

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

March 03, 2014

000 B000000 12/31/2013
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 B
001 A000000 Special Opportunities Fund, Inc.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 East Michigan Street
002 B000000 Milwaukee
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 BULLDOG INVESTORS, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000
020 A000001 MERLIN SECURITIES, LLC
020 B000001 84-1642266
020 C000001 80
020 A000002 CANTOR FITZGERALD & CO.
020 B000002 13-3680184
020 C000002 35
020 A000003 RAYMOND JAMES & ASSOCIATES, INC.
020 B000003 59-1237041

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020 C000003 26
 020 A000004 WALLACH BETH
 020 B000004 00-0000000
 020 C000004 22
 020 A000005 WELLS FARGO BANK N.A.
 020 B000005 94-1347393
 020 C000005 4
 020 A000006 JONES & ASSOCIATES, INC.
 020 B000006 95-3583430
 020 C000006 3
 020 A000007 LEGEND CAPITAL MANAGEMENT
 020 B000007 00-0000000
 020 C000007 3
 020 A000008 MAXIM GROUP, LLC
 020 B000008 04-3640096
 020 C000008 3
 020 A000009 PERSHING LLC
 020 B000009 13-2741729
 020 C000009 2
 020 A000010 J.P. MORGAN CHASE & CO.
 020 B000010 13-3224016
 020 C000010 2
 021 000000 187
 022 A000001 NATIONAL FINANCIAL SERVICE CORP
 022 B000001 43-523567
 022 C000001 5657
 022 D000001 0
 022 A000002 MAXIM FINANCIAL CORP.
 022 B000002 04-3640096
 022 C000002 4561
 022 D000002 0
 022 A000003 CEDE
 022 B000003 00-0000000
 022 C000003 3894
 022 D000003 0
 022 A000004 LADENBURG THALMAN & CO.
 022 B000004 13-2700032
 022 C000004 1972
 022 D000004 0
 022 A000005 CITIGROUP GLOBAL MARKETS INC.
 022 B000005 11-2418191
 022 C000005 1835
 022 D000005 0
 022 A000006 SECONDMARKET INC.
 022 B000006 00-0000000
 022 C000006 1512
 022 D000006 0
 022 A000007 EARLY BIRD CAPITAL INC.
 022 B000007 65-0379410
 022 C000007 1326
 022 D000007 38
 022 A000008 COMPASS POINT RESEARCH & TRADING
 022 B000008 43-593202
 022 C000008 1011
 022 D000008 0
 022 A000009 CHARDAN CAPITAL MARKETS, LLC
 022 B000009 13-4158698
 022 C000009 299
 022 D000009 662
 022 A000010 THE PRINCERIDGE GROUP LLC
 022 B000010 00-0000000
 022 C000010 915

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022	D000010	0
023	C000000	26158
023	D000000	2020
024	000000	N
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
045	000000	Y
046	000000	N
047	000000	Y
048	000000	1.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	Y
055	A000000	N
055	B000000	N

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056	000000	N	
057	000000	Y	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		0.0
062	Q000000		0.0
062	R000000		0.0
066	A000000	Y	
066	B000000	N	
066	C000000	N	
066	D000000	N	
066	E000000	N	
066	F000000	N	
066	G000000	Y	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	N	
070	B010000	Y	
070	B020000	N	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	

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070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
070	R020000	Y	
071	A000000		96675
071	B000000		96733
071	C000000		164878
071	D000000		58
072	A000000	12	
072	B000000		96
072	C000000		9989
072	D000000		0
072	E000000		0
072	F000000		1722
072	G000000		106
072	H000000		56
072	I000000		21
072	J000000		45
072	K000000		0
072	L000000		76
072	M000000		149
072	N000000		24
072	O000000		0
072	P000000		0
072	Q000000		46
072	R000000		48
072	S000000		116
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		52
072	X000000		2461
072	Y000000		0
072	Z000000		7624
072AA	000000		8456
072BB	000000		0
072CC	010000		11400
072CC	020000		0
072DD	010000		7768
072DD	020000		1123
072EE	000000		7839
073	A010000		1.1000
073	A020000		0.1600
073	B000000		1.1100
073	C000000		0.0000
074	A000000		3
074	B000000		0
074	C000000		13066
074	D000000		2309
074	E000000		6625
074	F000000		24174
074	G000000		0
074	H000000		0
074	I000000		137623
074	J000000		638
074	K000000		0

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074	L000000	1485
074	M000000	41
074	N000000	185964
074	O000000	573
074	P000000	153
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	53164
074	S000000	0
074	T000000	132074
074	U010000	7062
074	U020000	0
074	V010000	18.69
074	V020000	0.00
074	W000000	0.0000
074	X000000	182
074	Y000000	0
075	A000000	0
075	B000000	134694
076	000000	17.45
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	Y
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	Y
077	Q030000	N
078	000000	N
080	A000000	Chubb Group of Insurance Companies
080	C000000	600
081	A000000	N
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	250
086	A020000	3962
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0

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086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 84741T104
087 A030000 SPE
087 B010000 PREFERRED STOCK
087 B020000 84741T203
087 B030000 SPE PFD
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE Andrew Dakos
TITLE President