. Iger	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	5	Elect Andrea Jung	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	6	Elect Arthur D. Levinson	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	7	Elect Ronald D. Sugar	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	8	Elect Susan L. Wagner	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	9	Ratification of Auditor	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	10	Advisory Vote on Executive Compensation	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	11	Approval of Amended and Restated 2014 Employee Stock Plan	Mgmt	For
Apple Inc.	AAPL	037833100	2/26/2016	12	Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions	ShrHoldr	Against
Apple Inc.	AAPL	037833100	2/26/2016	13	Shareholder Proposal Regarding Racial Diversity of Board and Management	ShrHoldr	Against
Apple Inc.	AAPL	037833100	2/26/2016	14	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against
Apple Inc.	AAPL	037833100	2/26/2016	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
AT and T Inc.	T	00206R102	4/29/2016	1	Elect Randall L. Stephenson	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	2	Elect Samuel A. Di Piazza, Jr.	Mgmt	For

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AT and T Inc.	T	00206R102	4/29/2016	3	Elect Richard W. Fisher	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	4	Elect Scott T. Ford	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	5	Elect Glenn H. Hutchins	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	6	Elect William E. Kennard	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	7	Elect Michael McCallister	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	8	Elect Beth E. Mooney	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	9	Elect Joyce M. Roche	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	10	Elect Matthew K. Rose	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	11	Elect Cynthia B. Taylor	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	12	Elect Laura D'Andrea Tyson	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	13	Ratification of Auditor	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	15	Approval of the 2016 Incentive Plan	Mgmt	For
AT and T Inc.	T	00206R102	4/29/2016	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
AT and T Inc.	T	00206R102	4/29/2016	17	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
AT and T Inc.	T	00206R102	4/29/2016	18	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.1	Elect Ellen R. Alemany	Mgmt	For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.2	Elect Peter Bisson	Mgmt	For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.3	Elect Richard T. Clark	Mgmt	For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.4	Elect Eric C. Fast	Mgmt	For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.5	Elect Linda R. Gooden	Mgmt	For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.6	Elect Michael P. Gregoire	Mgmt	For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For
	ADP	053015103	11/10/2015	1.8	Elect John P. Jones	Mgmt	For



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Automatic Data Processing, Inc.								
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.9	Elect Carlos A. Rodriguez	Mgmt	For	
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	2	Advisory Vote on Executive Compensation	Mgmt	For	
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	3	Ratification of Auditor	Mgmt	For	
Avenue Income Credit Strategies Fund	ACP	05358E106	5/12/2016	1.1	Elect Julie Dien Ledoux	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.1	Elect Warren E. Buffett	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.2	Elect Charles T. Munger	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.3	Elect Howard G. Buffett	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.4	Elect Stephen B. Burke	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.5	Elect Susan L. Decker	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.6	Elect William H. Gates III	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.7	Elect David S. Gottesman	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.8	Elect Charlotte Guyman	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.9	Elect Thomas S. Murphy	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.10	Elect Ronald L. Olson	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.11	Elect Walter Scott, Jr.	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.12	Elect Meryl B. Witmer	Mgmt	For	
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	2	Shareholder Proposal Regarding Reporting Of Climate Change Risks	ShrHoldr	Against	
BlackRock Corporation High	HYT	09255P107	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For	

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Yield Fund III Inc

BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High	HYT	09255P107	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For

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## Yield Fund III Inc

BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For
BlackRock Debt Strategies Fund,	DSU	09255R103	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For

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Inc.

BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Global Opportunities	BOE	092501105	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For

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Equity Trust

BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Municipal 2020	BCX	09257A108	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For

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## Term Trust

BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	1	Elect David L. Calhoun	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	2	Elect Arthur D. Collins, Jr.	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	3	Elect Kenneth M. Duberstein	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	4	Elect Edmund P. Giambastiani, Jr.	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	5	Elect Lynn J. Good	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	6	Elect Lawrence W. Kellner	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	7	Elect Edward M. Liddy	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	8	Elect Dennis A. Muilenburg	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	9	Elect Susan C. Schwab	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	10	Elect Randall L. Stephenson	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	11	Elect Ronald A. Williams	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	12	Elect Mike S. Zafirovski	Mgmt	For

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Boeing Company	BA	097023105	5/2/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	14	Ratification of Auditor	Mgmt	For
Boeing Company	BA	097023105	5/2/2016	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Boeing Company	BA	097023105	5/2/2016	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against
Boeing Company	BA	097023105	5/2/2016	17	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Boeing Company	BA	097023105	5/2/2016	18	Shareholder Proposal Regarding Report on Arms Sales to Israel	ShrHoldr	Against
Boston Scientific Corporation	BSX	101137107	5/3/2016	1	Elect Nelda J. Connors	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	2	Elect Charles J. Dockendorff	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	3	Elect Donna A. James	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	4	Elect Kristina M. Johnson	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	5	Elect Edward J. Ludwig	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	6	Elect Stephen P. MacMillan	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	7	Elect Michael F. Mahoney	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	8	Elect David J. Roux	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	9	Elect John E. Sununu	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	10	Elect Ellen M. Zane	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	12	Amendment to the 2011 Long-Term Incentive Plan	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/3/2016	13	Ratification of Auditor	Mgmt	For
Calamos Funds	CHW	12811L107	6/30/2016	1.1	Elect Virginia G. Breen	Mgmt	For

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Calamos Funds	CHW	12811L107	6/30/2016	1.2	Elect Theresa A. Hamacher	Mgmt	For
Calamos Funds	CHW	12811L107	6/30/2016	1.3	Elect Stephen B. Timbers	Mgmt	For
Calamos Global Total Return Fund	CGO	128118106	6/30/2016	1.1	Elect Virginia G. Breen	Mgmt	For
Calamos Global Total Return Fund	CGO	128118106	6/30/2016	1.2	Elect Theresa A. Hamacher	Mgmt	For
Calamos Global Total Return Fund	CGO	128118106	6/30/2016	1.3	Elect Stephen B. Timbers	Mgmt	For
Calamos Strategic Total Return Fund	CSQ	128125101	6/30/2016	1.1	Elect Virginia G. Breen	Mgmt	For
Calamos Strategic Total Return Fund	CSQ	128125101	6/30/2016	1.2	Elect Theresa A. Hamacher	Mgmt	For
Calamos Strategic Total Return Fund	CSQ	128125101	6/30/2016	1.3	Elect Stephen B. Timbers	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	1	Elect David J. Anderson	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	2	Elect Colleen F. Arnold	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	3	Elect George S. Barrett	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	4	Elect Carrie S. Cox	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	5	Elect Calvin Darden	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	6	Elect Bruce L. Downey	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	7	Elect Patricia A. Hemingway Hall	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	8	Elect Clayton M. Jones	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	9	Elect Gregory B. Kenny	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	10	Elect Nancy Killefer	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	11	Elect David P. King	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	12	Ratification of Auditor	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
	IGR	12504G100	10/7/2015	1	Elect Asuka Nakahara	Mgmt	For



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CBRE Clarion  
Global Real Estate  
Income Fund

Celgene Corporation	CELG	151020104	6/15/2016	1.1	Elect Robert J. Hugin	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.2	Elect Mark J. Alles	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.3	Elect Richard W. Barker	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.4	Elect Michael W. Bonney	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.5	Elect Michael D. Casey	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.6	Elect Carrie S. Cox	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.7	Elect Jacquelyn A. Fouse	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.8	Elect Michael A. Friedman	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.9	Elect Julia A. Haller	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.10	Elect Gilla Kaplan	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.11	Elect James J. Loughlin	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	1.12	Elect Ernest Mario	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	2	Ratification of Auditor	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	3	Amendment to the 2008 Stock Incentive Plan	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	4	Advisory Vote on Executive Compensation	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	5	Exclusive Forum Provision	Mgmt	For
Celgene Corporation	CELG	151020104	6/15/2016	6	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against
Celgene Corporation	CELG	151020104	6/15/2016	7	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/25/2016	1	Elect Alexander B. Cummings, Jr.	Mgmt	For
Chevron	CVX	166764100	5/25/2016	2	Elect Linnet F. Deily	Mgmt	For

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Corporation

Chevron Corporation	CVX	166764100	5/25/2016	3	Elect Robert E. Denham	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	4	Elect Alice P. Gast	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	5	Elect Enrique Hernandez, Jr.	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	6	Elect Jon M. Huntsman, Jr.	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	7	Elect Charles W. Moorman, IV	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	8	Elect John G. Stumpf	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	9	Elect Ronald D. Sugar	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	10	Elect Inge G. Thulin	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	11	Elect John S. Watson	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	12	Ratification of Auditor	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	14	Amendment to Non-Employee Directors' Equity Compensation and Deferral Plan	Mgmt	For
Chevron Corporation	CVX	166764100	5/25/2016	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/25/2016	16	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/25/2016	17	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/25/2016	18	Shareholder Proposal Regarding Report on Reserve Replacements	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/25/2016	19	Shareholder Proposal Regarding Dividend Policy	ShrHoldr	Against
	CVX	166764100	5/25/2016	20		ShrHoldr	Against

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Chevron Corporation					Shareholder Proposal Regarding Hydraulic Fracturing		
Chevron Corporation	CVX	166764100	5/25/2016	21	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/25/2016	22	Shareholder Proposal Regarding Right to Call a Special meeting	ShrHoldr	Against
Chubb Limited	CB	H1467J104	5/19/2016	1	Accounts and Reports	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	2	Allocation of Profits/Dividends	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	3	Dividends from Reserves	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	4	Ratification of Board Acts	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	5	Election of Statutory Auditors	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	6	Appointment of Auditor	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	7	Appointment of Special Auditor	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	8	Elect Evan G. Greenberg	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	9	Elect Robert M. Hernandez	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	10	Elect Michael G. Atieh	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	11	Elect Sheila P. Burke	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	12	Elect James I. Cash, Jr.	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	13	Elect Mary A. Cirillo	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	14	Elect Michael P. Connors	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	15	Elect John A. Edwardson	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	16	Elect Lawrence W. Kellner	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	17	Elect Leo F. Mullin	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	18	Elect Kimberly Ross	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	19	Elect Robert W. Scully	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	20	Elect Eugene B. Shanks, Jr.	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	21	Elect Theodore Shasta	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	22	Elect David H. Sidwell	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	23	Elect Olivier Steimer	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	24	Elect James M. Zimmerman	Mgmt	For

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Chubb Limited	CB	H1467J104	5/19/2016	25	Elect Evan G. Greenberg as Chairman of the Board	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	26	Elect Michael P. Connors	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	27	Elect Mary A. Cirillo	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	28	Elect Robert M. Hernandez	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	29	Elect Robert W. Scully	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	30	Elect James M. Zimmerman	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	31	ELECTION OF HOMBURGER AG AS INDEPENDENT PROXY	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	32	Increase in Authorized Share Capital for General Purposes	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	33	Approval of the 2016 Long-Term Incentive Plan	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	34	Compensation of the Board until the Next AGM	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	35	Executive Compensation	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	36	Advisory Vote on Executive Compensation	Mgmt	For
Chubb Limited	CB	H1467J104	5/19/2016	37	Additional or Miscellaneous Proposals	Mgmt	For
CIGNA Corporation	CI	125509109	4/27/2016	1	Elect David M. Cordani	Mgmt	For
CIGNA Corporation	CI	125509109	4/27/2016	2	Elect Isaiah Harris, Jr.	Mgmt	For
CIGNA Corporation	CI	125509109	4/27/2016	3	Elect Jane E. Henney	Mgmt	For
CIGNA Corporation	CI	125509109	4/27/2016	4	Elect Donna F. Zarcone	Mgmt	For
CIGNA Corporation	CI	125509109	4/27/2016	5	Advisory Vote on Executive Compensation	Mgmt	For
CIGNA Corporation	CI	125509109	4/27/2016	6	Ratification of Auditor	Mgmt	For
CIGNA Corporation	CI	125509109	12/3/2015	1	Merger/Acquisition	Mgmt	For
CIGNA Corporation	CI	125509109	12/3/2015	2	Advisory Vote on Golden Parachutes	Mgmt	For

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CIGNA Corporation	CI	125509109	12/3/2015	3	Right to Adjourn Meeting	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	1	Elect Carol A. Bartz	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	2	Elect M. Michele Burns	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	3	Elect Michael D. Capellas	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	4	Elect John T. Chambers	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	5	Elect Brian L. Halla	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	6	Elect John L. Hennessy	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	7	Elect Kristina M. Johnson	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	8	Elect Roderick C. McGeary	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	9	Elect Charles H. Robbins	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	10	Elect Arun Sarin	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	11	Elect Steven M. West	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	13	Ratification of Auditor	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	14	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.1	Elect Robert L. Butler	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.2	Elect James E. Canty	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.3	Elect Richard C. Rantzow	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.1	Elect Robert L. Butler	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.2	Elect James E. Canty	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.3	Elect Richard C. Rantzow	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	1	Elect Zein Abdalla	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	2	Elect Maureen Breakiron-Evans	Mgmt	For

