

Anderson Melissa H.  
Form 4  
December 19, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Anderson Melissa H.

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
550 S TRYON STREET  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/28/2017

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
EVP, Administration & Chief HROff

CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)							(Instr. 5)
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	02/28/2017	A		9		<u>(2)</u>	<u>(3)</u>	Common Stock	9	\$ 82.5
Phantom Stock	<u>(1)</u>	03/15/2017	A		349		<u>(2)</u>	<u>(3)</u>	Common Stock	349	\$ 82
Phantom Stock	<u>(1)</u>	03/31/2017	A		21		<u>(2)</u>	<u>(3)</u>	Common Stock	21	\$ 82.0
Phantom Stock	<u>(1)</u>	04/17/2017	A		20		<u>(2)</u>	<u>(3)</u>	Common Stock	20	\$ 82.9
Phantom Stock	<u>(1)</u>	04/28/2017	A		21		<u>(2)</u>	<u>(3)</u>	Common Stock	21	\$ 82.5
Phantom Stock	<u>(1)</u>	05/15/2017	A		20		<u>(2)</u>	<u>(3)</u>	Common Stock	20	\$ 83.5
Phantom Stock	<u>(1)</u>	05/31/2017	A		20		<u>(2)</u>	<u>(3)</u>	Common Stock	20	\$ 85.6
Phantom Stock	<u>(1)</u>	06/15/2017	A		20		<u>(2)</u>	<u>(3)</u>	Common Stock	20	\$ 86.9
Phantom Stock	<u>(1)</u>	06/30/2017	A		20		<u>(2)</u>	<u>(3)</u>	Common Stock	20	\$ 83.5

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Phantom Stock	(1)	07/14/2017	A	20	(2)	(3)	Common Stock	20	\$ 83.8
Phantom Stock	(1)	07/31/2017	A	20	(2)	(3)	Common Stock	20	\$ 85.1
Phantom Stock	(1)	08/15/2017	A	20	(2)	(3)	Common Stock	20	\$ 86.8
Phantom Stock	(1)	08/31/2017	A	19	(2)	(3)	Common Stock	19	\$ 87.3
Phantom Stock	(1)	09/15/2017	A	19	(2)	(3)	Common Stock	19	\$ 87.3
Phantom Stock	(1)	09/29/2017	A	20	(2)	(3)	Common Stock	20	\$ 83.9
Phantom Stock	(1)	10/13/2017	A	20	(2)	(3)	Common Stock	20	\$ 86.7
Phantom Stock	(1)	10/31/2017	A	19	(2)	(3)	Common Stock	19	\$ 88.3

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Anderson Melissa H. 550 S TRYON STREET CHARLOTTE, NC 28202			EVP,Administration&Chief HROff	

## Signatures

David S. Maltz, attorney-in-fact for Melissa H. Anderson  
 12/18/2017

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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