

STEADY GAIN PARTNERS LP
Form 4
December 05, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bulldog Investors General Partnership

2. Issuer Name and Ticker or Trading Symbol
Firsthand Technology Value Fund, Inc. [SVVC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
PARK 80 WEST - PLAZA
TWO, 250 PEHLE AVE., SUITE
708

3. Date of Earliest Transaction (Month/Day/Year)
12/04/2014

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

SADDLE BROOK, NJ 07663

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | Code V Amount (A) or (D) Price | | | |
| Common Stock | 12/04/2014 | | S | 2,290 D \$ 19.6 | 11,860 | D ⁽¹⁾ | |
| Common Stock | 12/04/2014 | | S | 144,754 D \$ 19.6 | 824,942 | D ⁽²⁾ ⁽³⁾ | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Bulldog Investors General Partnership PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | | X | | |
| Opportunity Income Plus LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | | X | | |
| OPPORTUNITY PARTNERS LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | | X | | |
| Calapasas West Partners LP PARK 80 WEST, 250 PEHLE AVE., STE 708 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | | X | | |
| Full Value Special Situations Fund LP PARK 80 WEST, 250 PEHLE AVE., STE 708 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | | X | | |
| Full Value Offshore Fund, Ltd. PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | | X | | |

| | |
|--|---|
| FULL VALUE PARTNERS LP PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | X |
| MCM Opportunity Partners LP PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | X |
| STEADY GAIN PARTNERS LP PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | X |
| MERCURY PARTNERS L P PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | X |

Signatures

| | |
|---|------------|
| /s/ Phillip Goldstein - Manager of the Managing General Partner - Bulldog Investors General Partnership | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - Opportunity Income Plus, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - Opportunity Partners, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - Calapasas West Partners, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - Full Value Special Situations Fund, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - Full Value Offshore Fund, Ltd. | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - Full Value Partners, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein - Manager of the General Partner - MCM Opportunity Partners, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Barry Swidler Member of the General Partner - Steady Gain Partners, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |
| /s/ Glenn Goodstein Member of the General Partner - Mercury Partners, LP | 12/05/2014 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares are held by Opportunity Income Plus, LP.

(2) Shares are held by Bulldog Investors General Partnership.

Certain of such shares are also held indirectly by Opportunity Partners, LP, Calapasas West Partners, LP, Full Value Special Situations Fund, LP, Full Value Offshore Fund, Ltd., Full Value Partners, LP, MCM Opportunity Partners, LP, Steady Gain Partners, LP, and Mercury Partners, LP (the "Funds"). Each Fund disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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