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Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	3	Elect Jonathan Chadwick	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	4	Elect Francisco D'Souza	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	5	Elect John N. Fox, Jr.	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	6	Elect John E. Klein	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	7	Elect Leo S. Mackay, Jr.	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	8	Elect Lakshmi Narayanan	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	9	Elect Michael Patsalos-Fox	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	10	Elect Robert E. Weissman	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	11	Elect Thomas M. Wendel	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	13	Ratification of Auditor	Mgmt	For
Cognizant Technology Solutions	CTSH	192446102	6/15/2016	14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against

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Corporation

Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.1	Elect Joseph M. Harvey	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.2	Elect Gerald J. Maginnis	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.3	Elect Richard J. Norman	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.4	Elect Frank K. Ross	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.1	Elect Joseph M. Harvey	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.2	Elect Gerald J. Maginnis	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.3	Elect Richard J. Norman	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.4	Elect Frank K. Ross	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.1	Elect Joseph M. Harvey	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.2	Elect Gerald J. Maginnis	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.3	Elect Richard J. Norman	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.4	Elect Frank K. Ross	Mgmt	For
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.1	Elect Joseph M. Harvey	Mgmt	For
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.2	Elect Gerald J. Maginnis	Mgmt	For
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.3	Elect Richard J. Norman	Mgmt	For
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.4	Elect Frank K. Ross	Mgmt	For

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Comcast Corporation	CMCSA	20030N101	5/19/2016	1.1	Elect Kenneth J. Bacon	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.2	Elect Madeline S. Bell	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.3	Elect Sheldon M. Bonovitz	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.4	Elect Edward D. Breen	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.5	Elect Joseph J. Collins	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.6	Elect Gerald L. Hassell	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.7	Elect Jeffrey A. Honickman	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.8	Elect Eduardo G. Mestre	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.9	Elect Brian L. Roberts	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.10	Elect Johnathan A. Rodgers	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.11	Elect Judith Rodin	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	2	Ratification of Auditor	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	3	Amend 2002 Restricted Stock Plan	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	4	Amend 2003 Stock Option Plan	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	5	Amend 2002 Employee Stock Purchase Plan	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	6	Amend 2011 Employee Stock Purchase Plan	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	7	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Comcast Corporation	CMCSA	20030N101	5/19/2016	8	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Comcast Corporation	CMCSA	20030N101	5/19/2016	9	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Comcast Corporation	CMCSA	20030N101	5/19/2016	10	Shareholder Proposal Regarding	ShrHoldr	Against



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Recapitalization

Conversion of Class A  
Special Common Stock  
Into Class A Common  
Stock

Comcast Corporation	CMCSA	20030N101	12/10/2015	1		Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.1	Elect Hamilton E. James	Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.2	Elect W. Craig Jelinek	Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.3	Elect John W. Stanton	Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.4	Elect Maggie Wilderotter	Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	2	Ratification of Auditor	Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	3	Advisory Vote on Executive Compensation	Mgmt	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	4	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.1	Elect W. Thacher Brown	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.2	Elect Ellen D. Harvey	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.3	Elect Thomas E. Spock	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.4	Elect Suzanne P. Welsh	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	1	Elect Richard M. Bracken	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	2	Elect C. David Brown II	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	3	Elect Alecia A. DeCoudreaux	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	4	Elect Nancy-Ann M. DeParle	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	5	Elect David W. Dorman	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	6	Elect Anne M. Finucane	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	7	Elect Larry J. Merlo	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	8	Elect Jean-Pierre Millon	Mgmt	For

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CVS Health Corporation	CVS	126650100	5/19/2016	9	Elect Richard J. Swift	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	10	Elect William C. Weldon	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	11	Elect Tony L. White	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	12	Ratification of Auditor	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
CVS Health Corporation	CVS	126650100	5/19/2016	14	Shareholder Proposal Regarding Incorporating Values in Political Spending	ShrHoldr	Against
CVS Health Corporation	CVS	126650100	5/19/2016	15	Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay	ShrHoldr	Against
Danaher Corporation	DHR	235851102	5/10/2016	1	Elect Donald J. Ehrlich	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	2	Elect Linda P. Hefner Filler	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	3	Elect Thomas P. Joyce, Jr.	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	4	Elect Teri List-Stoll	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	5	Elect Walter G. Lohr, Jr.	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	6	Elect Mitchell P. Rales	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	7	Elect Steven M. Rales	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	8	Elect John T. Schwieters	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	9	Elect Alan G. Spoon	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	10	Elect Elias A. Zerhouni	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	11	Ratification of Auditor	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Danaher Corporation	DHR	235851102	5/10/2016	13	Shareholder Proposal Regarding Political	ShrHoldr	Against

Contributions and  
Expenditures ReportShareholder Proposal  
Regarding Right to Call a  
Special Meeting

Danaher Corporation	DHR	235851102	5/10/2016	14				
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.1	Elect Thomas L. Bennett	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.2	Elect Ann Borowiec	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.3	Elect Joseph W. Chow	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.4	Elect John A. Fry	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.5	Elect Frances A. Sevilla-Sacasa	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.6	Elect Thomas K. Whitford	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.7	Elect Lucinda S. Landreth	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.8	Elect Janet L. Yeomans	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.1	Elect Thomas L. Bennett	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.2	Elect Ann Borowiec	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.3	Elect Joseph W. Chow	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.4	Elect John A. Fry	Mgmt	For	
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.5	Elect Frances A.	Mgmt	For	

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					Sevilla-Sacasa		
Global Dividend & Income Fund							
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.6	Elect Thomas K. Whitford	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.7	Elect Lucinda S. Landreth	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.8	Elect Janet L. Yeomans	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	1	Elect Richard H. Anderson	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	2	Elect Edward H. Bastian	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	3	Elect Francis S. Blake	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	4	Elect Daniel A. Carp	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	5	Elect David G. DeWalt	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	6	Elect Thomas E. Donilon	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	7	Elect William H. Easter III	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	8	Elect Mickey P. Foret	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	9	Elect Shirley C. Franklin	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	10	Elect George N. Mattson	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	11	Elect Douglas R Ralph	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	12	Elect Sergio A. L. Rial	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	13	Elect Kathy N. Waller	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	14	Elect Kenneth B. Woodrow	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	15	Advisory Vote on Executive Compensation	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	16	Amendment to the 2007 Performance Compensation Plan	Mgmt	For

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Delta Air Lines, Inc.	DAL	247361702	6/10/2016	17	Ratification of Auditor	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	18	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Against
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	1.1	Elect Keith R. Fox	Mgmt	For
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	1.2	Elect Richard J. Herring	Mgmt	For
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	1.3	Elect William N. Searcy, Jr.	Mgmt	For
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	2	For Deutsche Global High Income Fund, Inc. and Deutsche High Income Opportunities Fund, Inc., Amend Charter to Limit the Existence of the Funds	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	25160E102	9/30/2015	1.1	Elect Keith R. Fox	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	25160E102	9/30/2015	1.2	Elect Richard J. Herring	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	25160E102	9/30/2015	1.3	Elect William N. Searcy, Jr.	Mgmt	For
Deutsche Strategic Income Trust	KST	25160F109	9/30/2015	1.1	Elect Keith R. Fox	Mgmt	For
Deutsche Strategic Income Trust	KST	25160F109	9/30/2015	1.2	Elect Richard J. Herring	Mgmt	For
Deutsche Strategic Income Trust	KST	25160F109	9/30/2015	1.3	Elect William N. Searcy, Jr.	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	1	Elect William P. Barr	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	2	Elect Helen E. Dragas	Mgmt	For
Dominion	D	25746U109	5/11/2016	3	Elect James O. Ellis, Jr.	Mgmt	For

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Resources, Inc.

Dominion Resources, Inc.	D	25746U109	5/11/2016	4	Elect Thomas F. Farrell II	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	5	Elect John W. Harris	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	6	Elect Mark J. Kington	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	7	Elect Pamela L. Royal	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	8	Elect Robert H. Spilman, Jr.	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	9	Elect Michael E. Szymanczyk	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	10	Elect David A. Wollard	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	11	Ratification of Auditor	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	13	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	14	Shareholder Proposal Regarding Financial Analysis of North Ana 3 Construction	ShrHoldr	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	16	Shareholder Proposal Regarding Climate Change Expertise on Board	ShrHoldr	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	17	Shareholder Proposal Regarding Financial Risks of Climate Change	ShrHoldr	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	18	Shareholder Proposal Regarding Reporting on Company Climate Change Policies and Technological Advances	ShrHoldr	Against
Dow Chemical Company	DOW	260543103	5/12/2016	1	Elect Ajay Banga	Mgmt	For
Dow Chemical	DOW	260543103	5/12/2016	2	Elect Jacqueline K.	Mgmt	For

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Company					Barton		
Dow Chemical Company	DOW	260543103	5/12/2016	3	Elect James A. Bell	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	4	Elect Richard K. Davis	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	5	Elect Jeff M. Fettig	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	6	Elect Andrew N. Liveris	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	7	Elect Mark Loughridge	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	8	Elect Raymond J. Milchovich	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	9	Elect Robert S. Miller	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	10	Elect Paul Polman	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	11	Elect Dennis H. Reilley	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	12	Elect James M. Ringler	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	13	Elect Ruth G. Shaw	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	14	Ratification of Auditor	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	15	Advisory Vote on Executive Compensation	Mgmt	For
Dow Chemical Company	DOW	260543103	5/12/2016	16	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/15/2016	1.1	Elect Phillip R. McLoughlin	Mgmt	For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/15/2016	1.2	Elect Nathan I. Partain	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	1	Elect Douglas M. Baker, Jr.	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	2	Elect Barbara J. Beck	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	3	Elect Leslie S. Biller	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	4	Elect Carl M. Casale	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	5	Elect Stephen I. Chazen	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	6	Elect Jeffrey M. Ettinger	Mgmt	For

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Ecolab Inc.	ECL	278865100	5/5/2016	7	Elect Jerry A. Grundhofer	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	8	Elect Arthur J. Higgins	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	9	Elect Michael Larson	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	10	Elect Jerry W. Levin	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	11	Elect David W. MacLennan	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	12	Elect Tracy B. McKibben	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	13	Elect Victoria J. Reich	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	14	Elect Suzanne M. Vautrinot	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	15	Elect John J. Zillmer	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	16	Ratification of Auditor	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	17	Amendment to the 2001 Non-Employee Director Stock Option and Deferred Compensation Plan	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	18	Advisory Vote on Executive Compensation	Mgmt	For
Ecolab Inc.	ECL	278865100	5/5/2016	19	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Eli Lilly and Company	LLY	532457108	5/2/2016	1	Elect Ralph Alvarez	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	2	Elect R. David Hoover	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	3	Elect Juan R. Luciano	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	4	Elect Franklyn G. Prendergast	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	5	Elect Kathi P. Seifert	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	6	Advisory Vote on Executive Compensation	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	7	Ratification of Auditor	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/2/2016	8	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against
EOG Resources, Inc.	EOG	26875P101	4/26/2016	1	Elect Janet F. Clark	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	2	Elect Charles R. Crisp	Mgmt	For



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EOG Resources, Inc.	EOG	26875P101	4/26/2016	3	Elect James C. Day	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	4	Elect H. Leighton Steward	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	5	Elect Donald F. Textor	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	6	Elect William R. Thomas	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	7	Elect Frank G. Wisner	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	8	Ratification of Auditor	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	9	Advisory Vote on Executive Compensation	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	1	Elect Maura C. Breen	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	2	Elect William J. DeLaney	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	3	Elect Elder Granger	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	4	Elect Nicholas J. LaHowchic	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	5	Elect Thomas P. Mac Mahon	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	6	Elect Frank Mergenthaler	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	7	Elect Woodrow A. Myers, Jr.	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	8	Elect Roderick A. Palmore	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	9	Elect George Paz	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	10	Elect William L. Roper	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	11	Elect Seymour Sternberg	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	12	Elect Timothy Wentworth	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	13	Ratification of Auditor	Mgmt	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
	ESRX	30219G108	5/4/2016	15		Mgmt	For

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Express Scripts Holding Company					Approval of the 2016 Long-Term Incentive Plan		
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	16	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	17	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.1	Elect Michael J. Boskin	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.2	Elect Peter Brabeck-Letmathe	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.3	Elect Angela F. Braly	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.4	Elect Ursula M. Burns	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.5	Elect Larry R. Faulkner	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.6	Elect Jay S. Fishman	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.7	Elect Henrietta H. Fore	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.8	Elect Kenneth C. Frazier	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.9	Elect Douglas R. Oberhelman	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.10	Elect Samuel J. Palmisano	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.11	Elect Steven S Reinemund	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.12	Elect Rex W. Tillerson	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.13	Elect William C. Weldon	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.14	Elect Darren W. Woods	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	2	Ratification of Auditor	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	3	Advisory Vote on Executive Compensation	Mgmt	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	4	Shareholder Proposal Regarding Independent	ShrHoldr	Against

