

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

March 02, 2015

000 B000000 12/31/2014  
000 C000000 0000897802  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 B  
001 A000000 Special Opportunities Fund, Inc.  
001 B000000 811-07528  
001 C000000 8776070414  
002 A000000 615 East Michigan Street  
002 B000000 Milwaukee  
002 C000000 WI  
002 D010000 53202  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 BULLDOG INVESTORS, LLC  
008 B000001 A  
008 C000001 801-70596  
008 D010001 SADDLE BROOK  
008 D020001 NJ  
008 D030001 07663  
010 A000001 U.S. BANCORP FUND SERVICES, LLC  
010 B000001 85-11357  
010 C010001 MILWAUKEE  
010 C020001 WI  
010 C030001 53202  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 U.S. BANK, N.A.  
015 B000001 C  
015 C010001 MILWAUKEE  
015 C020001 WI  
015 C030001 53212  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000  
020 A000001 MERLIN SECURITIES, LLC  
020 B000001 84-1642266  
020 C000001 84  
020 A000002 CANTOR FITZGERALD & CO.  
020 B000002 13-3680184  
020 C000002 39  
020 A000003 WALLACH BETH  
020 B000003 00-0000000

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020 C000003 37  
 020 A000004 RAYMOND JAMES & ASSOCIATES, INC.  
 020 B000004 59-1237041  
 020 C000004 25  
 020 A000005 COMPASS POINT RESEARCH & TRADING, LLC  
 020 B000005 43-593202  
 020 C000005 9  
 020 A000006 CREDIT RESEARCH & TRADING, LLC  
 020 B000006 00-0000000  
 020 C000006 8  
 020 A000007 NATIONAL FINANCIAL SERVICES CORP.  
 020 B000007 00-0000000  
 020 C000007 6  
 020 A000008 WALL STREET MANAGEMENT CORPORATION  
 020 B000008 13-2508293  
 020 C000008 4  
 020 A000009 LEGENT CLEARING LLC  
 020 B000009 77-0616239  
 020 C000009 4  
 020 A000010 IMPERIAL CAPITAL, LLC  
 020 B000010 95-4644495  
 020 C000010 3  
 021 000000 228  
 022 A000001 SECONDMARKET INC.  
 022 B000001 00-0000000  
 022 C000001 5619  
 022 D000001 0  
 022 A000002 WEDBUSH MORGAN SECURITIES, INC.  
 022 B000002 95-2495390  
 022 C000002 3172  
 022 D000002 0  
 022 A000003 BANK OF NEW YORK  
 022 B000003 00-0000000  
 022 C000003 2941  
 022 D000003 0  
 022 A000004 MAXIM FINANCIAL CORP.  
 022 B000004 04-3640096  
 022 C000004 1610  
 022 D000004 289  
 022 A000005 DEUTSCHE BANK TRUST CO.  
 022 B000005 13-3818112  
 022 C000005 1274  
 022 D000005 0  
 022 A000006 LADENBURG THALMAN & CO.  
 022 B000006 13-2700032  
 022 C000006 831  
 022 D000006 0  
 022 A000007 CITIGROUP GLOBAL MARKETS INC.  
 022 B000007 11-2418191  
 022 C000007 639  
 022 D000007 0  
 022 A000008 CRT CAPITAL GROUP  
 022 B000008 00-0000000  
 022 C000008 0  
 022 D000008 479  
 022 A000009 R.W. PRESSPRICH & CO., INC.  
 022 B000009 13-3570685  
 022 C000009 462  
 022 D000009 0  
 022 A000010 CANTOR FITZGERALD & CO.  
 022 B000010 95-1786286  
 022 C000010 336

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022	D000010	0
023	C000000	16892
023	D000000	780
024	000000	N
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
045	000000	Y
046	000000	N
047	000000	Y
048	000000	1.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	Y
053	B000000	N
053	C000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	Y

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055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	Y	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		0.0
062	Q000000		0.0
062	R000000		0.0
066	A000000	Y	
066	B000000	N	
066	C000000	N	
066	D000000	N	
066	E000000	N	
066	F000000	N	
066	G000000	Y	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	N	
070	B010000	Y	
070	B020000	N	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	

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070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
070	R020000	Y	
071	A000000		102534
071	B000000		106933
071	C000000		174513
071	D000000		59
072	A000000	12	
072	B000000		473
072	C000000		4249
072	D000000		0
072	E000000		0
072	F000000		1807
072	G000000		134
072	H000000		47
072	I000000		20
072	J000000		42
072	K000000		0
072	L000000		32
072	M000000		135
072	N000000		30
072	O000000		0
072	P000000		0
072	Q000000		53
072	R000000		49
072	S000000		185
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		110
072	X000000		2644
072	Y000000		144
072	Z000000		2222
072AA	000000		18157
072BB	000000		846
072CC	010000		0
072CC	020000		7304
072DD	010000		1932
072DD	020000		0
072EE	000000		13113
073	A010000		0.1900
073	A020000		0.0000
073	B000000		1.2900
073	C000000		0.0000
074	A000000		202
074	B000000		0
074	C000000		19340
074	D000000		5469
074	E000000		6097
074	F000000		33095
074	G000000		0
074	H000000		0
074	I000000		122032

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074	J000000	355
074	K000000	0
074	L000000	2151
074	M000000	803
074	N000000	189544
074	O000000	703
074	P000000	153
074	Q000000	0
074	R010000	0
074	R020000	1264
074	R030000	0
074	R040000	15221
074	S000000	0
074	T000000	172203
074	U010000	10165
074	U020000	0
074	V010000	16.94
074	V020000	0.00
074	W000000	0.0000
074	X000000	169
074	Y000000	0
075	A000000	0
075	B000000	175618
076	000000	15.37
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	Y
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	Chubb Group of Insurance Companies
080	C000000	600
081	A000000	N
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	3104
086	A020000	42945
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	748

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086 D020000 37424  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 84741T104  
087 A030000 SPE  
088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE Andrew Dakos  
TITLE President