					Board Chairman		
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	5	Shareholder Proposal Regarding Climate Change Expertise on Board	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	6	Shareholder Proposal Regarding Sale of Company	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	7	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	8	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	10	Shareholder Proposal Regarding Dividend Policy	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	11	Shareholder Proposal Regarding Climate Change Policy and Commitment	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	12	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	13	Shareholder Proposal Regarding Report on Reserve Replacements	ShrHoldr	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	14	Shareholder Proposal Regarding Hydraulic Fracturing	ShrHoldr	Against
Facebook, Inc.	FB	30303M102	6/20/2016	1.1	Elect Marc L. Andreessen	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.2	Elect Erskine B. Bowles	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.3	Elect Susan D. Desmond-Hellmann	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.4	Elect Reed Hastings	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.5	Elect Jan Koum	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.6	Elect Sheryl K. Sandberg	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.7	Elect Peter A. Thiel	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.8	Elect Mark Zuckerberg	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	2	Ratification of Auditor	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	3	Advisory Vote on	Mgmt	For

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Executive Compensation

Facebook, Inc.	FB	30303M102	6/20/2016	4	Ratification of 2013 Grants to Non-Employee Directors	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	5	Ratification of 2014 and 2015 Grants to Non-Employee Directors	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	6	Annual Compensation Program for Non-Employee Directors	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	7	Approve Establishment of Class C Stock and Associated Rights	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	8	Increase the Number of Class A Stock from 5,000,000,000 to 20,000,000,000	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	9	Provide Equal Treatment of Stock Classes in Transactions and Dividends	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	10	Provide for Conversion Events of Class B Stock	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	11	Amendment to the 2012 Equity Incentive Plan	Mgmt	For
Facebook, Inc.	FB	30303M102	6/20/2016	12	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against
Facebook, Inc.	FB	30303M102	6/20/2016	13	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against
Facebook, Inc.	FB	30303M102	6/20/2016	14	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Facebook, Inc.	FB	30303M102	6/20/2016	15	Shareholder Proposal Regarding Formation of International Public Policy Committee	ShrHoldr	Against
Facebook, Inc.	FB	30303M102	6/20/2016	16	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	1	Elect James L. Barksdale	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	2	Elect John A. Edwardson	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	3	Elect Marvin Ellison	Mgmt	For

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FedEx Corporation	FDX	31428X106	9/28/2015	4	Elect Kimberly A. Jabal	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	5	Elect Shirley Ann Jackson	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	6	Elect Gary W. Loveman	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	7	Elect R. Brad Martin	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	8	Elect Joshua C. Ramo	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	9	Elect Susan C. Schwab	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	10	Elect Frederick W. Smith	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	11	Elect David P. Steiner	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	12	Elect Paul S. Walsh	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	14	Ratification of Auditor	Mgmt	For
FedEx Corporation	FDX	31428X106	9/28/2015	15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	16	Shareholder Proposal Regarding Tax Payments on Restricted Stock Awards	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	17	Shareholder Proposal Regarding Recoupment of Unearned Bonuses	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	18	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	19	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	20	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
FedEx Corporation	FDX	31428X106	9/28/2015	21	Shareholder Proposal Regarding Report of Values in Political Spending	ShrHoldr	Against
Fiduciary-Claymore MLP Opportunity Fund	FMO	31647Q106	4/6/2016	1	Elect Robert B. Karn III	Mgmt	For
Fiduciary-Claymore MLP Opportunity Fund	FMO	31647Q106	4/6/2016	2	Elect Maynard F. Oliverius	Mgmt	For
Fiduciary-Claymore	FMO	31647Q106	4/6/2016	3	Elect Ronald E. Toupin	Mgmt	For

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MLP Opportunity Fund					Jr.			
First Trust Energy Income and Growth Fund	FEO	33731K102	4/22/2016	1.1	Elect James A. Bowen	Mgmt	For	
First Trust Energy Income and Growth Fund	FEO	33731K102	4/22/2016	1.2	Elect Niel B. Nielson	Mgmt	For	
First Trust Energy Income and Growth Fund	FIF	33738C103	4/22/2016	1.1	Elect James A. Bowen	Mgmt	For	
First Trust Energy Income and Growth Fund	FIF	33738C103	4/22/2016	1.2	Elect Niel B. Nielson	Mgmt	For	
First Trust Enhanced Equity Income Fund	FFA	337318109	4/22/2016	1.1	Elect James A. Bowen	Mgmt	For	
First Trust Enhanced Equity Income Fund	FFA	337318109	4/22/2016	1.2	Elect Niel B. Nielson	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.1	Elect Alison Davis	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.2	Elect Christopher M. Flink	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.3	Elect Daniel P. Kearney	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.4	Elect Dennis F. Lynch	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.5	Elect Denis J. O'Leary	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.6	Elect Glenn M. Renwick	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.7	Elect Kim M. Robak	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.8	Elect J.D. Sherman	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.9	Elect Doyle R. Simons	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.10	Elect Thomas C. Wertheimer	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	1.11	Elect Jeffery W. Yabuki	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	2	Advisory Vote on Executive Compensation	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	3	Ratification of Auditor	Mgmt	For	
Fiserv, Inc.	FISV	337738108	5/18/2016	4	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	
Franklin Universal Trust	FT	355145103	3/18/2016	1.1	Elect Harris J. Ashton	Mgmt	For	
Franklin Universal Trust	FT	355145103	3/18/2016	1.2	Elect Mary C. Choksi	Mgmt	For	

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Franklin Universal Trust	FT	355145103	3/18/2016	1.3	Elect Edith E. Holiday	Mgmt	For
Franklin Universal Trust	FT	355145103	3/18/2016	1.4	Elect Gregory E. Johnson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/18/2016	1.5	Elect Rupert H. Johnson, Jr.	Mgmt	For
Franklin Universal Trust	FT	355145103	3/18/2016	1.6	Elect J. Michael Luttig	Mgmt	For
Franklin Universal Trust	FT	355145103	3/18/2016	1.7	Elect Frank A. Olson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/18/2016	1.8	Elect Larry D. Thompson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/18/2016	1.9	Elect John B. Wilson	Mgmt	For
Gabelli Convertible and Income Securities Fund Inc	GCV	36240B109	5/9/2016	1.1	Elect Mario J. Gabelli	Mgmt	For
Gabelli Convertible and Income Securities Fund Inc	GCV	36240B109	5/9/2016	1.2	Elect Thomas H. Dinsmore	Mgmt	For
Gabelli Convertible and Income Securities Fund Inc	GCV	36240B109	5/9/2016	1.3	Elect Daniel D. Harding	Mgmt	For
Gabelli Global Utility & Income Trust.	GLU	36242L105	5/9/2016	1.1	Elect Vincent D. Enright	Mgmt	For
Gabelli Global Utility & Income Trust.	GLU	36242L105	5/9/2016	1.2	Elect Michael J. Melarkey	Mgmt	For
Gabelli Multimedia Trust Inc	GGT	36239Q109	5/9/2016	1.1	Elect Mario J. Gabelli	Mgmt	For
Gabelli Multimedia Trust Inc	GGT	36239Q109	5/9/2016	1.2	Elect Christopher J. Marangi	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GGN	36465A109	5/9/2016	1.1	Elect Vincent D. Enright	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GGN	36465A109	5/9/2016	1.2	Elect Michael J. Melarkey	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465E101	5/9/2016	1.1	Elect James P. Conn	Mgmt	For
GAMCO Natural Resources, Gold &	GNT	36465E101	5/9/2016	1.2	Elect Vincent D. Enright	Mgmt	For

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## Income Trust

GAMCO Natural Resources, Gold & Income Trust	GNT	36465E101	5/9/2016	1.3	Elect Kuni Nakamura	Mgmt	For
GDL Fund	GDL	361570104	5/9/2016	1.1	Elect Edward T. Tokar	Mgmt	For
GDL Fund	GDL	361570104	5/9/2016	1.2	Elect Salvatore J. Zizza	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.1	Elect Rodney B. Berens	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.2	Elect Lewis B. Cullman	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.3	Elect Spencer Davidson	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.4	Elect John D. Gordan, III	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.5	Elect Betsy F. Gotbaum	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.6	Elect Sidney R. Knafel	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.7	Elect Daniel M. Neidich	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.8	Elect Jeffrey W. Priest	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	1.9	Elect Henry R. Schirmer	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/13/2016	2	Ratification of Auditor	Mgmt	For



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General Dynamics Corporation	GD	369550108	5/4/2016	1	Elect Mary T. Barra	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	2	Elect Nicholas D. Chabraja	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	3	Elect James S. Crown	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	4	Elect Rudy F. deLeon	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	5	Elect William P. Fricks	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	6	Elect John M. Keane	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	7	Elect Lester L. Lyles	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	8	Elect Mark M. Malcolm	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	9	Elect James N. Mattis	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	10	Elect Phebe N. Novakovic	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	11	Elect William A. Osborn	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	12	Elect Laura J. Schumacher	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	13	Ratification of Auditor	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	15	Executive Annual Incentive Plan	Mgmt	For
General Dynamics Corporation	GD	369550108	5/4/2016	16	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against
General Electric Company	GE	369604103	4/27/2016	1	Elect Sebastien Bazin	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	2	Elect W. Geoffrey Beattie	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	3	Elect John J. Brennan	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	4	Elect Francisco D'Souza	Mgmt	For
General Electric	GE	369604103	4/27/2016	5	Elect Marijn E. Dekkers	Mgmt	For

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Company

General Electric Company	GE	369604103	4/27/2016	6	Elect Peter B. Henry	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	7	Elect Susan Hockfield	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	8	Elect Jeffrey R. Immelt	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	9	Elect Andrea Jung	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	10	Elect Robert W. Lane	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	11	Elect Rochelle B. Lazarus	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	12	Elect Lowell C. McAdam	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	13	Elect James J. Mulva	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	14	Elect James E. Rohr	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	15	Elect Mary L. Schapiro	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	16	Elect James S. Tisch	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	17	Advisory Vote on Executive Compensation	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	18	Ratification of Auditor	Mgmt	For
General Electric Company	GE	369604103	4/27/2016	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
General Electric Company	GE	369604103	4/27/2016	20	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
General Electric Company	GE	369604103	4/27/2016	21	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against
General Electric Company	GE	369604103	4/27/2016	22	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against
General Electric Company	GE	369604103	4/27/2016	23	Shareholder Proposal Regarding Performance-Based Equity Compensation	ShrHoldr	Against
	GE	369604103	4/27/2016	24		ShrHoldr	Against

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Company					Proposal Description	Category	Response
General Electric Company					Shareholder Proposal Regarding Human Rights Review		
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	1	Elect John F. Cogan	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	2	Elect Kevin E. Lofton	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	3	Elect John W. Madigan	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	4	Elect John C. Martin	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	5	Elect John F. Milligan	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	6	Elect Nicholas G. Moore	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	7	Elect Richard J. Whitley	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	8	Elect Gayle E. Wilson	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	9	Elect Per Wold-Olsen	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	10	Ratification of Auditor	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	11	Amendment to the Code Section 162(m) Bonus Plan	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/11/2016	13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Global High Income Fund Inc	GHI	37933G108	2/18/2016	1	Liquidation of the Fund	Mgmt	For
Global High Income Fund Inc	GHI	37933G108	2/18/2016	2.1	Elect Richard R. Burt	Mgmt	For
Global High Income Fund Inc	GHI	37933G108	2/18/2016	2.2	Elect Meyer Feldberg	Mgmt	For
Guggenheim Enhanced Equity Strategy Fund	GGE	40167K100	4/6/2016	1	Elect Robert B. Karn III	Mgmt	For
Guggenheim Enhanced Equity Strategy Fund	GGE	40167K100	4/6/2016	2	Elect Maynard F. Oliverius	Mgmt	For

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Guggenheim Enhanced Equity Strategy Fund	GGE	40167K100	4/6/2016	3	Elect Ronald E. Toupin Jr.	Mgmt	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167F101	4/6/2016	1	Elect Randall C. Barnes	Mgmt	N/A
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167F101	4/6/2016	2	Elect Donald C. Cacciapaglia	Mgmt	N/A
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167F101	4/6/2016	3	Elect Donald A. Chubb Jr.	Mgmt	N/A
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167F101	4/6/2016	4	Elect Roman Friedrich III	Mgmt	N/A
Guggenheim Funds Investment Advisors LLC	GPM	40167B100	4/6/2016	1.1	Elect Robert B. Karn III	Mgmt	For
Guggenheim Funds Investment Advisors LLC	GPM	40167B100	4/6/2016	1.2	Elect Maynard F. Oliverius	Mgmt	For
Guggenheim Funds Investment Advisors LLC	GPM	40167B100	4/6/2016	1.3	Elect Ronald E. Toupin Jr.	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	1	Elect William S. Ayer	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	2	Elect Kevin Burke	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	3	Elect Jaime Chico Pardo	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	4	Elect David M. Cote	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	5	Elect D. Scott Davis	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	6	Elect Linnet F. Deily	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	7	Elect Judd Gregg	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	8	Elect Clive Hollick	Mgmt	For
Honeywell International Inc.	HON	438516106	4/25/2016	9	Elect Grace D. Lieblein	Mgmt	For

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Honeywell International Inc.								
Honeywell International Inc.	HON	438516106	4/25/2016	10	Elect George Paz	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	11	Elect Bradley T. Sheares	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	12	Elect Robin L. Washington	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	13	Ratification of Auditor	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	14	Advisory Vote on Executive Compensation	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	15	2016 Stock Incentive Plan	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	16	Approval of 2016 Stock Plan for Non-Employee Directors	Mgmt	For	
Honeywell International Inc.	HON	438516106	4/25/2016	17	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	
Honeywell International Inc.	HON	438516106	4/25/2016	18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	
Honeywell International Inc.	HON	438516106	4/25/2016	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	1	Elect Daniel J. Brutto	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	2	Elect Susan Crown	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	3	Elect James W. Griffith	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	4	Elect Richard H. Lenny	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	5	Elect Robert S. Morrison	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	6	Elect E. Scott Santi	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	7	Elect James A. Skinner	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	8	Elect David B. Smith, Jr.	Mgmt	For	
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	9	Elect Pamela B. Strobel	Mgmt	For	

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Illinois Tool Works Inc.	ITW	452308109	5/6/2016	10	Elect Kevin M. Warren	Mgmt	For
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	11	Elect Anre D. Williams	Mgmt	For
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	12	Ratification of Auditor	Mgmt	For
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	14	Right to Call a Special Meeting	Mgmt	For
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	15	Re-approval of the 2011 Cash Incentive Plan	Mgmt	For
Illinois Tool Works Inc.	ITW	452308109	5/6/2016	16	Shareholder Proposal Regarding Excluding Share Repurchases in Executive Compensation	ShrHoldr	Against
Intel Corporation	INTC	458140100	5/19/2016	1	Elect Charlene Barshefsky	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	2	Elect Aneel Bhusri	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	3	Elect Andy D. Bryant	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	4	Elect John J. Donahoe	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	5	Elect Reed E. Hundt	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	6	Elect Brian M. Krzanich	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	7	Elect James D. Plummer	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	8	Elect David S. Pottruck	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	9	Elect Frank D. Yeary	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	10	Elect David B. Yoffie	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	11	Ratification of Auditor	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Intel Corporation	INTC	458140100	5/19/2016	13	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against
Intel Corporation	INTC	458140100	5/19/2016	14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Intel Corporation	INTC	458140100	5/19/2016	15	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	1	Elect Charles R. Crisp	Mgmt	For
	ICE	45866F104	5/13/2016	2	Elect Jean-Marc Forneri	Mgmt	For

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Intercontinental Exchange, Inc.								
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	3	Elect Lord Hague of Richmond	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	4	Elect Fred W. Hatfield	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	5	Elect Frederic V. Salerno	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	6	Elect Jeffrey C. Sprecher	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	7	Elect Judith A. Sprieser	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	8	Elect Vincent Tese	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	9	Advisory Vote on Executive Compensation	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	10	Ratification of Auditor	Mgmt	For	
Intercontinental Exchange, Inc.	ICE	45866F104	5/13/2016	11	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	
Invesco Funds	VLT	46131F101	8/26/2015	1.1	Elect James T. Bunch	Mgmt	For	
Invesco Funds	VLT	46131F101	8/26/2015	1.2	Elect Bruce L. Crockett	Mgmt	For	
Invesco Funds	VLT	46131F101	8/26/2015	1.3	Elect Rodney F. Dammeyer	Mgmt	For	
Invesco Funds	VLT	46131F101	8/26/2015	1.4	Elect Jack M. Fields, Jr.	Mgmt	For	
Invesco Funds	VLT	46131F101	8/26/2015	1.5	Elect Martin L. Flanagan	Mgmt	For	
John Hancock Financial Opportunities Fund	BTO	409735206	2/3/2016	1.1	Elect James R. Boyle	Mgmt	For	
John Hancock Financial Opportunities Fund	BTO	409735206	2/3/2016	1.2	Elect Craig Bromley	Mgmt	For	
John Hancock Financial Opportunities Fund	BTO	409735206	2/3/2016	1.3	Elect Deborah C. Jackson	Mgmt	For	
John Hancock Financial Opportunities Fund	BTO	409735206	2/3/2016	1.4	Elect James M. Oates	Mgmt	For	

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John Hancock Financial Opportunities Fund	BTO	409735206	2/3/2016	1.5	Elect Steven R. Pruchansky	Mgmt	For
John Hancock Hedged equity & Income Fund	HEQ	47804L102	2/3/2016	1.1	Elect James R. Boyle	Mgmt	For
John Hancock Hedged equity & Income Fund	HEQ	47804L102	2/3/2016	1.2	Elect Craig Bromley	Mgmt	For
John Hancock Hedged equity & Income Fund	HEQ	47804L102	2/3/2016	1.3	Elect Deborah C. Jackson	Mgmt	For
John Hancock Hedged equity & Income Fund	HEQ	47804L102	2/3/2016	1.4	Elect James M. Oates	Mgmt	For
John Hancock Hedged equity & Income Fund	HEQ	47804L102	2/3/2016	1.5	Elect Steven R. Pruchansky	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.2	Elect James R. Boyle	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.3	Elect Craig Bromley	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.4	Elect Peter S. Burgess	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.5	Elect William H. Cunningham	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.6	Elect Grace K. Fey	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.7	Elect Theron S. Hoffman	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.8	Elect Deborah C. Jackson	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.9	Elect Hassell H. McClellan	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.10	Elect James M. Oates	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.11	Elect Steven R. Pruchansky	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.12	Elect Gregory A. Russo	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/3/2016	1.13	Elect Warren A. Thomson	Mgmt	For



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Johnson & Johnson	JNJ	478160104	4/28/2016	1	Elect Mary C. Beckerle	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	2	Elect D. Scott Davis	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	3	Elect Ian E.L. Davis	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	4	Elect Alex Gorsky	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	5	Elect Susan L. Lindquist	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	6	Elect Mark B. McClellan	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	7	Elect Anne M. Mulcahy	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	8	Elect William D. Perez	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	9	Elect Charles Prince	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	10	Elect A. Eugene Washington	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	11	Elect Ronald A. Williams	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	13	Ratification of Auditor	Mgmt	For
Johnson & Johnson	JNJ	478160104	4/28/2016	14	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against
Johnson & Johnson	JNJ	478160104	4/28/2016	15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Johnson & Johnson	JNJ	478160104	4/28/2016	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Johnson & Johnson	JNJ	478160104	4/28/2016	17	Shareholder Proposal Regarding Take-Back Programs for Unused Medicines	ShrHoldr	Against
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.1	Elect David P. Abney	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.2	Elect Natalie A. Black	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.3	Elect Julie L. Bushman	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.4	Elect Raymond L. Conner	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.5	Elect Richard Goodman	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.6	Elect Jeffrey A. Joerres	Mgmt	For
	JCI	478366107	1/27/2016	1.7	Elect William H. Lacy	Mgmt	For

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Johnson Controls, Inc.								
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.8	Elect Alex A. Molinaroli	Mgmt	For	
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.9	Elect Juan Pablo del Valle Perochena	Mgmt	For	
Johnson Controls, Inc.	JCI	478366107	1/27/2016	1.10	Elect Mark P. Vergnano	Mgmt	For	
Johnson Controls, Inc.	JCI	478366107	1/27/2016	2	Ratification of Auditor	Mgmt	For	
Johnson Controls, Inc.	JCI	478366107	1/27/2016	3	Advisory Vote on Executive Compensation	Mgmt	For	
Johnson Controls, Inc.	JCI	478366107	1/27/2016	4	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	1	Elect Linda B. Bammann	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	2	Elect James A. Bell	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	3	Elect Crandall C. Bowles	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	4	Elect Stephen B. Burke	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	5	Elect James S. Crown	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	6	Elect James Dimon	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	7	Elect Timothy P. Flynn	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	8	Elect Laban P. Jackson, Jr.	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	9	Elect Michael A. Neal	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	10	Elect Lee R. Raymond	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	11	Elect William C. Weldon	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	13	Ratification of Auditor	Mgmt	For	
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	14	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	

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JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	15	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	16	Shareholder Proposal Regarding Government Service Vesting	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	17	Shareholder Proposal Regarding Formation of Shareholder Value Committee	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	18	Shareholder Proposal Regarding Amendment to Clawback Policy	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/17/2016	19	Shareholder Proposal Regarding Linking Executive Pay to Social Factors	ShrHoldr	Against
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	1	Elect John F. Bergstrom	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	2	Elect Abelardo E. Bru	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	3	Elect Robert W. Decherd	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	4	Elect Thomas J. Falk	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	5	Elect Fabian T. Garcia	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	6	Elect Mae C. Jemison	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	7	Elect James M. Jenness	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	8	Elect Nancy J. Karch	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	9	Elect Ian C. Read	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	10	Elect Marc J. Shapiro	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	11	Elect Michael D. White	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	12	Ratification of Auditor	Mgmt	For
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
	KMB	494368103	5/4/2016	14		Mgmt	For

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Kimberly-Clark Corporation					REAPPROVAL OF PERFORMANCE GOALS UNDER THE 2011 EQUITY PARTICIPATION PLAN		
Kimberly-Clark Corporation	KMB	494368103	5/4/2016	15	Amendment to the 2011 Outside Directors' Compensation Plan	Mgmt	For
Kraft Foods Group Inc.	KRFT	50076Q106	7/1/2015	1	Merger/Acquisition	Mgmt	For
Kraft Foods Group Inc.	KRFT	50076Q106	7/1/2015	2	Advisory Vote on Golden Parachutes	Mgmt	For
Kraft Foods Group Inc.	KRFT	50076Q106	7/1/2015	3	Right to Adjourn Meeting	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	1	Elect Gregory E. Abel	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	2	Elect Alexandre Behring	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	3	Elect Warren E. Buffett	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	4	Elect John T. Cahill	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	5	Elect Tracy Britt Cool	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	6	Elect Jeanne P. Jackson	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	7	Elect Jorge Paulo Lemann	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	8	Elect Mackey J. McDonald	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	9	Elect John C. Pope	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	10	Elect Marcel Herrmann Telles	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
Kraft Heinz Company	KHC	500754106	4/21/2016	13	Approval of 2016 Omnibus Incentive Plan	Mgmt	For
Kraft Heinz Company	KHC	500754106	4/21/2016	14	Ratification of Auditor	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	1	Elect Nora. A. Aufreiter	Mgmt	For

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Kroger Co.	KR	501044101	6/23/2016	2	Elect Robert D. Beyer	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	3	Elect Anne Gates	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	4	Elect Susan J. Kropf	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	5	Elect W. Rodney McMullen	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	6	Elect Jorge P. Montoya	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	7	Elect Clyde R. Moore	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	8	Elect Susan M. Phillips	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	9	Elect James A. Runde	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	10	Elect Ronald L. Sargent	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	11	Elect Bobby S. Shackouls	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	13	Ratification of Auditor	Mgmt	For
Kroger Co.	KR	501044101	6/23/2016	14	Shareholder Proposal Regarding Human Rights Report	ShrHoldr	Against
Kroger Co.	KR	501044101	6/23/2016	15	Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging	ShrHoldr	Against
Kroger Co.	KR	501044101	6/23/2016	16	Shareholder Proposal Regarding Report on Renewable Energy Sourcing	ShrHoldr	Against
Kroger Co.	KR	501044101	6/23/2016	17	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/22/2016	1	Elect Kenneth S. Davidson	Mgmt	For
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/22/2016	2	Elect Nancy A. Eckl	Mgmt	For
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/22/2016	3	Elect Trevor W. Morrison	Mgmt	For
Lazard World Dividend & Income Fund Inc	LOR	521076109	4/22/2016	1	Elect Robert M. Solmson	Mgmt	For
Lazard World	LOR	521076109	4/22/2016	2	Elect Charles L. Carroll	Mgmt	For

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Dividend & Income  
Fund Inc

Liberty All-Star Equity Fund	USA	530158104	2/25/2016	1	Approval of a new Portfolio Management Agreement for Liberty All-Star Equity Fund	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/27/2015	1.1	Elect John A. Benning	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/27/2015	1.2	Elect Edmund J. Burke	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/27/2015	2	Shareholder Proposal to Authorize Self-Tender Offer for Shares of The Equity Fund	ShrHoldr	Against
Liberty All-Star Equity Fund	USA	530158104	8/27/2015	1.1	Elect John A. Benning	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/27/2015	1.2	Elect Edmund J. Burke	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/27/2015	2	Shareholder Proposal to Authorize Self-Tender Offer for Shares of The Equity Fund	ShrHoldr	Against
Liberty All-Star Equity Fund	USA	530158104	11/19/2015	1	Approval of a New Investment Advisory Agreement	Mgmt	For
Liberty All-Star Growth Fund Inc	ASG	529900102	8/27/2015	1.1	Elect Thomas W. Brock	Mgmt	For
Liberty All-Star Growth Fund Inc	ASG	529900102	8/27/2015	1.2	Elect John J. Neuhauser	Mgmt	For
Liberty All-Star Growth Fund Inc	ASG	529900102	8/27/2015	1.3	Elect Edmund J. Burke	Mgmt	For
Liberty All-Star Growth Fund Inc	ASG	529900102	8/27/2015	1.1	Elect Thomas W. Brock	Mgmt	For
Liberty All-Star Growth Fund Inc	ASG	529900102	8/27/2015	1.2	Elect John J. Neuhauser	Mgmt	For
Liberty All-Star Growth Fund Inc	ASG	529900102	8/27/2015	1.3	Elect Edmund J. Burke	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/24/2016	1.1	Elect Leslie H. Gelb	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/24/2016	1.2	Elect William R. Hutchinson	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/24/2016	1.3	Elect Jane E. Trust	Mgmt	For
	RIT	50208C108	5/18/2016	1	Reorganization	Mgmt	For

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LMP Real Estate  
Income Fund Inc.

Lockheed Martin Corporation	LMT	539830109	4/28/2016	1	Elect Daniel F. Akerson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	2	Elect Nolan D. Archibald	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	3	Elect Rosalind G. Brewer	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	4	Elect David B. Burritt	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	5	Elect Bruce A. Carlson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	6	Elect James O. Ellis, Jr.	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	7	Elect Thomas J. Falk	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	8	Elect Marillyn A. Hewson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	9	Elect James M. Loy	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	10	Elect Joseph W. Ralston	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	11	Elect Anne L. Stevens	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	12	Ratification of Auditor	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	14	Re-approval of the 2011 Incentive Performance Award Plan for the Purposes of Section 162(m)	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/28/2016	15	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.1	Elect Raul Alvarez	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.2	Elect Angela F. Braly	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.3	Elect Sandra B. Cochran	Mgmt	For
	LOW	548661107	5/27/2016	1.4	Elect Laurie Z. Douglas	Mgmt	For

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Lowe's Companies,  
Inc.

Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.5	Elect Richard W. Dreiling	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.6	Elect Robert L. Johnson	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.7	Elect Marshall O. Larsen	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.8	Elect James H Morgan	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.9	Elect Robert A. Niblock	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.10	Elect Bertram L. Scott	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	1.11	Elect Eric C. Wiseman	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	2	Approval of the 2016 Annual Incentive Plan	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	3	Advisory Vote on Executive Compensation	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	4	Ratification of Auditor	Mgmt	For
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	5	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against
Lowe's Companies, Inc.	LOW	548661107	5/27/2016	6	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	1	Elect Jacques Aigrain	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	2	Elect Lincoln Benet	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	3	Elect Nance K. Dicciani	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	4	Elect Bruce A. Smith	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	5	Elect Thomas Aebischer	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	6	Elect Dan Coombs	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	7	Elect James D. Guilfoyle	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	8	Adoption of Dutch Statutory Annual	Mgmt	For



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Accounts for 2015

LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	9	Discharge From Liability of Members of the Management	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	10	DISCHARGE FROM LIABILITY OF MEMBERS OF THE SUPERVISORY BOARD	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	11	Appointment of Auditor	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	12	Appointment of PriceWaterhouseCoopers Accountants N.V. as the Auditor for the Dutch Annual Accounts	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	13	Allocation of Dividends	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	14	Advisory Vote Approving Executive Compensation	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/11/2016	15	Authority of Management Board to Repurchase Shares	Mgmt	For
Macquarie Global Infrastructure Total Return Fund, Inc.	MGU	55608D101	6/15/2016	1.1	Elect Thomas W. Hunersen	Mgmt	For
Macquarie-First Tr. Global Inf. Utilities Div. & Income Fund	MFD	55607W100	4/22/2016	1.1	Elect James A. Bowen	Mgmt	For
Macquarie-First Tr. Global Inf. Utilities Div. & Income Fund	MFD	55607W100	4/22/2016	1.2	Elect Niel B. Nielson	Mgmt	For
Madison Covered Call & Equity Strategy Fund	MCN	557437100	7/31/2015	1.1	Elect James R. Imhoff, Jr.	Mgmt	For
Madison Covered Call & Equity Strategy Fund	MCN	557437100	7/31/2015	1.2	Elect Steven P. Riege	Mgmt	For
Madison Strategic Sector Premium Fund	MSP	558268108	7/31/2015	1.1	Elect Philip E. Blake	Mgmt	For
Marsh & McLennan	MMC	571748102	5/19/2016	1	Elect Oscar Fanjul Martin	Mgmt	For

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Companies, Inc.

Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	2	Elect Daniel S. Glaser	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	3	Elect H. Edward Hanway	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	4	Elect Elaine La Roche	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	5	Elect Maria Silvia Bastos Marques	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	6	Elect Steven A. Mills	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	7	Elect Bruce P. Nolop	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	8	Elect Marc D. Oken	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	9	Elect Morton O. Schapiro	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	10	Elect Lloyd M. Yates	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	11	Elect R. David Yost	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/19/2016	13	Ratification of Auditor	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	1	Elect Richard Haythornthwaite	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	2	Elect Ajay Banga	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	3	Elect Silvio Barzi	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	4	Elect David R. Carlucci	Mgmt	For

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MasterCard Incorporated	MA	57636Q104	6/28/2016	5	Elect Steven J. Freiberg	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	6	Elect Julius Genachowski	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	7	Elect Merit E. Janow	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	8	Elect Nancy J. Karch	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	9	Elect Oki Matsumoto	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	10	Elect Rima Qureshi	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	11	Elect Jose Octavio Reyes Lagunes	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	12	Elect Jackson P. Tai	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
MasterCard Incorporated	MA	57636Q104	6/28/2016	14	Ratification of Auditor	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	1	Elect Sir Winfried Bischoff	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	2	Elect William D. Green	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	3	Elect Charles E. Haldeman, Jr.	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	4	Elect Rebecca Jacoby	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	5	Elect Hilda Ochoa-Brillembourg	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	6	Elect Douglas L. Peterson	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	7	Elect Sir Michael Rake	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	8	Elect Edward B. Rust, Jr.	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	9	Elect Kurt L. Schmoke	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	10	Elect Richard E. Thornburgh	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	11	Company Name Change	Mgmt	For
	MHFI	580645109	4/27/2016	12		Mgmt	For

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McGraw Hill Financial, Inc.					Reduce the Required Minimum Number of Directors from 12 Members to 8 Members.		
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
McGraw Hill Financial, Inc.	MHFI	580645109	4/27/2016	14	Ratification of Auditor	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	1	Elect Andy D. Bryant	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	2	Elect Wayne A. Budd	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	3	Elect N. Anthony Coles	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	4	Elect John H. Hammergren	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	5	Elect Alton F. Irby III	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	6	Elect M. Christine Jacobs	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	7	Elect Donald R. Knauss	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	8	Elect Marie L. Knowles	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	9	Elect David M. Lawrence	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	10	Elect Edward A. Mueller	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	11	Elect Susan R. Salka	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	12	Ratification of Auditor	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	14	Reapproval of the Management Cash Incentive Plan	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	15	Adoption of Proxy Access Bylaw	Mgmt	For
Mckesson Corporation	MCK	58155Q103	7/29/2015	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
	MCK	58155Q103	7/29/2015	17		ShrHoldr	Against

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Mckesson Corporation						Shareholder Proposal Regarding Compensation in the Event of a Change in Control		
Mckesson Corporation	MCK	58155Q103	7/29/2015	1	Elect Andy D. Bryant	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	2	Elect Wayne A. Budd	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	3	Elect N. Anthony Coles	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	4	Elect John H. Hammergren	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	5	Elect Alton F. Irby III	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	6	Elect M. Christine Jacobs	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	7	Elect Donald R. Knauss	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	8	Elect Marie L. Knowles	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	9	Elect David M. Lawrence	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	10	Elect Edward A. Mueller	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	11	Elect Susan R. Salka	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	12	Ratification of Auditor	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	13	Advisory Vote on Executive Compensation	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	14	Reapproval of the Management Cash Incentive Plan	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	15	Adoption of Proxy Access Bylaw	Mgmt	For	
Mckesson Corporation	MCK	58155Q103	7/29/2015	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	
Mckesson Corporation	MCK	58155Q103	7/29/2015	17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	1	Elect Leslie A. Brun	Mgmt	For	

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Merck & Co., Inc.	MRK	58933Y105	5/24/2016	2	Elect Thomas R. Cech	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	3	Elect Pamela J. Craig	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	4	Elect Kenneth C. Frazier	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	5	Elect Thomas H. Glocer	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	6	Elect C. Robert Kidder	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	7	Elect Rochelle B. Lazarus	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	8	Elect Carlos E. Represas	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	9	Elect Paul B. Rothman	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	10	Elect Patricia F. Russo	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	11	Elect Craig B. Thompson	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	12	Elect Wendell P. Weeks	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	13	Elect Peter C. Wendell	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	15	Ratification of Auditor	Mgmt	For
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	17	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Merck & Co., Inc.	MRK	58933Y105	5/24/2016	18	Shareholder Proposal Regarding Take-Back Programs for Unused Medicines	ShrHoldr	Against
Mexico Fund Inc	MXF	592835102	3/16/2016	1.1	Elect Edward P. Djerejian	Mgmt	For
Mexico Fund Inc	MXF	592835102	3/16/2016	1.2	Elect Claudio Gonzalez Laporte	Mgmt	For
Mexico Fund Inc	MXF	592835102	3/16/2016	1.3	Elect Alberto Osorio Morales	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/1/2015	1.1	Elect Robert E. Butler	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/1/2015	1.2	Elect David H. Gunning	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/1/2015	1.3	Elect Robin A. Stelmach	Mgmt	For
MFS Intermediate High Income Fund	CIF	59318T109	10/1/2015	1.1	Elect Robert E. Butler	Mgmt	For
MFS Intermediate High Income Fund	CIF	59318T109	10/1/2015	1.2	Elect David H. Gunning	Mgmt	For
	CIF	59318T109	10/1/2015	1.3	Elect Robin A. Stelmach	Mgmt	For

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MFS Intermediate High Income Fund								
MFS Investment Grade Municipal Trust	CXH	59318B108	10/1/2015	1.1	Elect Robert E. Butler	Mgmt	For	
MFS Investment Grade Municipal Trust	CXH	59318B108	10/1/2015	1.2	Elect David H. Gunning	Mgmt	For	
MFS Investment Grade Municipal Trust	CXH	59318B108	10/1/2015	1.3	Elect Robin A. Stelmach	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	1	Elect William H. Gates III	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	2	Elect Teri L. List-Stoll	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	3	Elect G. Mason Morfit	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	4	Elect Satya Nadella	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	5	Elect Charles H. Noski	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	6	Elect Helmut G. W. Panke	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	7	Elect Sandra E. Peterson	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	8	Elect Charles W. Scharf	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	9	Elect John W. Stanton	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	10	Elect John W. Thompson	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	11	Elect Padmasree Warrior	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	12	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	
Microsoft Corporation	MSFT	594918104	12/2/2015	13	Ratification of Auditor	Mgmt	For	
Mondelez International Inc.	MDLZ	609207105	5/18/2016	1	Elect Stephen F. Bollenbach	Mgmt	For	
Mondelez International Inc.	MDLZ	609207105	5/18/2016	2	Elect Lewis W.K. Booth	Mgmt	For	
Mondelez International Inc.	MDLZ	609207105	5/18/2016	3	Elect Lois D. Juliber	Mgmt	For	

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Mondelez International Inc.	MDLZ	609207105	5/18/2016	4	Elect Mark D. Ketchum	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	5	Elect Jorge S. Mesquita	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	6	Elect Joseph Neubauer	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	7	Elect Nelson Peltz	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	8	Elect Fredric G. Reynolds	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	9	Elect Irene B. Rosenfeld	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	10	Elect Christiana Shi	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	11	Elect Patrick T. Siewert	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	12	Elect Ruth J. Simmons	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	13	Elect Jean-Francois M. L. van Boxmeer	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	15	Ratification of Auditor	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/18/2016	16	Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging	ShrHoldr	Against
Mondelez International Inc.	MDLZ	609207105	5/18/2016	17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Mondelez International Inc.	MDLZ	609207105	5/18/2016	18	Shareholder Proposal Regarding Mediation of Alleged Human Rights Violations	ShrHoldr	Against
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.1	Elect Rodney C. Sacks	Mgmt	For
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.2	Elect Hilton H. Schlosberg	Mgmt	For
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.3	Elect Mark J. Hall	Mgmt	For
	MNST	61174X109	6/14/2016	1.4	Elect Norman C. Epstein	Mgmt	For



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Monster Beverage Corporation								
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.5	Elect Gary P. Fayard	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.6	Elect Benjamin M. Polk	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.7	Elect Sydney Selati	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.8	Elect Harold C. Taber, Jr.	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.9	Elect Kathy N. Waller	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	1.10	Elect Mark S. Vidergauz	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	2	Ratification of Auditor	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	3	Advisory Vote on Executive Compensation	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	4	Re-approval of the Material Terms under the 2011 Omnibus Incentive Plan	Mgmt	For	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	5	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	
Monster Beverage Corporation	MNST	61174X109	6/14/2016	6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	
Moody's Corporation	MCO	615369105	4/12/2016	1	Elect Basil L. Anderson	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	2	Elect Jorge A. Bermudez	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	3	Elect Darrell Duffie	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	4	Elect Kathryn M. Hill	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	5	Elect Ewald Kist	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	6	Elect Raymond W. McDaniel, Jr.	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	7	Elect Henry A. McKinnell, Jr.	Mgmt	For	
Moody's Corporation	MCO	615369105	4/12/2016	8	Elect Leslie F. Seidman	Mgmt	For	

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Moody's Corporation	MCO	615369105	4/12/2016	9	Elect Bruce Van Saun	Mgmt	For
Moody's Corporation	MCO	615369105	4/12/2016	10	Ratification of Auditor	Mgmt	For
Moody's Corporation	MCO	615369105	4/12/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/24/2015	1.1	Elect Robert Conti	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/24/2015	1.2	Elect Michael M. Knetter	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/24/2015	1.3	Elect Peter P. Trapp	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/24/2015	1.1	Elect Robert Conti	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/24/2015	1.2	Elect Michael M. Knetter	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/24/2015	1.3	Elect Peter P. Trapp	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	1	Elect K. Rupert Murdoch	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	2	Elect Lachlan K. Murdoch	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	3	Elect Robert J. Thomson	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	4	Elect Jose Maria Aznar	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	5	Elect Natalie Bancroft	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	6	Elect Peter L. Barnes	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	7	Elect Elaine L. Chao	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	8	Elect John Elkann	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	9	Elect Joel I. Klein	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	10	Elect James Murdoch	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	11	Elect Ana Paula Pessoa	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	12	Elect Masroor T. Siddiqui	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	13	Ratification of Auditor	Mgmt	For
News Corp.	NWS	65249B208	10/14/2015	14	Advisory Vote on Executive Compensation	Mgmt	For

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News Corp.	NWS	65249B208	10/14/2015	15	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	1	Elect Sherry S. Barrat	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	2	Elect James L. Camaren	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	3	Elect Kenneth B. Dunn	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	4	Elect Naren K. Gursahaney	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	5	Elect Kirk S. Hachigian	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	6	Elect Toni Jennings	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	7	Elect Amy B. Lane	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	8	Elect James L. Robo	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	9	Elect Rudy E. Schupp	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	10	Elect John L. Skolds	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	11	Elect William H. Swanson	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	12	Elect Hansel E. Tookes II	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	13	Ratification of Auditor	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	15	Reapproval of material terms of the 2011 Long Term Incentive Plan	Mgmt	For
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	17	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
NextEra Energy, Inc.	NEE	65339F101	5/19/2016	18	Shareholder Proposal on Sea Level Rise Risks Report	ShrHoldr	Against
	NOC	666807102	5/18/2016	1	Elect Wesley G. Bush	Mgmt	For

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Northrop Grumman Corporation								
Northrop Grumman Corporation	NOC	666807102	5/18/2016	2	Elect Marianne C. Brown	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	3	Elect Victor H. Fazio	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	4	Elect Donald E. Felsing	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	5	Elect Ann M. Fudge	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	6	Elect Bruce S. Gordon	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	7	Elect William H. Hernandez	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	8	Elect Madeleine A. Kleiner	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	9	Elect Karl J. Krapek	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	10	Elect Richard B. Myers	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	11	Elect Gary Roughead	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	12	Elect Thomas M. Schoewe	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	13	Elect James S. Turley	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	14	Advisory Vote on Executive Compensation	Mgmt	For	
Northrop Grumman Corporation	NOC	666807102	5/18/2016	15	Ratification of Auditor	Mgmt	For	
Nuveen Credit Strategies Income Fund	JQC	67073D102	4/22/2016	1.1	Elect William Curt Hunter	Mgmt	For	
Nuveen Credit Strategies Income Fund	JQC	67073D102	4/22/2016	1.2	Elect Judith M. Stockdale	Mgmt	For	
Nuveen Credit Strategies Income Fund	JQC	67073D102	4/22/2016	1.3	Elect Carole E. Stone	Mgmt	For	
Nuveen Credit Strategies Income Fund	JQC	67073D102	4/22/2016	1.4	Elect Margaret L. Wolff	Mgmt	For	
Nuveen Dividend Advantage	NXZ	67070X101	2/10/2016	1	Issuance of Common Stock in Connection with	Mgmt	For	

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Municipal Fund					the Reorganizations (NZF)		
Nuveen Dividend Advantage Municipal Fund	NXZ	67070X101	2/10/2016	2	Approval of a New Investment Management Agreement (NZF)	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NXZ	67070X101	2/10/2016	3	Approval of a New Sub-Advisory Agreement (NZF)	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2015	1.1	Elect Jack B. Evans	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2015	1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2015	1.1	Elect Jack B. Evans	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2015	1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Global Equity Income Fund	JRI	67074Y105	4/22/2016	1.1	Elect William Curt Hunter	Mgmt	For
Nuveen Global Equity Income Fund	JRI	67074Y105	4/22/2016	1.2	Elect Judith M. Stockdale	Mgmt	For
Nuveen Global Equity Income Fund	JRI	67074Y105	4/22/2016	1.3	Elect Carole E. Stone	Mgmt	For
Nuveen Global Equity Income Fund	JRI	67074Y105	4/22/2016	1.4	Elect Margaret L. Wolff	Mgmt	For
Nuveen Premium Income Municipal Fund 4 Inc	NPT	670699107	8/5/2015	1.1	Elect Jack B. Evans	Mgmt	For
	NPT	670699107	8/5/2015	1.2		Mgmt	For

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Nuveen Premium Income Municipal Fund 4 Inc					Elect William J. Schneider		
Nuveen Premium Income Municipal Fund 4 Inc	NPT	670699107	8/5/2015	1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Premium Income Municipal Fund 4 Inc	NPT	670699107	8/5/2015	1.1	Elect Jack B. Evans	Mgmt	For
Nuveen Premium Income Municipal Fund 4 Inc	NPT	670699107	8/5/2015	1.2	Elect William J. Schneider	Mgmt	For
Nuveen Premium Income Municipal Fund 4 Inc	NPT	670699107	8/5/2015	1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.2	Elect Jack B. Evans	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.3	Elect David J. Kundert	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.4	Elect John K. Nelson	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.6	Elect Judith M. Stockdale	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.7	Elect Carole E. Stone	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.8	Elect Virginia L. Stringer	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2015	1.9	Elect Terence J. Toth	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	4/22/2016	1.1	Elect William Curt Hunter	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	4/22/2016	1.2	Elect Judith M. Stockdale	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	4/22/2016	1.3	Elect Carole E. Stone	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	4/22/2016	1.4	Elect Margaret L. Wolff	Mgmt	For
Nuveen Select Quality Municipal	NQS	670973106	8/5/2015	1.1	Elect William Adams, IV	Mgmt	For

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Fund

Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.2	Elect Jack B. Evans	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.3	Elect David J. Kundert	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.4	Elect John K. Nelson	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.6	Elect Judith M. Stockdale	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.7	Elect Carole E. Stone	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.8	Elect Virginia L. Stringer	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2015	1.9	Elect Terence J. Toth	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.1	Elect Jeffrey S. Berg	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.2	Elect H. Raymond Bingham	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.3	Elect Michael J. Boskin	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.4	Elect Safra A. Catz	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.5	Elect Bruce R. Chizen	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.6	Elect George H. Conrades	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.7	Elect Lawrence J. Ellison	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.8	Elect Hector Garcia-Molina	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.9	Elect Jeffrey O. Henley	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.10	Elect Mark V. Hurd	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.11	Elect Leon E. Panetta	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	1.12	Elect Naomi O. Seligman	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	2	Re-approval of the Material Terms of the Executive Bonus Plan	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	3		Mgmt	For

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					Advisory Vote on Executive Compensation		
Oracle Corporation	ORCL	68389X105	11/18/2015	4	Ratification of Auditor	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/18/2015	5	Shareholder Proposal Regarding Renewable Energy Sourcing	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/18/2015	6	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/18/2015	7	Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/18/2015	8	Shareholder Proposal Regarding Shareholder Engagement	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/18/2015	9	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/18/2015	10	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Phillips 66	PSX	718546104	5/4/2016	1	Elect Greg C. Garland	Mgmt	For
Phillips 66	PSX	718546104	5/4/2016	2	Elect John E. Lowe	Mgmt	For
Phillips 66	PSX	718546104	5/4/2016	3	Ratification of Auditor	Mgmt	For
Phillips 66	PSX	718546104	5/4/2016	4	Advisory Vote on Executive Compensation	Mgmt	For
Phillips 66	PSX	718546104	5/4/2016	5	Repeal of Classified Board	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	1	Elect James G. Berges	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	2	Elect John V. Faraci	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	3	Elect Victoria F. Haynes	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	4	Elect Michael H. McGarry	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	5	Advisory Vote on Executive Compensation	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	6	Approval of Performance Goals Under Omnibus Incentive Plan	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	7	Approval of the Omnibus Incentive Plan	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/21/2016	8	Ratification of Auditor	Mgmt	For



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PPG Industries, Inc.	PPG	693506107	4/21/2016	9	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against
PPL Corporation	PPL	69351T106	5/25/2016	1	Elect Rodney C. Adkins	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	2	Elect John W. Conway	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	3	Elect Steven G. Elliott	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	4	Elect Raja Rajamannar	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	5	Elect Craig A. Rogerson	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	6	Elect William H. Spence	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	7	Elect Natica von Althann	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	8	Elect Keith H. Williamson	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	9	Elect Armando Zagalo De Lima	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	10	Advisory Vote on Executive Compensation	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	11	2016 Short-Term Incentive Plan	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	12	Increase of Authorized Common Stock	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	13	Ratification of Auditor	Mgmt	For
PPL Corporation	PPL	69351T106	5/25/2016	14	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
PPL Corporation	PPL	69351T106	5/25/2016	15	Shareholder Proposal Regarding Distributed Generation	ShrHoldr	Against
Precision Castparts Corp.	PCP	740189105	8/17/2015	1	Elect Peter B. Delaney	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	2	Elect Mark Donegan	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	3	Elect Don R. Graber	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	4	Elect Lester L. Lyles	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	5	Elect Vernon E. Oechsle	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	6	Elect James F. Palmer	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	7	Elect Rick Schmidt	Mgmt	For

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Precision Castparts Corp.	PCP	740189105	8/17/2015	8	Elect Richard L. Wambold	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	9	Elect Timothy A. Wicks	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	10	Elect Janet C. Wolfenbarger	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	11	Ratification of Auditor	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Precision Castparts Corp.	PCP	740189105	8/17/2015	13	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Precision Castparts Corp.	PCP	740189105	11/19/2015	1	Acquisition	Mgmt	For
Precision Castparts Corp.	PCP	740189105	11/19/2015	2	Advisory Vote on Golden Parachutes	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	1	Elect Willie A. Deese	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	2	Elect Albert R. Gamper, Jr.	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	3	Elect William V. Hickey	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	4	Elect Ralph Izzo	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	5	Elect Shirley Ann Jackson	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	6	Elect David Lilley	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	7	Elect Thomas A. Renyi	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	8	Elect Hak Cheol Shin	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	9	Elect Richard J. Swift	Mgmt	For
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	10	Elect Susan Tomasky	Mgmt	For

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Enterprise Group Incorporated								
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	11	Elect Alfred W. Zollar	Mgmt	For	
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	
Public Service Enterprise Group Incorporated	PEG	744573106	4/19/2016	13	Ratification of Auditor	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	1	Elect Tracy A Atkinson	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	2	Elect Robert E. Beauchamp	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	3	Elect James E. Cartwright	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	4	Elect Vernon E. Clark	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	5	Elect Stephen J. Hadley	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	6	Elect Thomas A. Kennedy	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	7	Elect Letitia A Long	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	8	Elect George R. Oliver	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	9	Elect Michael C. Ruetters	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	10	Elect William R. Spivey	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	11	Advisory Vote on Executive Compensation	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	12	Ratification of Auditor	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	13	Adoption of an Exclusive Forum Provision	Mgmt	For	
Raytheon Company	RTN	755111507	5/26/2016	14	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against	
Raytheon Company	RTN	755111507	5/26/2016	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	
Reynolds American Inc.	RAI	761713106	5/5/2016	1	Elect Susan M. Cameron	Mgmt	For	
Reynolds American Inc.	RAI	761713106	5/5/2016	2	Elect Martin D. Feinstein	Mgmt	For	
Reynolds American Inc.	RAI	761713106	5/5/2016	3	Elect Murray S. Kessler	Mgmt	For	
Reynolds American Inc.	RAI	761713106	5/5/2016	4	Elect Lionel L. Nowell III	Mgmt	For	

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Reynolds American Inc.	RAI	761713106	5/5/2016	5	Elect Ricardo Oberlander	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	6	Elect Jerome Abelman	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	7	Elect Robert E. Lerwill	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	8	AMENDMENT TO ARTICLES OF INCORPORATION TO DECLASSIFY THE BOARD OF DIRECTORS	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	9	Increase of Authorized Shares of Common Stock	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	10	Advisory Vote on Executive Compensation	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	11	Ratification of Auditor	Mgmt	For
Reynolds American Inc.	RAI	761713106	5/5/2016	12	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against
Reynolds American Inc.	RAI	761713106	5/5/2016	13	Shareholder Proposal Regarding Mediation of Alleged Human Rights Violations	ShrHoldr	Against
RMR Real Estate Income Fund.	RIF	76970B101	4/14/2016	1	Elect Arthur G. Koumantzelis	Mgmt	For
Royce Micro-Cap Trust Inc	RMT	780915104	9/24/2015	1.1	Elect Charles M. Royce	Mgmt	For
Royce Micro-Cap Trust Inc	RMT	780915104	9/24/2015	1.2	Elect G. Peter O'Brien	Mgmt	For
Royce Micro-Cap Trust Inc	RMT	780915104	9/24/2015	1.3	Elect David L. Meister	Mgmt	For
Royce Value Trust Inc	RVT	780910105	9/24/2015	1.1	Elect Charles M. Royce	Mgmt	For
Royce Value Trust Inc	RVT	780910105	9/24/2015	1.2	Elect G. Peter O'Brien	Mgmt	For
Royce Value Trust Inc	RVT	780910105	9/24/2015	1.3	Elect David L. Meister	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	1	Elect Peter L.S. Currie	Mgmt	For

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Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	2	Elect V. Maureen Kempston Darkes	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	3	Elect Paal Kibsgaard	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	4	Elect Nikolay Kudryavtsev	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	5	Elect Michael E. Marks	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	6	Elect Indra K. Nooyi	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	7	Elect Lubna S. Olayan	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	8	Elect Leo Rafael Reif	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	9	Elect Tore I. Sandvold	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	10	Elect Henri Seydoux	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	12	Approval of Financials Statements/Dividends	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	13	Ratification of Auditor	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	14	Amendments to Articles of Incorporation	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	15	Ratification of Board Size	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/6/2016	16	Amendment to the French Sub Plan Under the 2010 Omnibus Stock Incentive	Mgmt	For

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Plan

Sherwin-Williams Company	SHW	824348106	4/20/2016	1	Elect Arthur F. Anton	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	2	Elect Christopher M. Connor	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	3	Elect David F. Hodnik	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	4	Elect Thomas G. Kadien	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	5	Elect Richard J. Kramer	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	6	Elect Susan J. Kropf	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	7	Elect John G. Morikis	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	8	Elect Christine A. Poon	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	9	Elect John M. Stropki, Jr.	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	10	Elect Matthew Thornton	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	11	Elect Steven H. Wunning	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	13	Amendment to the 2006 Stock Plan for Nonemployee Directors	Mgmt	For
Sherwin-Williams Company	SHW	824348106	4/20/2016	14	Ratification of Auditor	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	1	Elect David W. Biegler	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	2	Elect J. Veronica Biggins	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	3	Elect Douglas H. Brooks	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	4	Elect William H. Cunningham	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	5	Elect John G. Denison	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	6	Elect Thomas W. Gilligan	Mgmt	For
Southwest Airlines Co.	LUV	844741108	5/18/2016	7	Elect Gary C. Kelly	Mgmt	For

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Southwest Airlines Co.								
Southwest Airlines Co.	LUV	844741108	5/18/2016	8	Elect Grace D. Lieblein	Mgmt	For	
Southwest Airlines Co.	LUV	844741108	5/18/2016	9	Elect Nancy B. Loeffler	Mgmt	For	
Southwest Airlines Co.	LUV	844741108	5/18/2016	10	Elect John T. Montford	Mgmt	For	
Southwest Airlines Co.	LUV	844741108	5/18/2016	11	Elect Ron Ricks	Mgmt	For	
Southwest Airlines Co.	LUV	844741108	5/18/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	
Southwest Airlines Co.	LUV	844741108	5/18/2016	13	Ratification of Auditor	Mgmt	For	
Southwest Airlines Co.	LUV	844741108	5/18/2016	14	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	
Sprott Focus Trust, Inc.	FUND	85208J109	9/24/2015	1.1	Elect W. Whitney George	Mgmt	For	
Sprott Focus Trust, Inc.	FUND	85208J109	9/24/2015	1.2	Elect James R. Pierce, Jr.	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	1	Elect Howard Schultz	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	2	Elect William W. Bradley	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	3	Elect Mary N. Dillon	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	4	Elect Robert M. Gates	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	5	Elect Mellody Hobson	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	6	Elect Kevin R. Johnson	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	7	Elect Joshua C. Ramo	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	8	Elect James G. Shennan, Jr.	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	9	Elect Clara Shih	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	10	Elect Javier G. Teruel	Mgmt	For	
Starbucks Corporation	SBUX	855244109	3/23/2016	11	Elect Myron E. Ullman III	Mgmt	For	
	SBUX	855244109	3/23/2016	12	Elect Craig E. Weatherup	Mgmt	For	

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Starbucks Corporation										
Starbucks Corporation	SBUX	855244109	3/23/2016	13	Advisory Vote on Executive Compensation	Mgmt	For			
Starbucks Corporation	SBUX	855244109	3/23/2016	14	Amendment to the Executive Management Bonus Plan	Mgmt	For			
Starbucks Corporation	SBUX	855244109	3/23/2016	15	Ratification of Auditor	Mgmt	For			
Starbucks Corporation	SBUX	855244109	3/23/2016	16	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against			
Starbucks Corporation	SBUX	855244109	3/23/2016	17	Shareholder Proposal Regarding Human Rights Review	ShrHoldr	Against			
Stryker Corporation	SYK	863667101	4/27/2016	1	Elect Howard E. Cox, Jr.	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	2	Elect Srikant Datar	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	3	Elect Roch Doliveux	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	4	Elect Louise L. Francesconi	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	5	Elect Allan C. Golston	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	6	Elect Kevin A. Lobo	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	7	Elect William U. Parfet	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	8	Elect Andrew K Silvernail	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	9	Elect Ronda E. Stryker	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	10	Ratification of Auditor	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	11	Amendment to the 2011 Long-Term Incentive Plan	Mgmt	For			
Stryker Corporation	SYK	863667101	4/27/2016	12	Advisory Vote on Executive Compensation	Mgmt	For			
Templeton Dragon Fund, Inc.	TDF	88018T101	5/26/2016	1.1	Elect Edith E. Holiday	Mgmt	For			
Templeton Dragon Fund, Inc.	TDF	88018T101	5/26/2016	1.2	Elect Larry D. Thompson	Mgmt	For			
Templeton Dragon Fund, Inc.	TDF	88018T101	5/26/2016	1.3	Elect Rupert H. Johnson, Jr.	Mgmt	For			
Templeton Dragon Fund, Inc.	TDF	88018T101	5/26/2016	1.4	Elect Gregory E. Johnson	Mgmt	For			
Templeton Dragon Fund, Inc.	TDF	88018T101	5/26/2016	2	Ratification of Auditor	Mgmt	For			



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The Asia Pacific Fund, Inc.	APB	044901106	8/19/2015	1.1	Elect Jessica M. Bibliowicz	Mgmt	For
The Asia Pacific Fund, Inc.	APB	044901106	8/19/2015	1.2	Elect David G.P. Scholfield	Mgmt	For
The Asia Pacific Fund, Inc.	APB	044901106	8/19/2015	1.3	Elect William G. Tung	Mgmt	For
The Asia Pacific Fund, Inc.	APB	044901106	8/19/2015	1.4	Elect Christopher Sykes	Mgmt	For
The Asia Pacific Fund, Inc.	APB	044901106	8/19/2015	2	Amendment to Investment Objective	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	1	Elect Gerard J. Arpey	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	2	Elect Ari Bousbib	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	3	Elect Gregory D. Breneman	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	4	Elect J. Frank Brown	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	5	Elect Albert P. Carey	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	6	Elect Armando Codina	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	7	Elect Helena B. Foulkes	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	8	Elect Linda R. Gooden	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	9	Elect Wayne M. Hewett	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	10	Elect Karen Katen	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	11	Elect Craig A. Menear	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	12	Elect Mark Vadon	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	13	Ratification of Auditor	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	14	Advisory Vote on Executive Compensation	Mgmt	For
The Home Depot, Inc.	HD	437076102	5/19/2016	15	Shareholder Proposal Regarding Employment	ShrHoldr	Against

Diversity Report

Company Name	Ticker	Proposal ID	Proposal Date	Proposal Number	Proposal Description	Proposal Type	Response
The Home Depot, Inc.	HD	437076102	5/19/2016	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against
The India Fund, Inc.	IFN	454089103	6/22/2016	1	Elect Nisha Kumar	Mgmt	For
The India Fund, Inc.	IFN	454089103	6/22/2016	2	Elect J. Marc Hardy	Mgmt	For
The India Fund, Inc.	IFN	454089103	6/22/2016	3	Elect Hugh Young	Mgmt	For
The India Fund, Inc.	IFN	454089103	6/22/2016	4	Elect Nancy Yao Maasbach	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	1	Elect Charles E. Bunch	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	2	Elect Marjorie Rodgers Cheshire	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	3	Elect William S. Demchak	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	4	Elect Andrew T. Feldstein	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	5	Elect Daniel R. Hesse	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	6	Elect Kay Coles James	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	7	Elect Richard B. Kelson	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	8	Elect Jane G. Pepper	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	9	Elect Donald J. Shepard	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	10	Elect Lorene K. Steffes	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	11	Elect Dennis F. Strigl	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	12	Elect Michael J. Ward	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	13	Elect Gregory D. Wasson	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	14	Ratification of Auditor	Mgmt	For
The PNC Financial Services Group Inc.	PNC	693475105	4/26/2016	15	2016 Incentive Award Plan	Mgmt	For
	PNC	693475105	4/26/2016	16		Mgmt	For

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The PNC Financial Services Group Inc.					Advisory Vote on Executive Compensation		
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/23/2016	1.1	Elect Jean-Marc Boillat	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/23/2016	2	Ratification of Auditor	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/23/2016	3	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	1	Elect Marc N. Casper	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	2	Elect Nelson J. Chai	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	3	Elect C. Martin Harris	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	4	Elect Tyler Jacks	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	5	Elect Judy C. Lewent	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	6	Elect Thomas J. Lynch	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	7	Elect Jim P. Manzi	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	8	Elect William G. Parrett	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	9	Elect Scott M. Sperling	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	10	Elect Elaine S. Ullian	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/18/2016	12	Ratification of Auditor	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	1	Elect Carole Black	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	2	Elect Thomas H. Castro	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	3	Elect David C. Chang	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	4	Elect James E. Copeland, Jr.	Mgmt	For

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Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	5	Elect Peter R. Haje	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	6	Elect Donna A. James	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	7	Elect Don Logan	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	8	Elect Robert D. Marcus	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	9	Elect N.J. Nicholas, Jr.	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	10	Elect Wayne H. Pace	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	11	Elect Edward D. Shirley	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	12	Elect John E. Sununu	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	13	Ratification of Auditor	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	16	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	1	Elect Carole Black	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	2	Elect Thomas H. Castro	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	3	Elect David C. Chang	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	4	Elect James E. Copeland, Jr.	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	5	Elect Peter R. Haje	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	6	Elect Donna A. James	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	7	Elect Don Logan	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	8	Elect Robert D. Marcus	Mgmt	For

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Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	9	Elect N.J. Nicholas, Jr.	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	10	Elect Wayne H. Pace	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	11	Elect Edward D. Shirley	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	12	Elect John E. Sununu	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	13	Ratification of Auditor	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Time Warner Cable, Inc.	TWC	88732J207	7/1/2015	16	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Time Warner Cable, Inc.	TWC	88732J207	9/21/2015	1	Merger/Acquisition	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	9/21/2015	2	Advisory Vote on Golden Parachutes	Mgmt	For
Tortoise Energy Independence Fund Inc	NDP	89148K101	5/19/2016	1.1	Elect H. Kevin Birzer	Mgmt	For
Tortoise Energy Independence Fund Inc	NDP	89148K101	5/19/2016	1.2	Elect Alexandra Herger	Mgmt	For
Tortoise Energy Independence Fund Inc	NDP	89148K101	5/19/2016	2	Ratification of Auditor	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	1	Elect Alan L. Beller	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	2	Elect John H. Dasburg	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	3	Elect Janet M. Dolan	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	4	Elect Kenneth M. Duberstein	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	5	Elect Jay S. Fishman	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	6	Elect Patricia L. Higgins	Mgmt	For

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Travelers Companies, Inc.	TRV	89417E109	5/19/2016	7	Elect Thomas R. Hodgson	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	8	Elect William J. Kane	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	9	Elect Cleve L. Killingsworth, Jr.	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	10	Elect Philip T. Ruegger III	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	11	Elect Todd C. Schermerhorn	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	12	Elect Alan D. Schnitzer	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	13	Elect Donald J. Shepard	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	14	Elect Laurie J. Thomsen	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	15	Ratification of Auditor	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	16	Advisory Vote on Executive Compensation	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	17	Amendment to the Stock Incentive Plan	Mgmt	For
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	18	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
Travelers Companies, Inc.	TRV	89417E109	5/19/2016	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	1	Elect K. Rupert Murdoch	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	2	Elect Lachlan K. Murdoch	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	3	Elect Delphine Arnault	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	4	Elect James W. Breyer	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	5	Elect Chase Carey	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	6	Elect David F. DeVoe	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	7	Elect Viet D. Dinh	Mgmt	For

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Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	8	Elect Roderick Ian Eddington	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	9	Elect James Murdoch	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	10	Elect Jacques Nasser	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	11	Elect Robert S. Silberman	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	12	Elect Tidjane Thiam	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	13	Elect Jeffrey W. Ubben	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	14	Ratification of Auditor	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	15	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For
Twenty-First Century Fox, Inc.	FOX	90130A200	11/12/2015	16	Declaration of Domicile	Mgmt	N/A
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	1	Elect William C. Ballard, Jr.	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	2	Elect Edson Bueno	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	3	Elect Richard T. Burke	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	4	Elect Robert J. Darretta	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	5	Elect Stephen J. Hemsley	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	6	Elect Michele J. Hooper	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	7	Elect Rodger A. Lawson	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	8	Elect Glenn M. Renwick	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	9	Elect Kenneth I. Shine	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	10	Elect Gail R. Wilensky	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
UnitedHealth Group Incorporated	UNH	91324P102	6/6/2016	12	Ratification of Auditor	Mgmt	For

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Valero Energy Corporation	VLO	91913Y100	5/12/2016	1	Elect Joseph W Gorder	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	2	Elect Deborah P. Majoras	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	3	Elect Donald L. Nickles	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	4	Elect Philip J. Pfeiffer	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	5	Elect Robert A. Profusek	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	6	Elect Susan Kaufman Purcell	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	7	Elect Stephen M. Waters	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	8	Elect Randall J. Weisenburger	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	9	Elect Rayford Wilkins Jr.	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	10	Ratification of Auditor	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	11	Advisory Vote on Executive Compensation	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	12	Amendment to Articles Regarding Removal of Directors	Mgmt	For
Valero Energy Corporation	VLO	91913Y100	5/12/2016	13	Re-approval of the 2011 Omnibus Stock Incentive Plan for the Purposes of Section 162(m)	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	1	Elect Shellye L. Archambeau	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	2	Elect Mark T. Bertolini	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	3	Elect Richard L. Carrion	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	4	Elect Melanie L. Healey	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	5	Elect M. Frances Keeth	Mgmt	For



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Verizon Communications Inc.	VZ	92343V104	5/5/2016	6	Elect Karl-Ludwig Kley	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	7	Elect Lowell C. McAdam	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	8	Elect Donald T. Nicolaisen	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	9	Elect Clarence Otis, Jr.	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	10	Elect Rodney E. Slater	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	11	Elect Kathryn A. Tesija	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	12	Elect Gregory D. Wasson	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	13	Elect Gregory G. Weaver	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	14	Ratification of Auditor	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	15	Advisory Vote on Executive Compensation	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/5/2016	16	Shareholder Proposal Regarding Renewable Energy targets	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/5/2016	17	Shareholder Proposal Regarding Report on Indirect Political Spending	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/5/2016	18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/5/2016	19	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Verizon Communications	VZ	92343V104	5/5/2016	20	Shareholder Proposal Regarding Severance	ShrHoldr	Against

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Inc.					Approval Policy		
Verizon Communications Inc.	VZ	92343V104	5/5/2016	21	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Against
Visa Inc.	V	92826C839	2/3/2016	1	Elect Lloyd A. Carney	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	2	Elect Mary B. Cranston	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	3	Elect Francisco Javier Fernandez-Carbajal	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	4	Elect Alfred F. Kelly, Jr.	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	5	Elect Robert W. Matschullat	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	6	Elect Cathy E. Minehan	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	7	Elect Suzanne Nora Johnson	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	8	Elect David J. Pang	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	9	Elect Charles W. Scharf	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	10	Elect John A.C. Swainson	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	11	Elect Maynard G. Webb, Jr.	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	13	Approval of the 2007 Equity Incentive Compensation Plan as Amended and Restated	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	14	Amendment to the Visa Inc. Incentive Plan	Mgmt	For
Visa Inc.	V	92826C839	2/3/2016	15	Ratification of Auditor	Mgmt	For
Voya Funds	IAE	92912P108	7/1/2015	1.1	Elect Colleen D. Baldwin	Mgmt	For
Voya Funds	IAE	92912P108	7/1/2015	1.2	Elect Peter S. Drotch	Mgmt	For
Voya Funds	IAE	92912P108	7/1/2015	1.3	Elect Russell H. Jones	Mgmt	For
Voya Funds	IAE	92912P108	7/1/2015	1.4	Elect Joseph E. Obermeyer	Mgmt	For
Voya Funds	IAE	92912T100	7/1/2015	1.1	Elect Colleen D. Baldwin	Mgmt	For
Voya Funds	IAE	92912T100	7/1/2015	1.2	Elect Peter S. Drotch	Mgmt	For
Voya Funds	IAE	92912T100	7/1/2015	1.3	Elect Russell H. Jones	Mgmt	For

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Voya Funds	IAE	92912T100	7/1/2015	1.4	Elect Joseph E. Obermeyer	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.1	Elect Colleen D. Baldwin	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.2	Elect Peter S. Drotch	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.3	Elect Russell H. Jones	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.4	Elect Joseph E. Obermeyer	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.1	Elect Colleen D. Baldwin	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.2	Elect Peter S. Drotch	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.3	Elect Russell H. Jones	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	92912X101	7/1/2015	1.4	Elect Joseph E. Obermeyer	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.1	Elect Colleen D. Baldwin	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.2	Elect John V. Boyer	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.3	Elect Patricia W. Chadwick	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.4	Elect Albert E. DePrince, Jr.	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.5	Elect Peter S. Drotch	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.6	Elect Russell H. Jones	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.7	Elect Patricia W. Kenny	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.8	Elect Joseph E. Obermeyer	Mgmt	For

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Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.9	Elect Sheryl K. Pressler	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.10	Elect Roger B. Vincent	Mgmt	For
Voya Prime Rate Trust	PPR	92913A100	7/1/2015	1.11	Elect Shaun P. Mathews	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	1	Elect James I. Cash, Jr.	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	2	Elect Pamela J. Craig	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	3	Elect Timothy P. Flynn	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	4	Elect Thomas W. Horton	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	5	Elect Marissa A. Mayer	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	6	Elect C. Douglas McMillon	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	7	Elect Gregory B. Penner	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	8	Elect Steven S. Reinemund	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	9	Elect Kevin Y. Systrom	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	10	Elect S. Robson Walton	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	11	Elect Steuart Walton	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	12	Elect Linda S. Wolf	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	14	2016 Associate Stock Purchase Plan	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	15	Ratification of Auditor	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	16	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Wal-Mart Stores Inc.	WMT	931142103	6/3/2016	17	Shareholder Proposal Regarding Incentive Compensation Report	ShrHoldr	Against
Wal-Mart Stores	WMT	931142103	6/3/2016	18	Shareholder Proposal	ShrHoldr	Against

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Inc.					Regarding Country Selection Guidelines		
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	1	Elect Janice M. Babiak	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	2	Elect David J. Brailer	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	3	Elect William C. Foote	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	4	Elect Ginger L. Graham	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	5	Elect John A. Lederer	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	6	Elect Dominic P. Murphy	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	7	Elect Stefano Pessina	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	8	Elect Barry Rosenstein	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	9	Elect Leonard D. Schaeffer	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	10	Elect Nancy M. Schlichting	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	11	Elect James A. Skinner	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	12	Advisory Vote on Executive Compensation	Mgmt	For
Walgreens Boots Alliance, Inc.	WBA	931427108	1/27/2016	13	Ratification of Auditor	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	1	Elect Susan E. Arnold	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	2	Elect John S. Chen	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	3	Elect Jack Dorsey	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	4	Elect Robert A. Iger	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	5	Elect Maria Elena Langomasino	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	6	Elect Fred H. Langhammer	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	7	Elect Aylwin B. Lewis	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	8	Elect Robert W. Matschullat	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	9	Elect Mark G. Parker	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	10	Elect Sheryl Sandberg	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	11	Elect Orin C. Smith	Mgmt	For

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Walt Disney Co.	DIS	254687106	3/3/2016	12	Ratification of Auditor	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	13	Advisory Vote on Executive Compensation	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	14	Elimination of Supermajority Voting Requirement	Mgmt	For
Walt Disney Co.	DIS	254687106	3/3/2016	15	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Against
Walt Disney Co.	DIS	254687106	3/3/2016	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Wells Fargo Advantage Income Opportunities Fund	ERH	94987E109	12/7/2015	1.1	Elect William R. Ebsworth	Mgmt	For
Wells Fargo Advantage Income Opportunities Fund	ERH	94987E109	12/7/2015	1.2	Elect Jane A. Freeman	Mgmt	For
Wells Fargo Advantage Income Opportunities Fund	ERH	94987E109	12/7/2015	1.3	Elect Judith M. Johnson	Mgmt	For
Wells Fargo Advantage Income Opportunities Fund	ERH	94987E109	12/7/2015	1.4	Elect Donald C. Willeke	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	1	Elect John D. Baker II	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	2	Elect Elaine L. Chao	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	3	Elect John S. Chen	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	4	Elect Lloyd H. Dean	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	5	Elect Elizabeth A. Duke	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	6	Elect Susan E. Engel	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	7	Elect Enrique Hernandez, Jr.	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	8	Elect Donald M. James	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	9	Elect Cynthia H. Milligan	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	10	Elect Federico F. Pena	Mgmt	For

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Wells Fargo and Company	WFC	949746101	4/26/2016	11	Elect James H. Quigley	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	12	Elect Stephen W. Sanger	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	13	Elect John G. Stumpf	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	14	Elect Susan G. Swenson	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	15	Elect Suzanne M. Vautrinot	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	16	Advisory Vote on Executive Compensation	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	17	Ratification of Auditor	Mgmt	For
Wells Fargo and Company	WFC	949746101	4/26/2016	18	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Wells Fargo and Company	WFC	949746101	4/26/2016	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Wells Fargo Income Opportunities Fund	EOD	94987C103	2/8/2016	1.1	Elect Peter G. Gordon	Mgmt	For
Wells Fargo Income Opportunities Fund	EOD	94987C103	2/8/2016	1.2	Elect Timothy J. Penny	Mgmt	For
Wells Fargo Income Opportunities Fund	EOD	94987C103	2/8/2016	1.3	Elect Michael S. Scofield	Mgmt	For
Western Asset Emerging Markets Income Fund Inc.	EMD	95766E103	9/25/2015	1.1	Elect Paolo M. Cucchi	Mgmt	For
Western Asset Emerging Markets Income Fund Inc.	EMD	95766E103	9/25/2015	1.2	Elect Leslie H. Gelb	Mgmt	For
Western Asset Emerging Markets Income Fund Inc.	EMD	95766E103	9/25/2015	1.3	Elect Riordan Roett	Mgmt	For
Western Asset Emerging Markets Income Fund Inc.	EMD	95766E103	9/25/2015	1.4	Elect Robert D. Agdern	Mgmt	For
Western Asset Emerging Markets Income Fund Inc.	EMD	95766E103	9/25/2015	1.5	Elect Jane E. Trust	Mgmt	For

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Western Asset Global Partners Income Fund Inc	GDF	95766G108	12/14/2015	1.1	Elect Robert D. Agdern	Mgmt	For
Western Asset Global Partners Income Fund Inc	GDF	95766G108	12/14/2015	1.2	Elect William R. Hutchinson	Mgmt	For
Western Asset Global Partners Income Fund Inc	GDF	95766G108	12/14/2015	1.3	Elect Jane E. Trust	Mgmt	For
Western Asset Managed High Income Fund Inc.	MHY	95766L107	8/21/2015	1.1	Elect Robert D. Agdern	Mgmt	For
Western Asset Managed High Income Fund Inc.	MHY	95766L107	8/21/2015	1.2	Elect Daniel P. Cronin	Mgmt	For
Western Asset Managed High Income Fund Inc.	MHY	95766L107	8/21/2015	1.3	Elect Jane E. Trust	Mgmt	For
Western Asset Managed High Income Fund Inc.	MHY	95766L107	8/21/2015	1.1	Elect Robert D. Agdern	Mgmt	For
Western Asset Managed High Income Fund Inc.	MHY	95766L107	8/21/2015	1.2	Elect Daniel P. Cronin	Mgmt	For
Western Asset Managed High Income Fund Inc.	MHY	95766L107	8/21/2015	1.3	Elect Jane E. Trust	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	1	Elect Gail K. Boudreaux	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	2	Elect Richard K. Davis	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	3	Elect Benjamin G.S. Fowke III	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	4	Elect Richard T. O'Brien	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	5	Elect Christopher J. Policinski	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	6	Elect James T. Prokopanko	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	7	Elect A. Patricia Sampson	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	8	Elect James J. Sheppard	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	9	Elect David A. Westerlund	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	10	Elect Kim Williams	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	11	Elect Timothy V. Wolf	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	12	Advisory Vote on	Mgmt	For



Executive Compensation

Xcel Energy Inc.	XEL	98389B100	5/18/2016	13	Ratification of Auditor	Mgmt	For
Xcel Energy Inc.	XEL	98389B100	5/18/2016	14	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Strategic Value Fund, Inc.

By (Signature and Title) /s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and Chairman  
(Principal Executive Officer)

Date August 19, 2016